## Geometric Optimal Control Theory in spin systems

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A joint work between mathematicians, physicists and chemists.

- B. Bonnard, J.-B. Caillau, O. Cots, N. Scherbakova (IMB, Dijon)
- Group of S. J. Glaser, Y. Zhang, M. Braun (Chemistry department, Munich)
- M. Lapert (PhD, ICB, Dijon), E. Assémat (PhD, ICB, Dijon)

**Rem.:** Other results of our research project will be given in the conferences of B. Bonnard and S. J. Glaser

Application of tools of control theory to quantum dynamics.

- Photochemistry, femtosecond laser fields
- Condensed matter:
  - superconducting Josephson junction
  - cold atoms
- Nuclear Magnetic Resonance
  - liquid phase
  - solid phase

## NMR in liquid phase

#### A promising field of applications.

- fundamental problems
- quantum computing
- NMR spectroscopy and imaging (increase of the sensitivity and resolution of standard NMR techniques)

#### Advantages of the NMR domain

- Accuracy of the models, even in presence of dissipation
- $\blacktriangleright$  low and finite-dimensional problems  $\Longrightarrow$  geometry
- very good agreement between theory and experiment

Rem.: Such points are not true in Photochemistry

- Numerical approaches, e.g. the GRAPE and monotonic algorithms
- Geometric approach
  Ex.: Lie group methods for unbounded controls
  N. Khaneja, S. J. Glaser et al.

**Our work**: use of geometric optimal control theory to analyze NMR systems (Pontryagin Maximum Principle, indirect methods, analytic and numerical computations)

 $\longrightarrow$  From mathematics (group of B. Bonnard) to experiments (group of S. J. Glaser)

$$\begin{pmatrix} \dot{M}_{x} \\ \dot{M}_{y} \\ \dot{M}_{z} \end{pmatrix} = \begin{pmatrix} -\omega M_{y} + \omega_{y} M_{z} \\ \omega M_{x} - \omega_{x} M_{z} \\ \omega_{x} M_{y} - \omega_{y} M_{x} \end{pmatrix} + \begin{pmatrix} -M_{x}/T_{2} \\ -M_{y}/T_{2} \\ (M_{0} - M_{z})/T_{1} \end{pmatrix} + RDE$$

with  $T_1$ ,  $T_2$  dissipation terms,  $\omega$ : offset.

$$RDE = \frac{1}{M_0 T_r} \left( \begin{array}{c} -M_x M_z \\ -M_y M_z \\ M_x^2 + M_y^2 \end{array} \right)$$

*RDE*: Radiation damping effect  $T_r$ : Radiation damping constant.

#### Saturation control problem in NMR:

North pole (equilibrium point)  $\longrightarrow$  center of the Bloch ball. Constraint:  $|\omega| \le \omega_{max}$ ,  $\omega = 0$ 

**Rem.**: standard problem in NMR (remove the corresponding spin contribution).

**Geometric analysis**: symmetry of revolution  $\implies$  use of one control:

$$\dot{y} = -uz - y/T_2 - yz/T_r$$
  
 $\dot{z} = uy + (1 - z)/T_1 + y^2/T_r$ 

(y, z): reduced coordinates and  $|u| \le u_0$ .

# Optimal synthesis

PMP and geometric analysis of the extremals:  $u = \pm u_0$  or singular. **Rem.**: structure depends on the dissipative parameters and on the bound of the control.

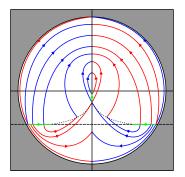


Figure: Schematic representation of the optimal synthesis

### A concrete example

**Ex.**: The proton spins of  $H_2O$  in an organic solvent ( $T_1 = 740$  ms,  $T_2 = 60$  ms and  $\omega_{max} = 32.3$  Hz)

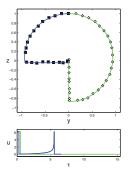
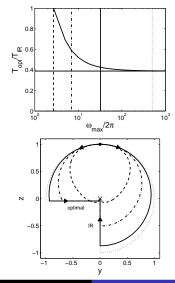


Figure: Time-optimal saturation of a spin 1/2 particle

Rem.: Comparison with the inversion recovery sequence: gain of 60% in the control duration.

### Analysis in the unbounded case

Rem.: In the limit  $\omega_{max} \rightarrow +\infty$ , the structure is B-S-S.



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### Analytic computation in the unbounded case

Neglecting the duration of the first bang pulse, we get:

$$T_{opt} \rightarrow \frac{T_2}{2} \ln[1 - \frac{2}{\alpha T_2}] + T_1 \ln[\frac{2T_1 - T_2}{2(T_1 - T_2)}]$$
  
 $T_{IR} \rightarrow T_1 \ln 2$ 

**Rem.**: The physical limit of the control process is due to the dissipative parameters: Use of the dissipation to reach the target.

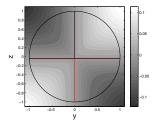


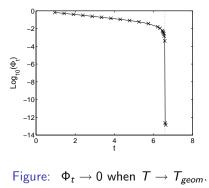
Figure: Contour plot of  $d\dot{r}/d\theta$ .

## The GRAPE algorithm

Iterative algorithm to solve the optimal equations:

Cost:  $\Phi_t = \sqrt{y^2 + z^2}$ .

Fixed control duration T and bound  $\omega_{max}$  on the control field.



## Comparison of the different optimal solutions

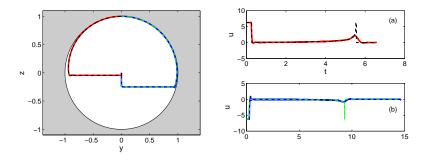


Figure: Smooth regularization of the geometric solution.

#### Results:

Geom.:  $T_{geom.} = 6.58$ ,  $\Phi_t = 5.34 \times 10^{-16}$ Grape:  $T_{grape} = 6.60$ ,  $\Phi_t = 2.25 \times 10^{-13}$ . Grape:  $T_{grape} = 6.58$ ,  $\Phi_t = 4.78 \times 10^{-6}$ 

- Energy minimization problem (no singular extremal, a smooth solution)
- Radiation damping effect (smooth effect if  $T_r > 0$ ).
- Optimal control of uncoupled spin systems
  - $\longrightarrow$  Two spins with different offsets
  - $\longrightarrow$  The contrast problem

## The radiation damping effect

**Experimental constraint:** Inhomogeneous ensemble with different offsets.

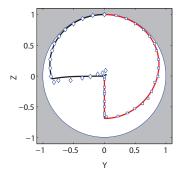


Figure: Optimal trajectory computed with the GRAPE algorithm.

**Rem.** Example of coupling between geometric methods and the GRAPE algorithm.

### Two spins with different offsets: the symmetric case

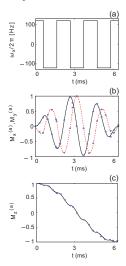
$$\begin{pmatrix} \dot{M}_{ax} \\ \dot{M}_{ay} \\ \dot{M}_{az} \end{pmatrix} = \begin{pmatrix} -\omega M_{ay} + \omega_y M_{az} \\ \omega M_{ax} - \omega_x M_{az} \\ \omega_x M_{ay} - \omega_y M_{ax} \end{pmatrix}$$

$$\begin{pmatrix} \dot{M}_{bx} \\ \dot{M}_{by} \\ \dot{M}_{bz} \end{pmatrix} = \begin{pmatrix} \omega M_{by} + \omega_y M_{bz} \\ -\omega M_{bx} - \omega_x M_{bz} \\ \omega_x M_{by} - \omega_y M_{bx} \end{pmatrix}$$

One spin, one control: P. Mason, U. Boscain, JMP (2006)
 Optimal solution: bang-bang controls

### Inversion of two spins

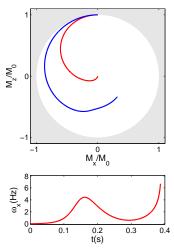
two spins with two controls  $\iff$  two spins with one control Two proton spins of methyl acetate  $CH_3OOCCH_3$ .



### The contrast problem: the blood

Two uncoupled spins describing the oxygeneated/desoxygeneated blood

Structure of the optimal control: Bang-Singular



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#### Mathematical developments

- Global understanding of the contrast problem
- Classification of the different structure in the case of RDE
- Numerical applications
  - limit of indirect methods, continuation approach
  - coupling between GRAPE and geometric methods
- Experimental implementation of geometric solutions
  - Applications in NMR spectroscopy and NMR imaging.

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- B. Bonnard et al., J. Math. Phys. 51, 092705 (2010)
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- ▶ M. Lapert et al., to be published in Phys. Rev. A (2010)
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page web:

http://icb.u-bourgogne.fr/OMR/DQNL/Sugny/professionel.html