

# Multi-polarization quantum control of rotational motion

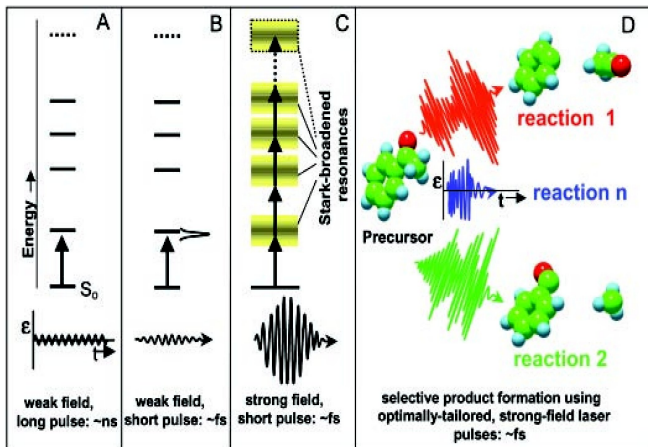
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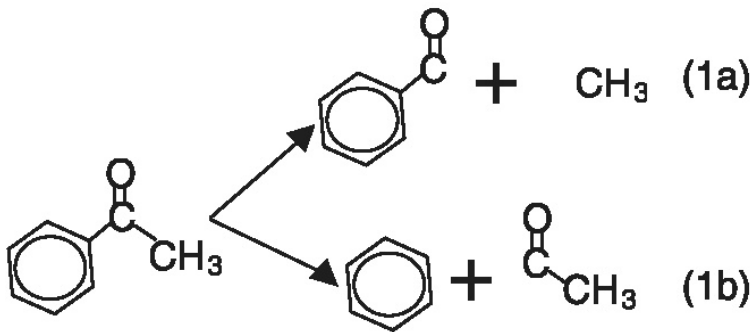
IHP, Paris Dec. 8-11, 2010

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<sup>1</sup>financial support from INRIA Rocquencourt, GIP-ANR C-QUID program and NSF-PICS program is acknowledged



**Figure:** R. J. Levis, G.M. Menkir, and H. Rabitz. *Science*, 292:709–713, 2001

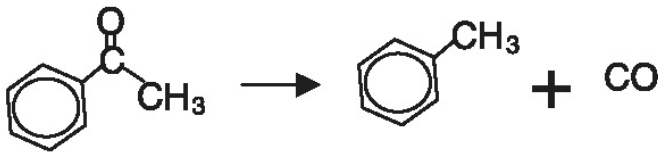


**Scheme 1.**

**Figure:** **SELECTIVE** dissociation of chemical bonds (laser induced).

Other examples:  $\text{CF}_3$  or  $\text{CH}_3$  from  $\text{CH}_3\text{COCF}_3$  ...

(R. J. Levis, G.M. Menkir, and H. Rabitz. *Science*, 292:709–713, 2001).

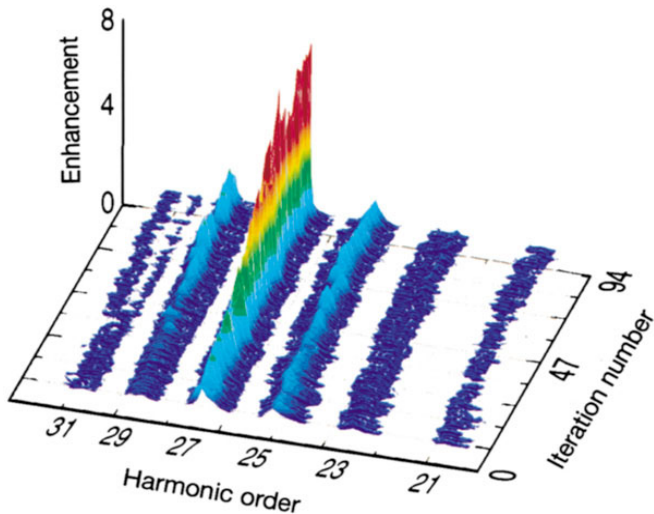


**Scheme 2.**

**Figure:** Selective dissociation **AND CREATION** of chemical bonds (laser induced).

Other examples:  $CF_3$  or  $CH_3$  from  $CH_3COCF_3$  ...

(R. J. Levis, G.M. Menkir, and H. Rabitz. *Science*, 292:709–713, 2001).



**Figure:** Experimental High Harmonic Generation (argon gas) obtain high frequency lasers from lower frequencies input pulses  $\omega \rightarrow n\omega$  (electron ionization that come back to the nuclear core) (R. Bartels et al. Nature, 406, 164, 2000).

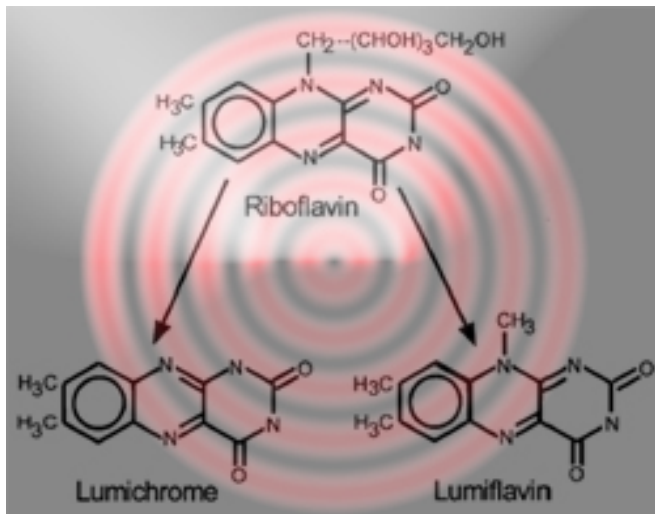
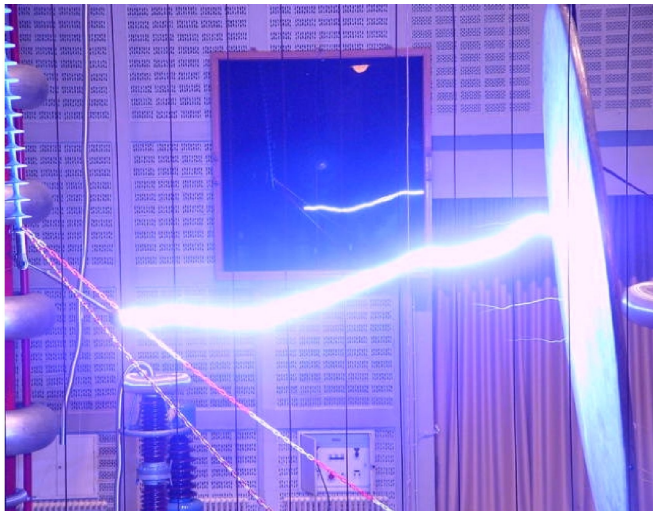
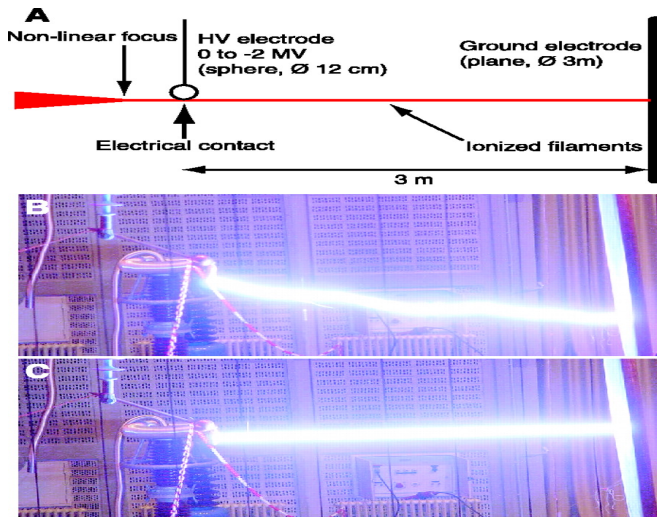


Figure: Studying the excited states of proteins. F. Courvoisier et al., App.Phys.Lett.

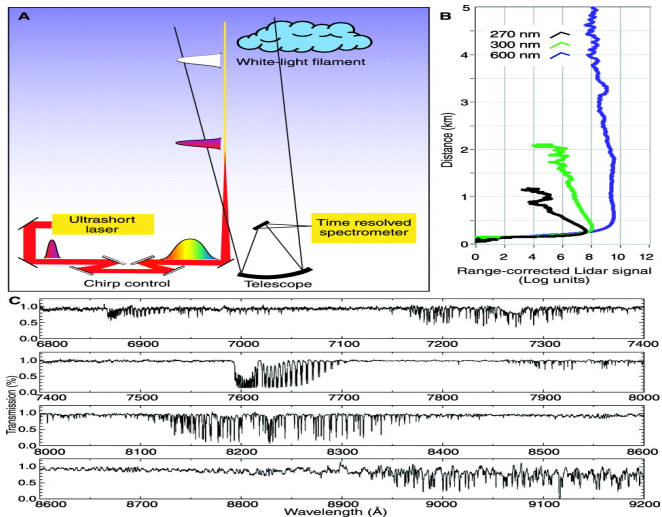


**Figure:** thunder control : experimental setting ; J. Kasparian Science, 301, 61 – 64 team of J.P.Wolf @ Lyon / Geneve , ...

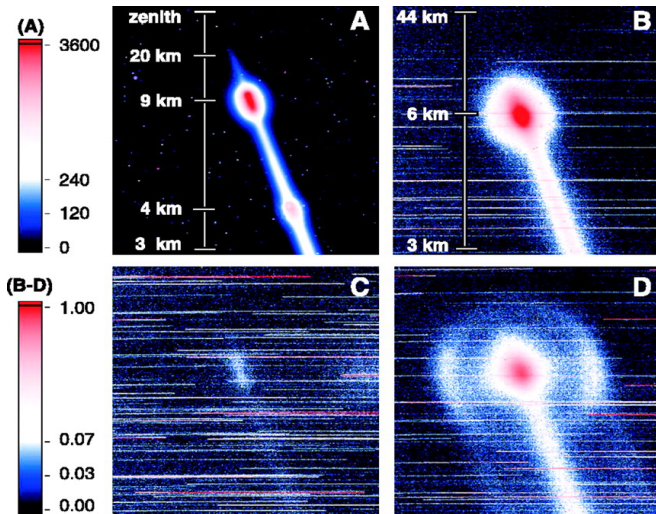


**Figure:** thunder control : (B) random discharges ; (C) guided by a laser filament ; J. Kasparian Science, 301, 61 – 64 team of J.P.Wolf @ Lyon / Geneve , ...





**Figure:** LIDAR = atmosphere detection; the pulse is tailored for an optimal reconstruction at the target : 20km = OK ! ; J. Kasparian Science, 301, 61 – 64



**Figure:** Creation of a white light of high intensity and spectral width ; J. Kasparian Science, 301, 61 – 64

## Other applications

- EMERGENT technology
- creation of particular molecular states
- long term: logical gates for quantum computers
- fast “switch” in semiconductors
- ...

# Outline

## 1 Controllability

- Background on controllability criteria

## 2 Control of rotational motion

- Physical picture

## 3 Controllability assessment with three independently polarized field components

## 4 Controllability for a locked combination of lasers

## 5 Controllability with two lasers

- Field shaped in the  $\vec{z}$  and  $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$  directions
- Field shaped in the  $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$  and  $\frac{\vec{x}-i\vec{y}}{\sqrt{2}}$  directions

# Single quantum system, bilinear control

Time dependent Schrödinger equation

$$\begin{cases} i \frac{\partial}{\partial t} \Psi(x, t) = H_0 \Psi(x, t) \\ \Psi(x, t = 0) = \Psi_0(x). \end{cases} \quad (1)$$

Add external **BILINEAR** interaction (e.g. laser)

$$\begin{cases} i \frac{\partial}{\partial t} \Psi(x, t) = (H_0 - \epsilon(t)\mu(x))\Psi(x, t) \\ \Psi(x, t = 0) = \Psi_0(x) \end{cases} \quad (2)$$

Ex.:  $H_0 = -\Delta + V(x)$ , unbounded domain

Evolution on the unit sphere:  $\|\Psi(t)\|_{L^2} = 1, \forall t \geq 0$ .

# Controllability

A system is **controllable** if for two arbitrary points  $\Psi_1$  and  $\Psi_2$  on the unit sphere (or other ensemble of admissible states) it can be steered from  $\Psi_1$  to  $\Psi_2$  with an **admissible control**.

Norm conservation : controllability is equivalent, up to a phase, to say that the projection to a target is  $= 1$ .

# Galerkin discretization of the Time Dependent Schrödinger equation

$$i \frac{\partial}{\partial t} \Psi(x, t) = (H_0 - \epsilon(t)\mu) \Psi(x, t)$$

- basis functions  $\{\psi_i; i = 1, \dots, N\}$ , e.g. the eigenfunctions of the  $H_0$ :  $\psi_k = e_k \psi_k$
- wavefunction written as  $\Psi = \sum_{k=1}^N c_k \psi_k$
- We will still denote by  $H_0$  and  $\mu$  the matrices ( $N \times N$ ) associated to the operators  $H_0$  and  $\mu$ :  $H_{0kl} = \langle \psi_k | H_0 | \psi_l \rangle$ ,  $\mu_{kl} = \langle \psi_k | \mu | \psi_l \rangle$ ,

# Lie algebra approaches

To assess controllability of

$$i\frac{\partial}{\partial t}\Psi(x, t) = (H_0 - \epsilon(t)\mu)\Psi(x, t)$$

construct the “dynamic” Lie algebra  $L = \text{Lie}(-iH_0, -i\mu)$ :

$$\begin{cases} \forall M_1, M_2 \in L, \forall \alpha, \beta \in \mathbf{R} : \alpha M_1 + \beta M_2 \in L \\ \forall M_1, M_2 \in L, [M_1, M_2] = M_1 M_2 - M_2 M_1 \in L \end{cases}$$

**Theorem** If the group  $e^L$  is compact any  $e^M\psi_0$ ,  $M \in L$  can be attained.

“Proof”  $M = -iAt$  : trivial by free evolution

Trotter formula:

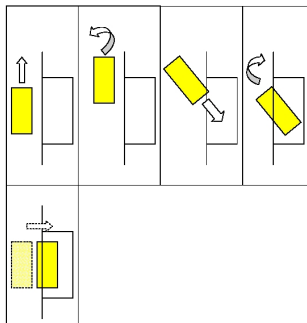
$$e^{i(AB-BA)} = \lim_{n \rightarrow \infty} \left[ e^{-iB/\sqrt{n}} e^{-iA/\sqrt{n}} e^{iB/\sqrt{n}} e^{iA/\sqrt{n}} \right]^n$$



# Operator synthesis ( “lateral parking” )

$$\text{Trotter formula: } e^{i[A,B]} = \lim_{n \rightarrow \infty} \left[ e^{-iB/\sqrt{n}} e^{-iA/\sqrt{n}} e^{iB/\sqrt{n}} e^{iA/\sqrt{n}} \right]^n$$

$e^{\pm iA}$  = advance/reverse ;  $e^{\pm iB}$  = turn left/right



Corollary. If  $L = u(N)$  or  $L = su(N)$  (the (null-traced) skew-hermitian matrices) then the system is controllable.

“Proof” For any  $\Psi_0, \Psi_T$  there exists a “rotation”  $U$  in  $U(N) = e^{u(N)}$  (or in  $SU(N) = e^{su(N)}$ ) such that  $\Psi_T = U\Psi_0$ .

- (Albertini & D'Alessandro 2001) Controllability also true for  $L$  isomorphic to  $sp(N/2)$  (unicity).

$sp(N/2) = \{M : M^* + M = 0, M^t J + JM = 0\}$  where  $J$  is a matrix unitary equivalent to  $\begin{pmatrix} 0 & I_{N/2} \\ -I_{N/2} & 0 \end{pmatrix}$  and  $I_{N/2}$  is the identity matrix of dimension  $N/2$

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# Physical picture

- linear rigid molecule, Hamiltonian  $H = B\hat{J}^2$ ,  $B$  = rotational constant,  $\hat{J}$  = angular momentum operator.
- control = electric field  $\overrightarrow{\epsilon(t)}$  by the dipole operator  $\overrightarrow{d}$ . Field  $\overrightarrow{\epsilon(t)}$  is multi-polarized i.e.  $x, y, z$  components tuned independently

Time dependent Schrödinger equation ( $\theta, \phi$  = polar coordinates):

$$i\hbar \frac{\partial}{\partial t} |\psi(\theta, \phi, t)\rangle = (B\hat{J}^2 - \overrightarrow{\epsilon(t)} \cdot \overrightarrow{d}) |\psi(\theta, \phi, t)\rangle \quad (3)$$

$$|\psi(0)\rangle = |\psi_0\rangle, \quad (4)$$

# Discretization

Eigenbasis decomposition of  $B\hat{J}^2$  with spherical harmonics ( $J \geq 0$  and  $-J \leq m \leq J$ ):

$$B\hat{J}^2|Y_J^m\rangle = E_J|Y_J^m\rangle,$$

$$E_J = BJ(J+1). \text{ highly degenerate !}$$

Note  $E_{J+1} - E_J = 2B(J+1)$ , we truncate :  $J \leq J_{\max}$ .

Refs: G.T. H. Rabitz : J Phys A (to appear), preprint  
<http://hal.archives-ouvertes.fr/hal-00450794/en/>

# Dipole interaction

Dipole in space fixed cartesian coordinates

$\vec{\epsilon}(t) \cdot \vec{d} = \epsilon_x(t)x + \epsilon_y(t)y + \epsilon_z(t)z$   $\vec{x}$ ,  $\vec{y}$  and  $\vec{z}$ ,  
components  $\epsilon_x(t), \epsilon_y(t), \epsilon_z(t) =$  independent.

Using as basis the  $J = 1$  spherical harmonics

$$Y_1^{\pm 1} = \frac{\mp 1}{2} \sqrt{\frac{3}{2\pi}} \frac{x \pm iy}{r}, \quad Y_1^0 = \frac{1}{2} \sqrt{\frac{3}{\pi}} \frac{z}{r}, \quad (5)$$

We obtain  $\vec{\epsilon}(t) \cdot \vec{d} = \epsilon_0(t)d_{10}Y_1^0 + \epsilon_{+1}(t)d_{11}Y_1^1 + \epsilon_{-1}(t)d_{1-1}Y_1^{-1}$ .

After rescaling

$$\vec{\epsilon}(t) \cdot \vec{d} = \epsilon_0(t)Y_1^0 + \epsilon_{+1}(t)Y_1^1 + \epsilon_{-1}(t)Y_1^{-1}. \quad (6)$$

# Discretization

$D_k$  = matrix of  $Y_1^k$  ( $k = -1, 0, 1$ ). Entries:

$$(D_k)_{(Jm),(J'm')} = \langle Y_J^m | Y_1^k | Y_{J'}^{m'} \rangle = \int (Y_J^m)^*(\theta, \phi) Y_1^k(\theta, \phi) Y_{J'}^{m'}(\theta, \phi) \sin(\theta) d\theta d\phi$$

$$= \sqrt{\frac{3(2J+1)(2J'+1)}{4\pi}} \begin{pmatrix} J & 1 & J' \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} J & 1 & J' \\ m & k & m' \end{pmatrix}. \quad (*)$$

$$\begin{pmatrix} J & 1 & J' \\ 0 & 0 & 0 \end{pmatrix} \text{ and } \begin{pmatrix} J & 1 & J' \\ m & k & m' \end{pmatrix} = \text{Wigner 3J-symbols}$$

Entries are zero except when  $m + k + m' = 0$  and  $|J - J'| = 1$ .

# Discrete TDSE

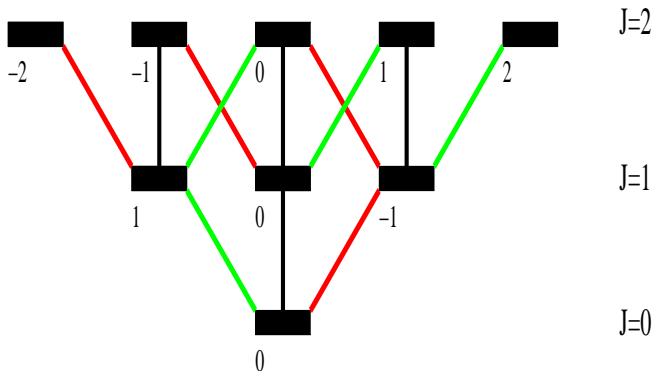
$\Psi(t)$  = coefficients of  $\psi(\theta, \phi, t)$  with respect to the spherical harmonic basis

$$\begin{cases} i \frac{\partial}{\partial t} \Psi(t) = (E - \epsilon_0(t)D_0 + \epsilon_{-1}(t)D_{-1} + \epsilon_1(t)D_1)\Psi(t) \\ \Psi(t=0) = \Psi_0. \end{cases} \quad (8)$$

$E$  = diagonal matrix with entries  $E_J$  for all  $Jm$ ,  $-J \leq m \leq J$ ,  
 $J \leq J_{\max}$ .



# Coupling structure



**Figure:** The three matrices  $D_k$ ,  $k = -1, 0, 1$  coupling the eigenstates are each represented by a different color (green, black, red) for  $J_{\max} = 2$ . On the  $J$ -th line from bottom, the states are from left to right in order  $|Y_J^{m=-J}\rangle, \dots, |Y_J^{m=J}\rangle$  for even values of  $J$  and  $|Y_J^{m=J}\rangle, \dots, |Y_J^{m=-J}\rangle$  for odd values of  $J$ . The  $m$  quantum number labelings are indicated in the figure.

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# Controllability with 3 fields

## Theorem (GT, H.Rabitz '10)

Let  $J_{\max} \geq 1$  and denote  $N = (J_{\max} + 1)^2$ . Let  $E, D_k$ ,  $k = -1, 0, 1$  be  $N \times N$  matrices indexed by  $Jm$  with  $J = 0, \dots, J_{\max}$ ,  $|m| \leq J$  where:

$$E_{Jm;J'm'} = \delta_{JJ'}\delta_{mm'}E_J \quad (9)$$

$$(D_0)_{Jm,J'm'} \neq 0 \Leftrightarrow |J - J'| = 1, m + m' = 0 \quad (10)$$

$$(D_1)_{Jm,J'm'} \neq 0 \Leftrightarrow |J - J'| = 1, m + m' + 1 = 0 \quad (11)$$

$$(D_{-1})_{Jm,J'm'} \neq 0 \Leftrightarrow |J - J'| = 1, m + m' - 1 = 0. \quad (12)$$

and recall that

$$E_J = J(J + 1). \quad (13)$$

Then the system described by  $E, D_{-1}, D_0, D_1$  is controllable.

## Controllability with 3 fields

**Proof** Idea: construct the Lie algebra spanned by  $iE$ ,  $iD_k$ ; begin by first iterating the commutators, obtain generators for any transition (degenerate); then combine the results using the coupling structure.

# Controllability with 3 fields

## Theorem (GT, H.R '10)

Consider a finite dimensional system expressed in an eigenbasis of its internal Hamiltonian  $E$  with eigenstates indexed  $a = (Jm)$  with  $J = 0, \dots, J_{\max}$ ,  $m = 1, \dots, m_J^{\max}$ ,  $m_0^{\max} = 1$ , and such that

$$E_{Jm;J'm'} = \delta_{JJ'}\delta_{mm'}E_J, \quad E_{J+1} - E_J \neq E_{J'+1} - E_{J'}, \forall J \neq J'. \quad (14)$$

Consider  $K$  coupling matrices  $D_k$ ,  $k = 1, \dots, K$  such that

$$(D_k)_{(Jm),(J'm')} \neq 0 \Rightarrow |J - J'| = 1 \quad (15)$$

$$(D_k)_{(Jm),(J'm')} \neq 0, (D_k)_{(Jm),(J''m'')} \neq 0, J \leq J' \leq J'' \Rightarrow J' = J'', m' = m'' \quad (16)$$

If the graph of the system is connected then the system is controllable.

# Controllability with 3 fields

## Remark

*The results can be extended to the case of a symmetric top molecule; the energy levels are described by three quantum numbers  $E_{JKm}$  with  $|m| \leq J$ ,  $|K| \leq J$  and*

$$E_{JKm} = C_1 J(J+1) + C_2 K^2, \quad (17)$$

*(for some constants  $C_1$  and  $C_2$ ); if the initial state is in the ground state, or any other state with  $K = 0$  the coupling operators have the same structure as in Thm. 4.1 and thus any linear combination of eigenstates with quantum numbers  $J, K = 0, m$  can be reached (same result directly applies).*

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# Controllability with fixed linear combination

What if  $\epsilon_k(t)$ ,  $k = -1, 0, 1$  are not chosen independently but with a locked linear dependence through coefficients  $\alpha_k$  :

$$\overrightarrow{\epsilon(t)} \cdot \overrightarrow{d} = \epsilon(t) \{ \alpha_{-1} Y_1^{-1} + \alpha_0 Y_1^0 + \alpha_1 Y_1^1 \}.$$

There exist non-controllable cases for **any** given linear combination:

$$E = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix}, \quad \overrightarrow{e(t)} \cdot \overrightarrow{d} = \epsilon(t) \mu, \quad \mu = \begin{pmatrix} 0 & \alpha_{-1} & \alpha_0 & \alpha_1 \\ \alpha_{-1} & 0 & 0 & 0 \\ \alpha_0 & 0 & 0 & 0 \\ \alpha_1 & 0 & 0 & 0 \end{pmatrix}$$

This system is such that for all  $\alpha_k$  ( $k = -1, 0, 1$ ) the Lie algebra generated by  $iE$  and  $i\mu$  is  $u(2)$ , thus the system is not controllable with one laser field (but ok with 3).



# Controllability with fixed linear combination

## Theorem

*Let  $A, B_1, \dots, B_K$  be elements of a finite dimensional Lie algebra  $L$ . For  $\alpha = (\alpha_1, \dots, \alpha_K) \in \mathbb{R}^K$  we denote  $L_\alpha$  as the Lie algebra generated by  $A$  and  $B_\alpha = \sum_{k=1}^K \alpha_k B_k$ . Define the maximal dimension of  $L_\alpha$*

$$d_{A, B_1, \dots, B_K}^1 = \max_{\alpha \in \mathbb{R}^K} \dim_{\mathbb{R}}(L_\alpha). \quad (19)$$

*Then with probability one with respect to  $\alpha$ ,  $\dim(L_\alpha) = d_{A, B_1, \dots, B_K}^1$ .*

## Remark

*The dimension  $d_{A, B_1, \dots, B_K}^1$  is specific to the choice of coupling operators  $B_k$  (easily computed).*

# Controllability with fixed linear combination

**Proof.** List of all possible iterative commutators constructed from  $A$  and  $B_\alpha$ :

$$\mathcal{C}^\alpha = \{\zeta_1^\alpha = A, \zeta_2^\alpha = B, \zeta_3^\alpha = [A, B_\alpha], \zeta_4^\alpha = [B_\alpha, A], \zeta_5^\alpha = [A, [A, B_\alpha]], \dots\}. \quad (20)$$

Note :  $\zeta_{i_1}^\alpha, \dots, \zeta_{i_r}^\alpha =$  linearly independent  $\iff$  Gram determinant is non-null (analytic criterion of  $\alpha$ );

One of the following alternatives is true:

- either this function is identically null for all  $\alpha$  (which is the case e.g., for  $\{\zeta_3^\alpha, \zeta_4^\alpha\}$  )
- or it is non-null everywhere with the possible exception of a zero measure set.

Let  $\mathcal{F}$  dense in  $\mathbb{R}^K$  such that if  $\zeta_{i_1}^\alpha, \dots, \zeta_{i_r}^\alpha$  are linearly independent for one value of  $\alpha \in \mathbb{R}^K$  then they are linearly independent for all  $\alpha' \in \mathcal{F}$ .

# Controllability with fixed linear combination

Denote by  $\alpha^*$  some value such that  $\dim_{\mathbb{R}}(L_{\alpha^*}) = d_{A,B_1,\dots,B_K}^1$ ; then there exists a set such that  $\{\zeta_{i_1}^{\alpha^*}, \dots, \zeta_{i_{d_{A,B_1,\dots,B_K}^1}}^{\alpha^*}\}$  are linearly independent; thus  $\{\zeta_{i_1}^{\alpha}, \dots, \zeta_{i_{d_M^1}}^{\alpha}\}$  linearly independent for any  $\alpha \in \mathcal{F}$ ; thus  $\dim_{\mathbb{R}}(L_{\alpha}) \geq d_{A,B_1,\dots,B_K}^1$  for all  $\alpha \in \mathcal{F}$ , q.e.d. (maximality of  $d_{A,B_1,\dots,B_K}^1$ ).

## Remark

*In numerical tests the Lie algebra generated by  $iE$  and  $iD_{\alpha} = i \sum_{k=-1}^1 \alpha_k D_k$  always had dimension  $(N-2)^2$ ; can this be proved ???*

Open question: the algebras for  $\alpha \in \mathbb{R}^K$  are isomorphic to subalgebras of the Lie algebra with maximal dimension ?

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# Controllability with two lasers

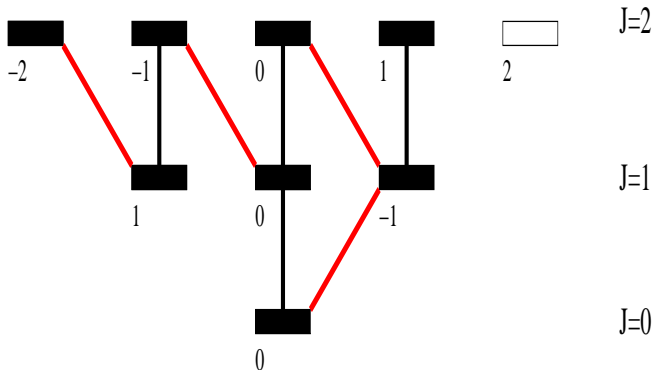
## Theorem

Let  $A, B_1, \dots, B_K$  be elements of a finite dimensional Lie algebra  $L$ . We denote for  $\alpha = (\alpha_1, \dots, \alpha_K) \in \mathbb{R}^K$  and  $\beta = (\beta_1, \dots, \beta_K) \in \mathbb{R}^K$  by  $L_{\alpha, \beta}$  the Lie algebra generated by  $A, B_\alpha = \sum_{k=1}^K \alpha_k B_k$  and  $B_\beta = \sum_{k=1}^K \beta_k B_k$ .

Define the maximal dimension of  $L_\alpha$

$$d_{A, B_1, \dots, B_K}^2 = \max_{\alpha \in \mathbb{R}^K} \dim_{\mathbb{R}}(L_{\alpha, \beta}). \quad (21)$$

Then with probability one with respect to  $\alpha, \beta$ ,  $\dim(L_{\alpha, \beta}) = d_M^2$ .

Field shaped in the  $\vec{z}$  and  $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$  directions

**Figure:** Field shaped in the  $\vec{z}$  and  $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$  directions, same conventions apply. The  $\epsilon_{-1} = 0$ , the coupling realized by the operator  $D_{-1}$  disappears and the state  $|Y_{J_{\max}}^{m=J_{\max}}\rangle$  is not connected with the others. In particular the population in state  $|Y_{J_{\max}}^{m=J_{\max}}\rangle$  cannot be changed by the two lasers and thus will be a conserved quantity.

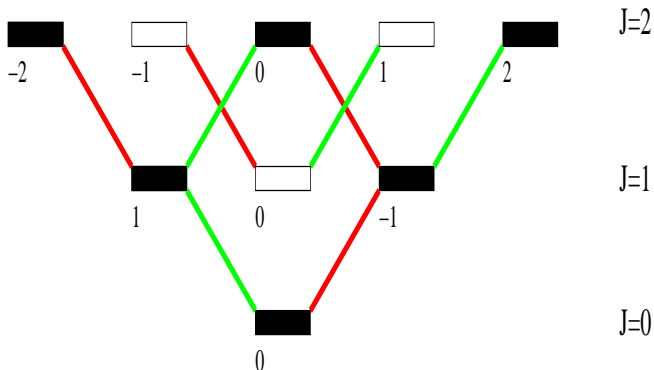
# Field shaped in the $\vec{z}$ and $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$ directions

## Theorem

*Consider the model of Thm.4.1 with  $\epsilon_{-1} = 0$ . Let  $|\psi_I\rangle$  and  $|\psi_F\rangle$  be two states that have the same population in  $|Y_{J_{\max}}^{m=J_{\max}}\rangle$  i.e.,  $|\langle\psi_I, Y_{J_{\max}}^{m=J_{\max}}\rangle|^2 = |\langle\psi_F, Y_{J_{\max}}^{m=J_{\max}}\rangle|^2$ . Then  $|\psi_F\rangle$  can be reached from  $|\psi_I\rangle$  with controls  $\epsilon_0(t)$  and  $\epsilon_1(t)$ .*

Similar analysis applies for  $\vec{z}$  and  $\frac{\vec{x}-i\vec{y}}{\sqrt{2}}$  directions; the population of  $|Y_{J_{\max}}^{m=-J_{\max}}\rangle$  is conserved and the compatibility relation reads:

$$|\langle\psi_I, Y_{J_{\max}}^{m=-J_{\max}}\rangle|^2 = |\langle\psi_F, Y_{J_{\max}}^{m=-J_{\max}}\rangle|^2. \quad (22)$$

Field shaped in the  $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$  and  $\frac{\vec{x}-i\vec{y}}{\sqrt{2}}$  directions

**Figure:** Field shaped in the  $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$  and  $\frac{\vec{x}-i\vec{y}}{\sqrt{2}}$  directions. Two connectivity sets appear:  $X_1 = \{|Y_0^0\rangle, |Y_1^{\pm 1}\rangle, |Y_2^{\pm 2}\rangle, |Y_2^0\rangle, \dots\}$  connected with  $|Y_0^0\rangle$  (filled black rectangles) and  $X_2 = \{|Y_1^0\rangle, |Y_2^{\pm 1}\rangle, |Y_3^{\pm 2}\rangle, |Y_3^0\rangle, \dots\}$  connected with  $|Y_1^0\rangle$  (empty rectangles).



# Field shaped in the $\frac{\vec{x}+i\vec{y}}{\sqrt{2}}$ and $\frac{\vec{x}-i\vec{y}}{\sqrt{2}}$ directions

Conservation law

$$\sum_{|Y_J^m\rangle \in X_1} |\langle \psi_I, Y_J^m \rangle|^2 = \sum_{|Y_J^m\rangle \in X_1} |\langle \psi_F, Y_J^m \rangle|^2. \quad (23)$$

## Theorem

*Consider the model of the Thm.4.1 with  $\epsilon_{-1} = 0$ . Let  $|\psi_I\rangle$  and  $|\psi_F\rangle$  be two states compatible in the sense of Eqn. (23). Then  $|\psi_F\rangle$  can be reached from  $|\psi_I\rangle$  with controls  $\epsilon_{-1}(t)$  and  $\epsilon_1(t)$ .*

**Proof** Construct Lie algebra for each laser then use the controllability criterion for independent systems.