

# Invisibility in acoustic waveguides

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Coll. with A. Bera<sup>2</sup>, A.-S. Bonnet-Ben Dhia<sup>2</sup>, S.A. Nazarov<sup>3</sup> and V. Pagneux<sup>4</sup>.

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<sup>4</sup>LAUM, Université du Maine, France

The Inria logo is a stylized, cursive script in red and orange colors.

# General setting

- ▶ We are interested in the **propagation of waves** in **acoustic** waveguides.



- ▶ In this talk, we study questions of **invisibility**.

Can we find situations where waves  
go through like if there were no defect

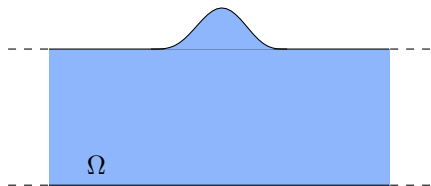


- One can wish to have **good energy transmission** through the structure.
- One can wish to **hide objects**.

# Waveguide problem

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- Scattering in **time-harmonic** regime of a **plane wave** in the **acoustic** waveguide  $\Omega$  coinciding with  $\{(x, y) \in \mathbb{R} \times (0; 1)\}$  outside a compact region.

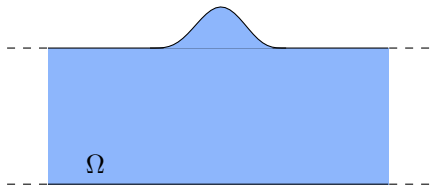


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- For this problem, the **modes** are

**Propagating**  $\left| w_n^\pm(x, y) = e^{\pm i\beta_n x} \cos(n\pi y), \beta_n = \sqrt{k^2 - n^2\pi^2}, n \in \llbracket 0, N-1 \rrbracket \right.$

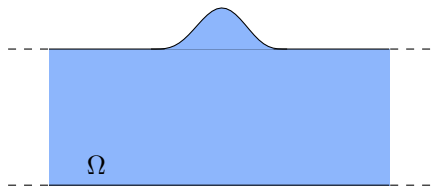
**Evanescent**  $\left| w_n^\pm(x, y) = e^{\mp \beta_n x} \cos(n\pi y), \beta_n = \sqrt{n^2\pi^2 - k^2}, n \geq N. \right.$



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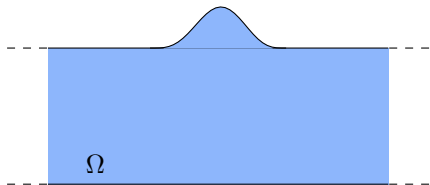


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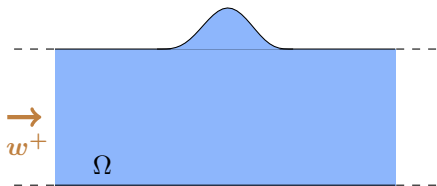
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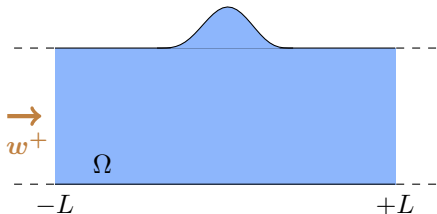
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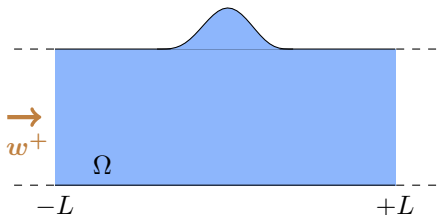
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DEFINITION:  $v_i =$  incident field  
 $v =$  total field  
 $v_s =$  scattered field.

# Invisibility and complete reflectivity

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- ▶ At infinity, one measures the reflection coefficient  $R = s^-$  and/or the transmission coefficient  $T = 1 + s^+$  (other terms are too small).
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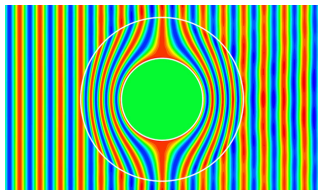
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REMARK: less ambitious than usual **cloaking** and therefore, more accessible. Also relevant for applications.





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**GOAL**

We explain how to find waveguides such that

$$R = 0 \ (|T| = 1), \ T = 1 \ (R = 0) \ \text{or} \ T = 0 \ (|R| = 1).$$

# Outline of the talk

---

## 1 First constructive method

$k$  is given, we use **perturbative techniques** to construct geometries such that  $R = 0$  or  $T = 1$ .

## 2 Second constructive method

$k$  is given, we use an approach based on **symmetries** to construct geometries such that  $R = 0$ ,  $T = 1$  or  $T = 0$  and even a bit more...

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For a **given geometry**, we explain how to find non reflecting  $k$  solving a **spectral problem**.

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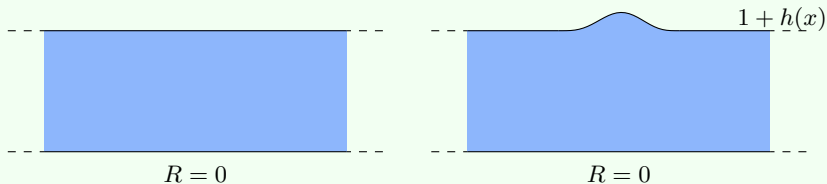
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# General picture

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- ▶ **Perturbative** technique: we construct small non reflecting defects using variants of the **implicit functions theorem**.

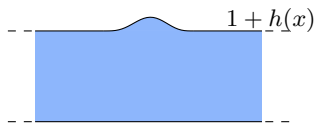


- ▶ The idea was used in [Nazarov 11](#) to construct **waveguides** for which there are **embedded eigenvalues** in the **continuous spectrum**.

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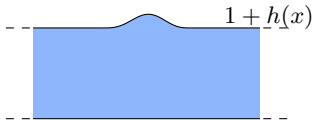


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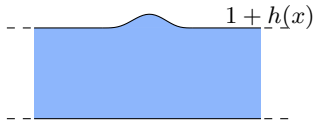


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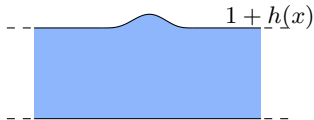
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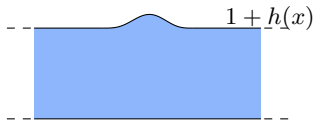
- ▶ We look for **small perturbations** of the reference medium:  $h = \varepsilon\mu$  where  $\varepsilon > 0$  is a small parameter and where  $\mu$  has to be determined.

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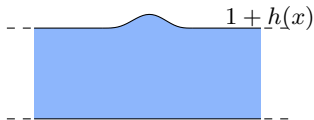
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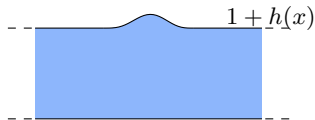
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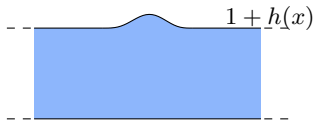
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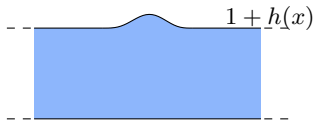
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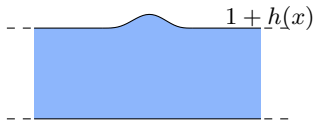
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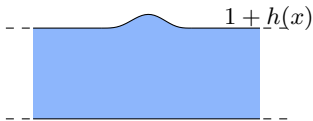
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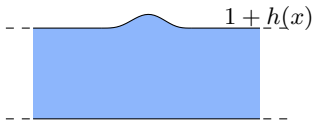
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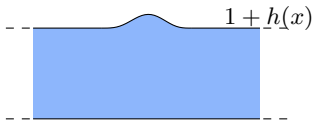
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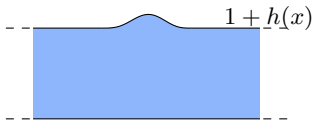
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$$0 = R(\varepsilon\mu) \Leftrightarrow \vec{\tau} = G^\varepsilon(\vec{\tau}) \quad \text{where} \quad \begin{cases} \vec{\tau} = (\tau_1, \tau_2)^\top \\ G^\varepsilon(\vec{\tau}) = -\varepsilon(\Re \tilde{R}^\varepsilon(\mu), \Im \tilde{R}^\varepsilon(\mu))^\top. \end{cases}$$

# Sketch of the method

- ▶ For  $h \in \mathcal{C}_0^\infty(\mathbb{R})$ , set  $R = R(h) \in \mathbb{C}$ .

Note that  $R(0) = 0$   
(no obstacle leads to null measurements).



Our goal: to find  $h \in \mathcal{C}_0^\infty(\mathbb{R})$  such that  $R(h) = 0$  (with  $h \neq 0$ ).

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Assume that  $dR(0) : \mathcal{C}_0^\infty(\mathbb{R}) \rightarrow \mathbb{C}$  is onto.

$\exists \mu_0, \mu_1, \mu_2 \in \mathcal{C}_0^\infty(\mathbb{R})$  s.t.  $dR(0)(\mu_0) = 0$ ,  $dR(0)(\mu_1) = 1$  and  $dR(0)(\mu_2) = i$ .

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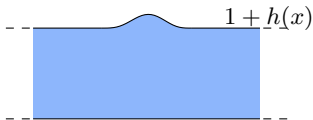
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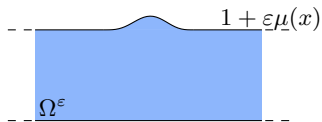
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Set  $h^{\text{sol}} := \varepsilon\mu^{\text{sol}}$ . We have  $R(h^{\text{sol}}) = 0$  (non reflecting perturbation).

# Calculus of the differential

---

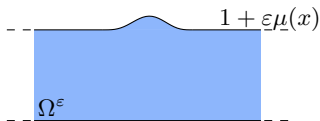


- Using classical results of asymptotic analysis, we obtain

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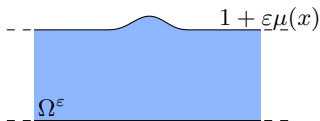
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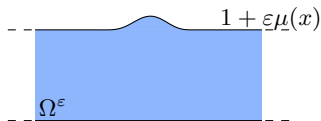
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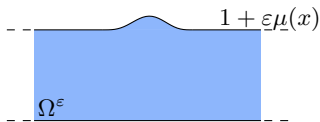
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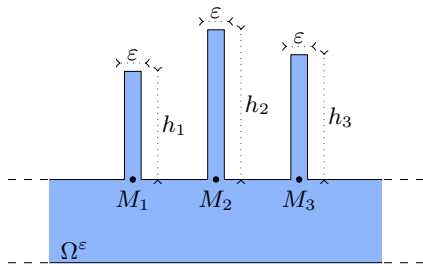
$$T(\varepsilon\mu) - 1 = 0 + \varepsilon \mathbf{0} + O(\varepsilon^2).$$



$dT(0)$  is **not onto**  $\Rightarrow$  the approach fails to impose  $T = 1$ .

# A perturbative method to get $T = 1$

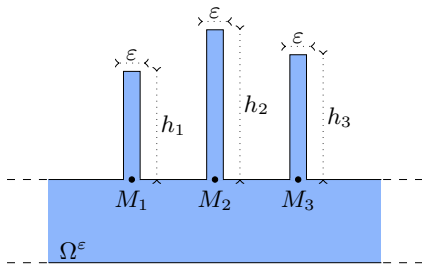
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$$R = 0 + \varepsilon \left( ik \sum_{n=1}^3 (w^+(M_n))^2 \tan(kh_n) \right) + O(\varepsilon^2)$$
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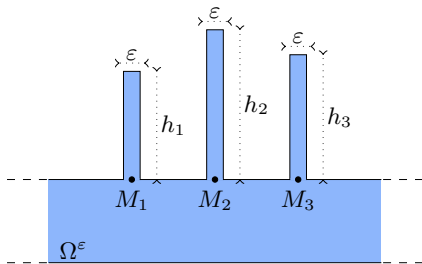
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1) We can find  $M_n, h_n$  such that  $R = O(\varepsilon^2)$  and  $T = 1 + O(\varepsilon^2)$ .



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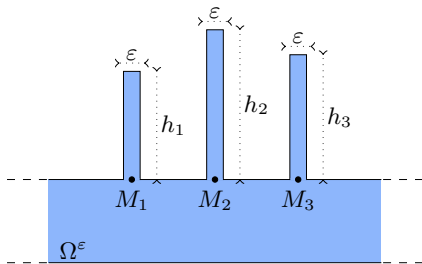
1) We can find  $M_n$ ,  $h_n$  such that  $R = O(\varepsilon^2)$  and  $T = 1 + O(\varepsilon^2)$ .

2) Then changing  $h_n$  into  $h_n + \tau_n$ , and choosing a good  $\tau = (\tau_1, \tau_2, \tau_3) \in \mathbb{R}^3$  (**fixed point**), we can get  $R = 0$  and  $\Im m T = 0$ .



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3) **Energy conservation** +  $[T = 1 + O(\varepsilon)] \Rightarrow T = 1$ .



# Numerical results

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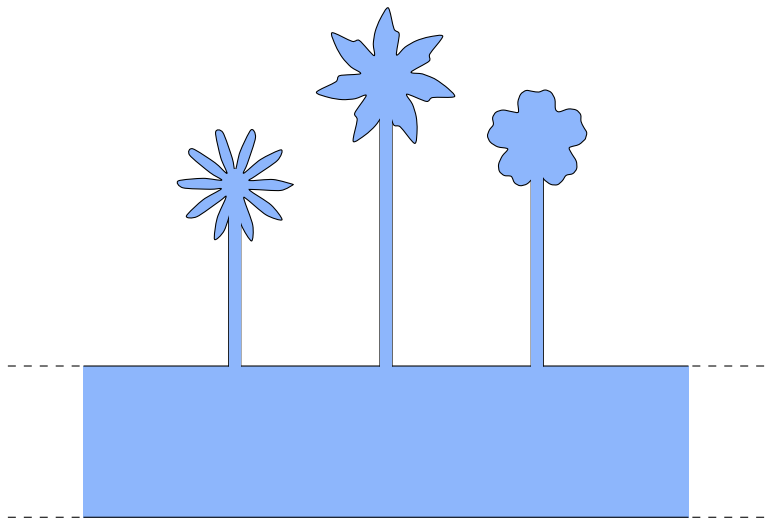
▶ Perturbed waveguide ( $\Re (v(x, y)e^{-i\omega t})$ )

▶ Reference waveguide ( $\Re (v_i(x, y)e^{-i\omega t})$ )

## Remark

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- ▶ We could also have worked with **gardens of flowers!**





# Outline of the talk

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## 1 First constructive method

$k$  is given, we use perturbative techniques to construct geometries such that  $R = 0$  or  $T = 1$ .

## 2 Second constructive method

$k$  is given, we use an approach based on symmetries to construct geometries such that  $R = 0$ ,  $T = 1$  or  $T = 0$  and even a bit more...

## 3 A spe

First approach was perturbative.  
How to get large invisible defects

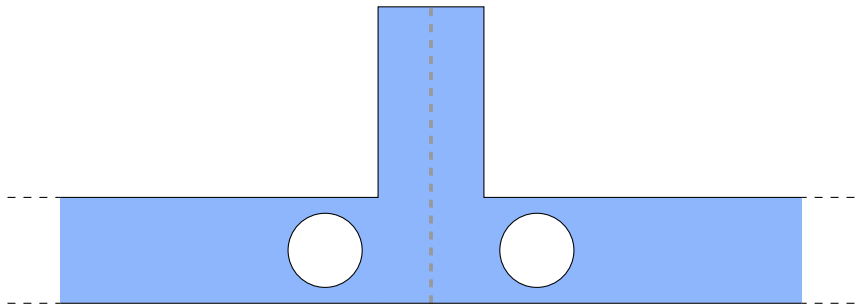


For a given  $k$ , solving a spectral problem.

# Geometrical setting

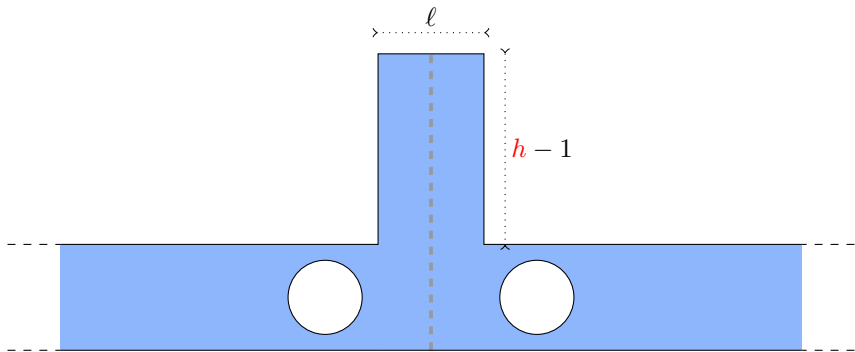
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- ▶ We work in waveguides which are **symmetric** with respect to  $(Oy)$  and which contain a **branch of finite height**.



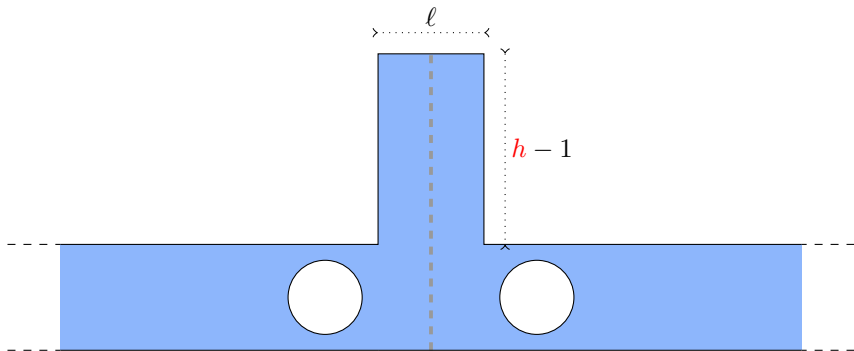
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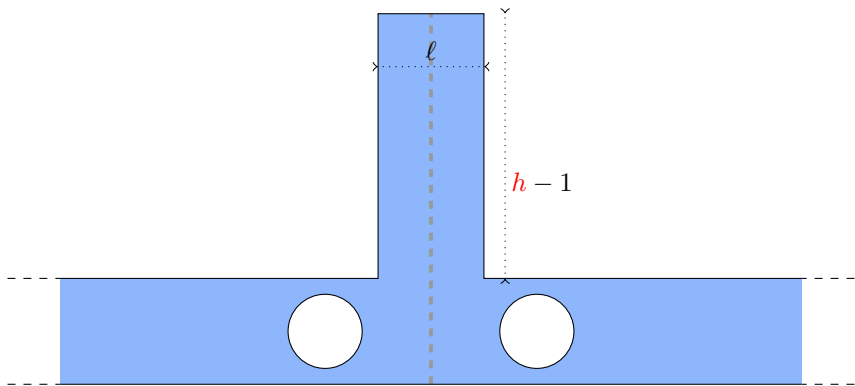
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→ We will study the behaviour of the coefficients  $R, T \in \mathbb{C}$  as  $h \rightarrow +\infty$ .

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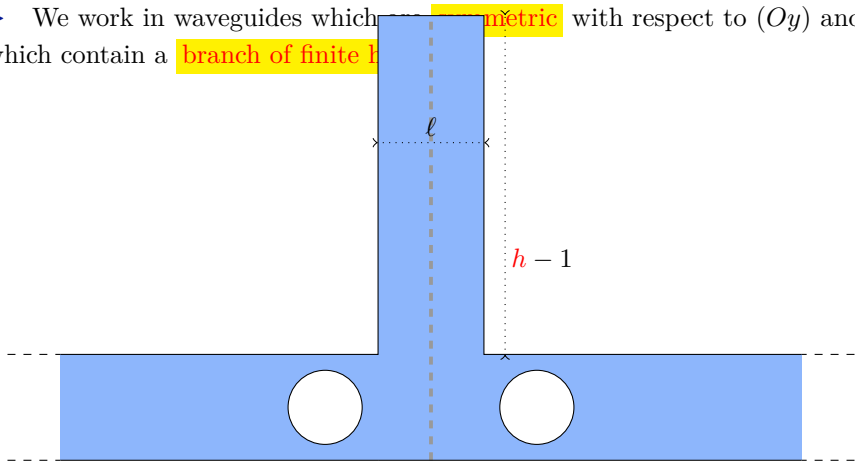
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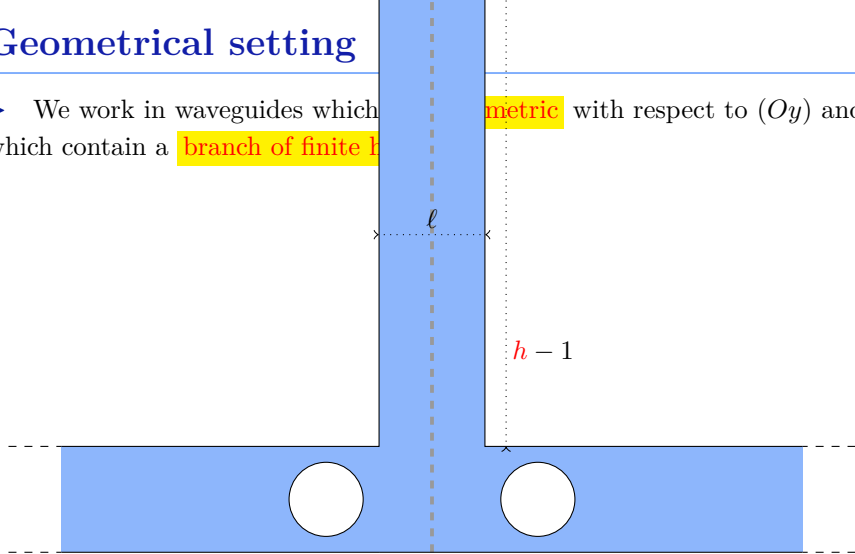
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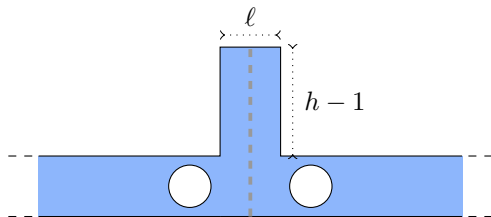
- 1 First constructive method
- 2 Second constructive method
  - Main analysis
  - Numerical results
- 3 A spectral approach to determine non reflecting wavenumbers



# Half-waveguide problems

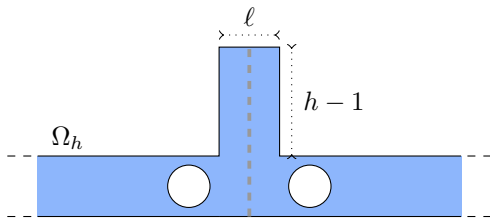
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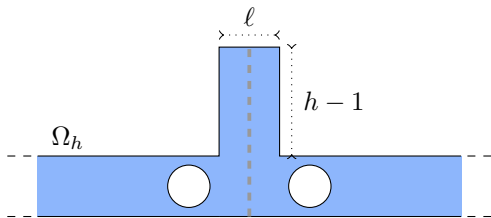
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$$\left| \begin{array}{ll} -\Delta v = k^2 v & \text{in } \Omega_h \\ \partial_n v = 0 & \text{on } \partial\Omega_h \end{array} \right.$$

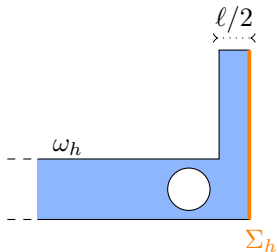
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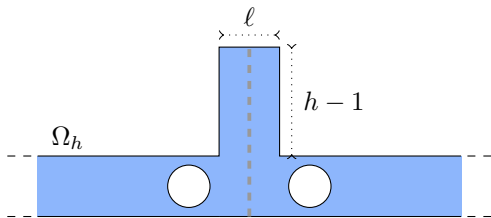
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- Introduce the two **half-waveguide** problems



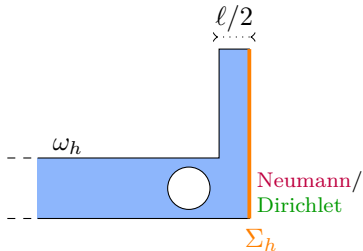
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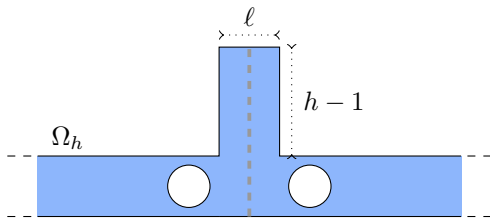


$$\left| \begin{array}{ll} -\Delta u = k^2 u & \text{in } \omega_h \\ \partial_n u = 0 & \text{on } \partial\omega_h \end{array} \right.$$

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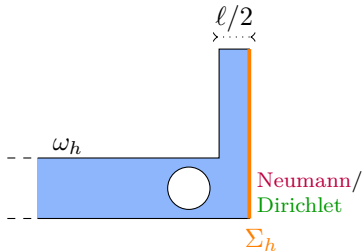
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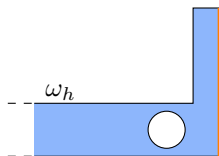
$$\left| \begin{array}{ll} -\Delta u = k^2 u & \text{in } \omega_h \\ \partial_n u = 0 & \text{on } \partial\omega_h \end{array} \right. \text{Neumann B.C.}$$

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# Relations for the scattering coefficients

- ▶ Half-waveguide problems admit the solutions

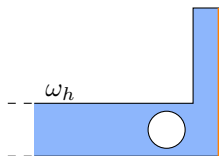
$$u = w^+ + R^N w^- + \tilde{u}, \quad \text{with } \tilde{u} \in H^1(\omega_h)$$
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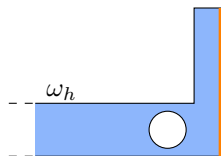
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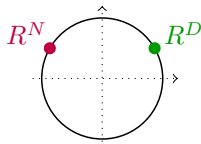
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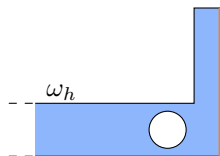




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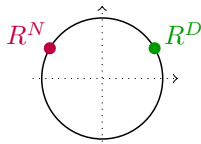
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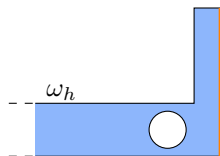
- ▶ Using that  $v = \frac{u+U}{2}$  in  $\omega_h$ ,  $v(x, y) = \frac{u(-x, y) - U(-x, y)}{2}$  in  $\Omega_h \setminus \overline{\omega_h}$ ,

we deduce that  $R = \frac{R^N + R^D}{2}$  and  $T = \frac{R^N - R^D}{2}$ .

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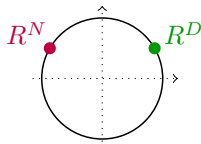
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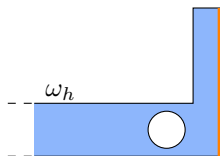
**Non reflectivity**

$$\Leftrightarrow R^N = -R^D$$

# Relations for the scattering coefficients

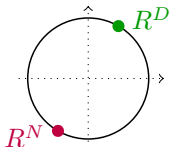
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$$\begin{aligned}u &= w^+ + R^N w^- + \tilde{u}, & \text{with } \tilde{u} &\in H^1(\omega_h) \\U &= w^+ + R^D w^- + \tilde{U}, & \text{with } \tilde{U} &\in H^1(\omega_h).\end{aligned}$$



- ▶ Due to conservation of energy, one has

$$|R^N| = |R^D| = 1.$$



- ▶ Using that  $v = \frac{u+U}{2}$  in  $\omega_h$ ,  $v(x, y) = \frac{u(-x, y) - U(-x, y)}{2}$  in  $\Omega_h \setminus \overline{\omega_h}$ ,

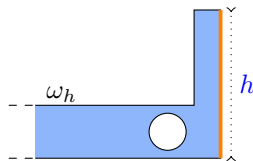
we deduce that  $R = \frac{R^N + R^D}{2}$  and  $T = \frac{R^N - R^D}{2}$ .

**Non reflectivity**  
 $\Leftrightarrow R^N = -R^D$

# Relations for the scattering coefficients

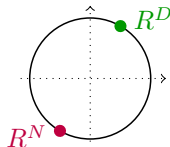
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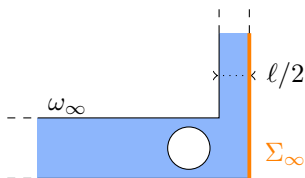
$$\Leftrightarrow R^N = -R^D$$

→ Now, we study the behaviour of  $R^N = R^N(h)$ ,  $R^D = R^D(h)$  as  $h \rightarrow +\infty$ .

# Asymptotics of $R^N$ , $R^D$



Depends on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$



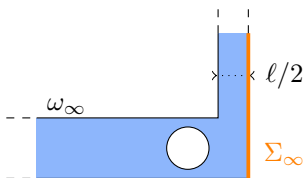
$$(\mathcal{P}^N) \left| \begin{array}{l} -\Delta\varphi = k^2\varphi \quad \text{in } \omega_\infty \\ \partial_n\varphi = 0 \quad \text{on } \partial\omega_\infty \end{array} \right.$$

$$(\mathcal{P}^D) \left| \begin{array}{l} -\Delta\varphi = k^2\varphi \quad \text{in } \omega_\infty \\ \partial_n\varphi = 0 \quad \text{on } \partial\omega_\infty \setminus \Sigma_\infty \\ \varphi = 0 \quad \text{on } \Sigma_\infty. \end{array} \right.$$

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► Analysis for  $R^D$

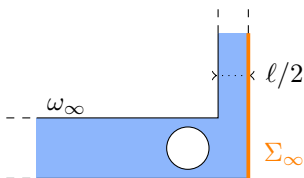
For  $\ell \in (0; \pi/k)$ , **no prop. modes** in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^D)$

$\Rightarrow h \mapsto R^D(h)$  tends to a **constant** on  $\mathcal{C} := \{z \in \mathbb{C}, |z| = 1\}$ .

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For  $\ell \in (0; \pi/k)$ , **no prop. modes** in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^D)$   
 $\Rightarrow h \mapsto R^D(h)$  tends to a **constant** on  $\mathcal{C} := \{z \in \mathbb{C}, |z| = 1\}$ .

► Analysis for  $R^N$

For  $\ell \in (0; 2\pi/k)$ , **2 prop. modes** in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^N)$   
 $\Rightarrow h \mapsto R^N(h)$  runs **continuously** and **almost periodically** on  $\mathcal{C}$ .

## Conclusions for $\ell \in (0; \pi/k)$ , $s_{12} \neq 0$

---

► Reminder:  $R = \frac{R^N + R^D}{2}$  and  $T = \frac{R^N - R^D}{2}$ .

PROPOSITION: Asympt. as  $h \rightarrow +\infty$ ,  $R$  and  $T$  run on circles of radius  $1/2$ .



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PROPOSITION: There is an unbounded sequence  $(\mathcal{H}_n)$  such that for  $h = \mathcal{H}_n$ ,  $R^N = R^D$  and so  $T = 0$  (complete reflectivity).

► Sequences  $(h_n)$  and  $(\mathcal{H}_n)$  are almost periodic. As  $n \rightarrow +\infty$ , we have

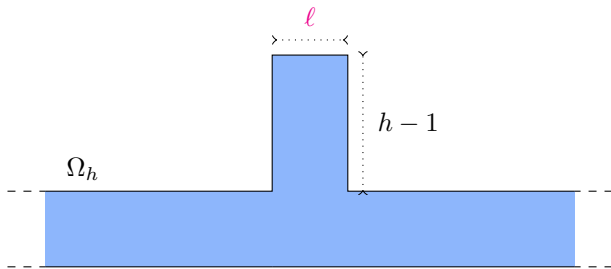
$$h_{n+1} - h_n = \pi/k + \dots \quad \text{and} \quad \mathcal{H}_{n+1} - \mathcal{H}_n = \pi/k + \dots$$

- 1 First constructive method
- 2 Second constructive method
  - Main analysis
  - Numerical results
- 3 A spectral approach to determine non reflecting wavenumbers

# Setting

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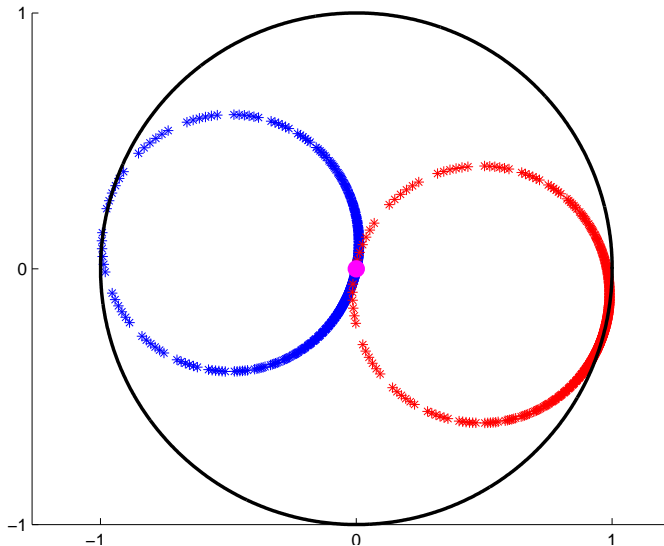
- ▶ We compute numerically  $R$ ,  $T$  for  $h \in (2; 10)$  in the geometry  $\Omega_h$



- ▶ We use a **P2 finite element method** with Dirichlet-to-Neumann maps.
- ▶ We set  $k = 0.8\pi$  and  $l = 1 \in (0; \pi/k)$ .

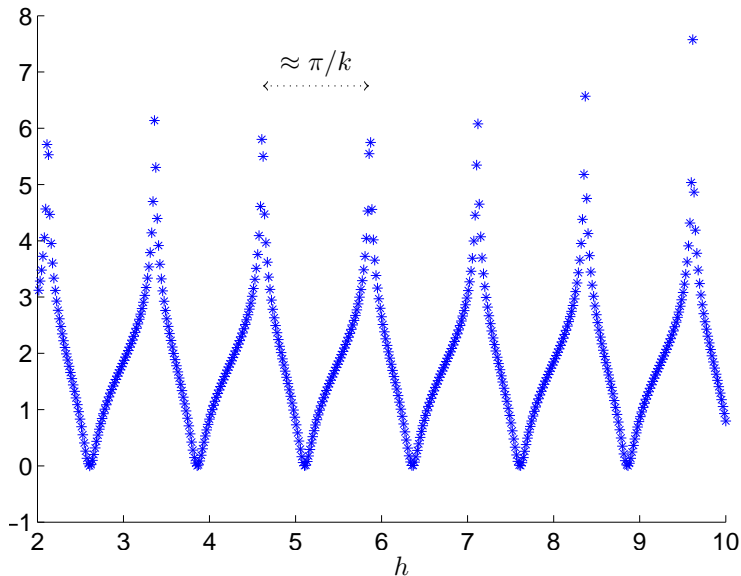
# Numerical results

- ▶ Reflection coefficient  $R$  and transmission coefficient  $T$  for  $h \in (2; 10)$ .



# Non reflectivity

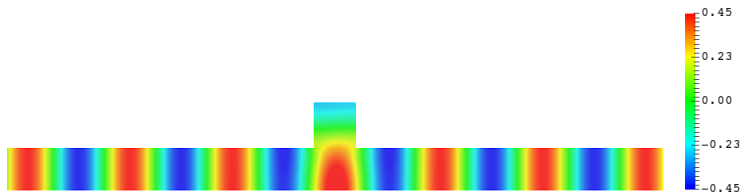
- Curve  $h \mapsto -\ln |R|$ . Peaks correspond to non reflectivity.



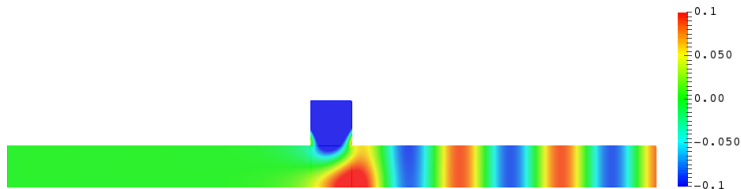
# Non reflectivity

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- ▶ Total field  $v$  for  $h$  such that  $R = 0$ .



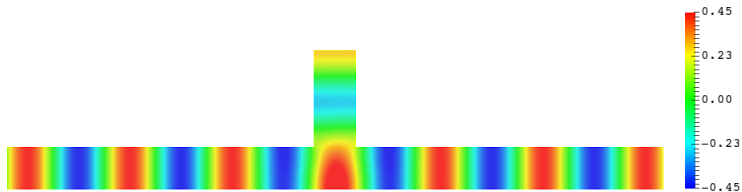
- ▶ Scattered field  $v_s$ .



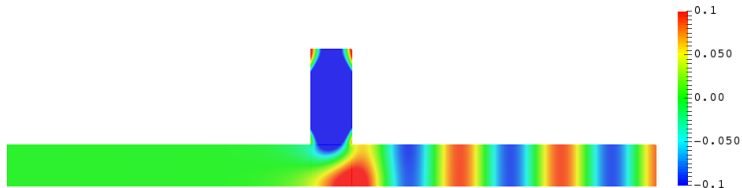
# Non reflectivity

---

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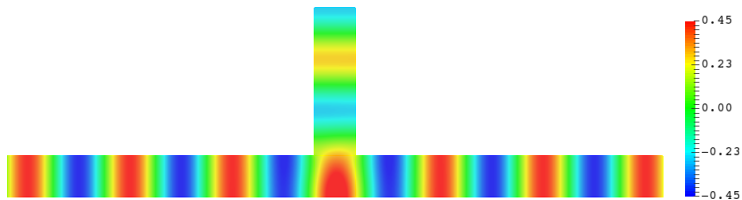




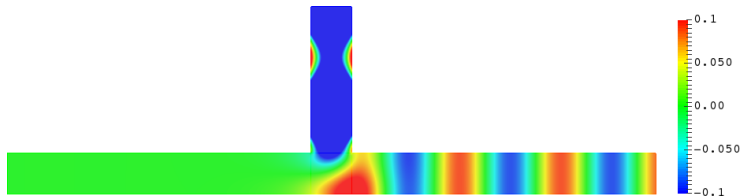
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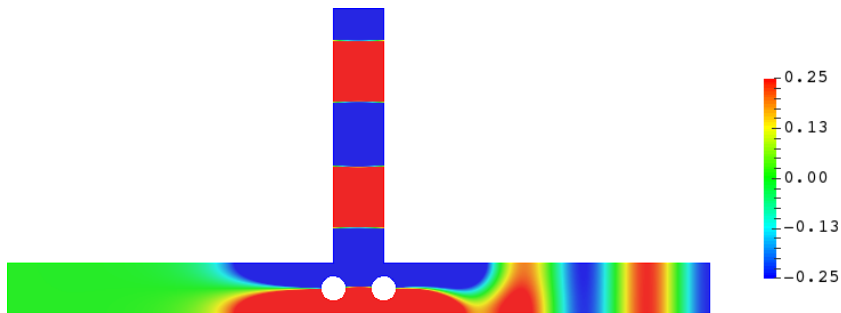
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# Other non reflecting geometry

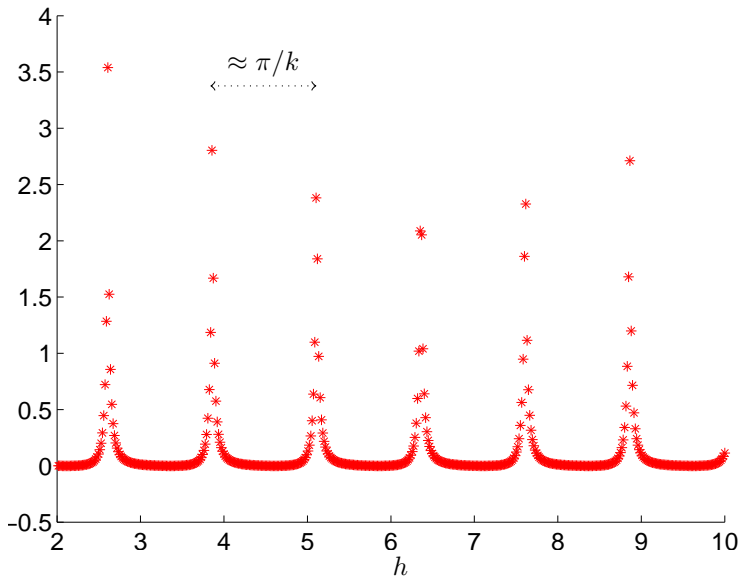
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- ▶ Scattered field  $v_s$ .



# Complete reflectivity

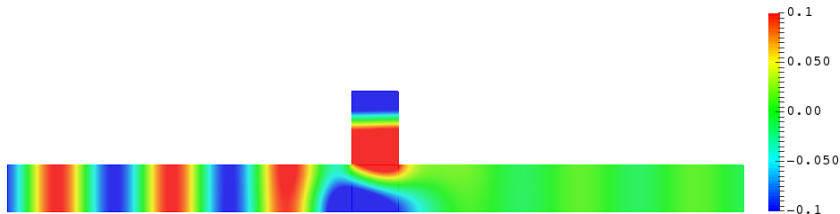
- Curve  $h \mapsto -\ln|T|$ . Peaks correspond to complete reflectivity.



# Complete reflectivity

---

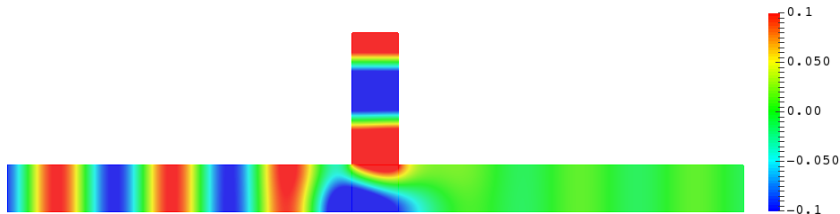
- ▶ Total field  $v$  for  $h$  such that  $T = 0$ .



# Complete reflectivity

---

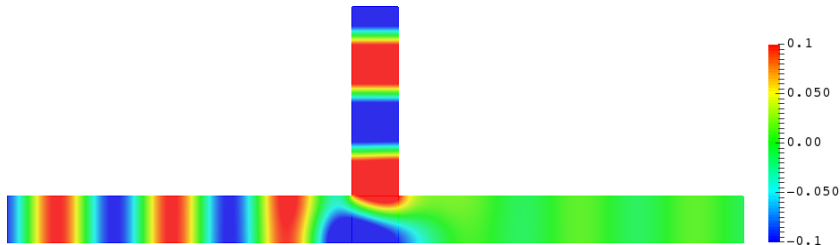
- ▶ Total field  $v$  for  $h$  such that  $T = 0$ .



# Complete reflectivity

---

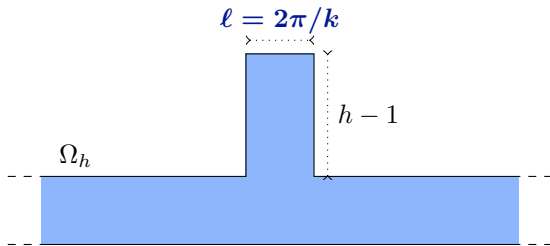
- ▶ Total field  $v$  for  $h$  such that  $T = 0$ .



## The special case $\ell = 2\pi/k$ - perfect invisibility

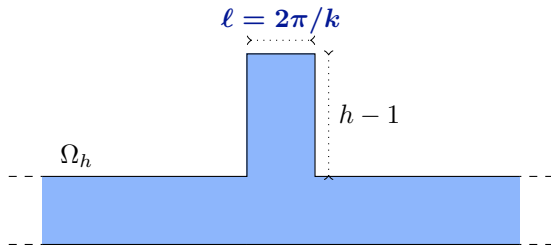
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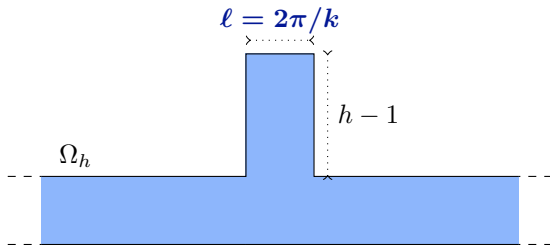


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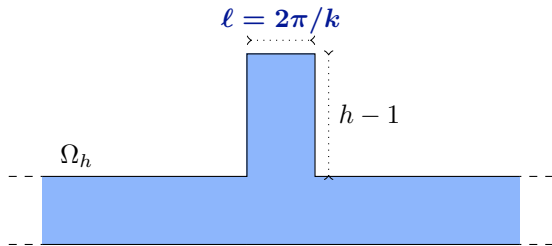


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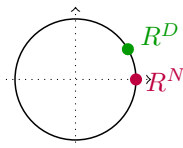
★  $u = w^+ + w^- = C \cos(kx)$  solves the Neum. pb. in  $\omega_h$

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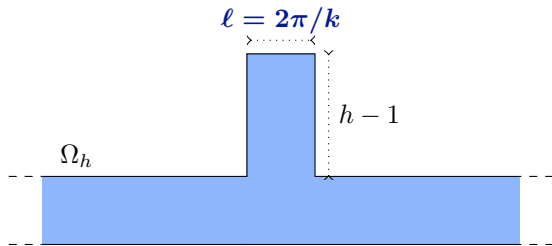
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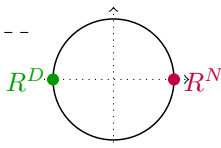
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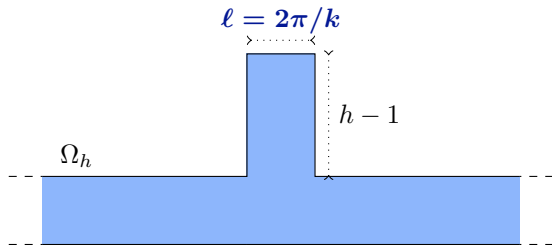
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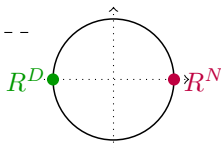
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There is a sequence  $(h_n)$  such that  $T = 1$  (perfect invisibility)

## The special case $\ell = 2\pi/k$ - perfect invisibility

---

- ▶ Works also in the geometry below ( $h$  is the height of the **central branch**).
- ▶ **Perfectly invisible** defect ( $t \mapsto \Re e (v(x, y)e^{-i\omega t})$ ).
  
  
  
  
  
  
  
  
  
  
- ▶ Reference waveguide ( $t \mapsto \Re e (v(x, y)e^{-i\omega t})$ ).

# Outline of the talk

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## 1 First constructive method

$k$  is given, we use perturbative techniques to construct geometries such that  $R = 0$  or  $T = 1$ .

## 2 Second constructive method

$k$  is given, we use an approach based on symmetries to construct geometries such that  $R = 0$ ,  $T = 1$  or  $T = 0$  and even a bit more...

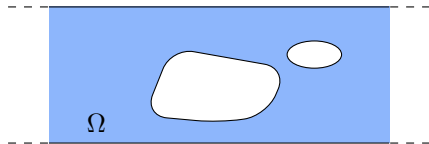
## 3 A spectral approach to determine non reflecting wavenumbers

For a given geometry, we explain how to find non reflecting  $k$  solving a spectral problem.

# Scattering problem

---

- Consider the scattering problem with  $k \in ((N-1)\pi; N\pi)$ ,  $N \in \mathbb{N}^*$



Find  $v = v_i + v_s$  s. t.

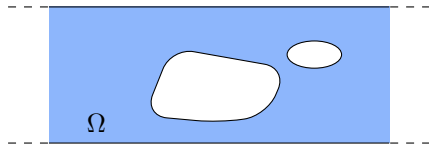
$$\Delta v + k^2 v = 0 \quad \text{in } \Omega,$$

$$\partial_n v = 0 \quad \text{on } \partial\Omega,$$

$v_s$  is outgoing.

# Scattering problem

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$$\begin{aligned} \Delta v + k^2 v &= 0 && \text{in } \Omega, \\ \partial_n v &= 0 && \text{on } \partial\Omega, \\ v_s &&& \text{is outgoing.} \end{aligned}$$

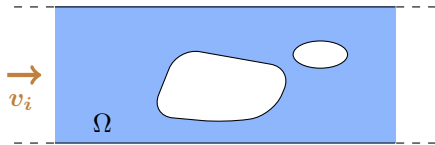
- ▶ For this problem, the **modes** are

Propagating		$w_n^\pm(x, y) = e^{\pm i\beta_n x} \cos(n\pi y), \beta_n = \sqrt{k^2 - n^2\pi^2}, n \in \llbracket 0, N-1 \rrbracket$
Evanescent		$w_n^\pm(x, y) = e^{\mp \beta_n x} \cos(n\pi y), \beta_n = \sqrt{n^2\pi^2 - k^2}, n \geq N.$



# Scattering problem

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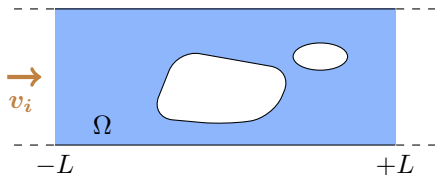
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- Set  $v_i = \sum_{n=0}^{N-1} \alpha_n w_n^+$  for some given  $(\alpha_n)_{n=0}^{N-1} \in \mathbb{C}^N$ .

# Scattering problem

- Consider the scattering problem with  $k \in ((N-1)\pi; N\pi)$ ,  $N \in \mathbb{N}^*$



Find  $v = v_i + v_s$  s. t.

$$\begin{aligned} \Delta v + k^2 v &= 0 && \text{in } \Omega, \\ \partial_n v &= 0 && \text{on } \partial\Omega, \\ v_s &\text{ is outgoing.} \end{aligned}$$

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- Set  $v_i = \sum_{n=0}^{N-1} \alpha_n w_n^+$  for some given  $(\alpha_n)_{n=0}^{N-1} \in \mathbb{C}^N$ .

- $v_s$  is outgoing  $\Leftrightarrow$

$$v_s = \sum_{n=0}^{+\infty} \gamma_n^\pm w_n^\pm \quad \text{for } \pm x \geq L, \text{ with } (\gamma_n^\pm) \in \mathbb{C}^{\mathbb{N}}.$$

# Goal of the section

---

DEFINITION:  $v$  is a non reflecting mode if  $v_s$  is expo. decaying for  $x \leq -L$   
 $\Leftrightarrow \gamma_n^- = 0, n \in \llbracket 0, N - 1 \rrbracket \Leftrightarrow$  energy is completely transmitted.

## GOAL

For a given geometry, we present a method to find values of  $k$  such that there is a non reflecting mode  $v$ .

# Goal of the section

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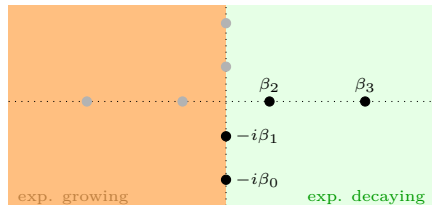
DEFINITION:  $v$  is a **non reflecting mode** if  $v_s$  is **expo. decaying** for  $x \leq -L$   
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## GOAL

For a **given geometry**, we present a method to find **values of  $k$**  such that there is a **non reflecting mode**  $v$ .

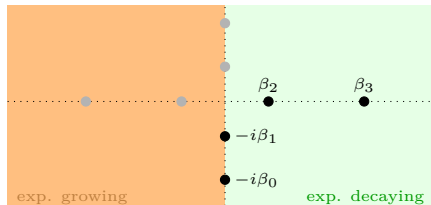
→ Note that **non reflection** occurs for **particular  $v_i$**  to be computed.

REMINDER: 
$$v_s = \sum_{n=0}^{N-1} \gamma_n^\pm e^{\pm i\beta_n x} \cos(n\pi y) + \sum_{n=N}^{+\infty} \gamma_n^\pm e^{\mp \beta_n x} \cos(n\pi y), \quad \pm x \geq L.$$



Modal exponents for  $v_s$  ( $x \leq -L$ )

REMINDER: 
$$v_s = \sum_{n=0}^{N-1} \gamma_n^\pm e^{\pm i\beta_n x} \cos(n\pi y) + \sum_{n=N}^{+\infty} \gamma_n^\pm e^{\mp \beta_n x} \cos(n\pi y), \quad \pm x \geq L.$$

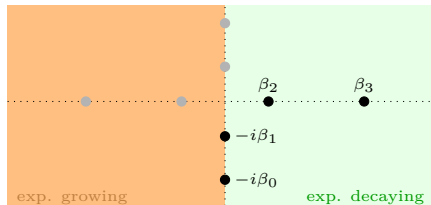


Modal exponents for  $v_s$  ( $x \leq -L$ )

- For  $\theta \in (0; \pi/2)$ , consider the **complex change of variables**

$$\mathcal{I}_\theta(x) = \begin{cases} -L + (x + L) e^{i\theta} & \text{for } x \leq -L \\ x & \text{for } |x| < L \\ +L + (x - L) e^{i\theta} & \text{for } x \geq L. \end{cases}$$

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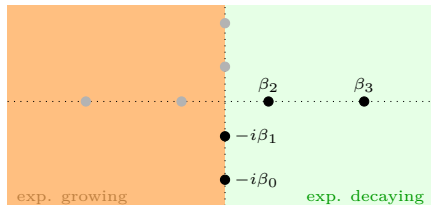
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- ▶ Set  $v_\theta := v_s \circ (\mathcal{I}_\theta(x), y)$ .

- 1)  $v_\theta = v_s$  for  $|x| < L$ .
- 2)  $v_\theta$  is exp. decaying at infinity.

REMINDER: 
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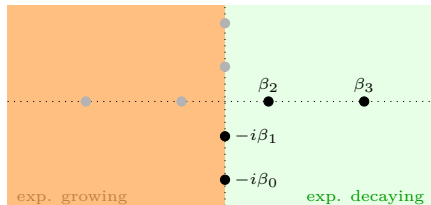
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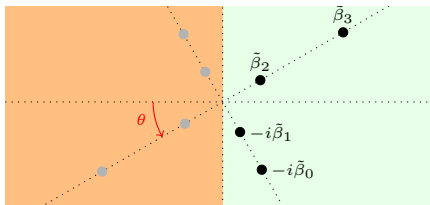
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Modal exponents for  $v_\theta$  ( $x \leq -L$ )

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- 1)  $v_\theta = v_s$  for  $|x| < L$ .
- 2)  $v_\theta$  is exp. decaying at infinity.

►  $v_\theta$  solves

$$(*) \quad \left\{ \begin{array}{l} \alpha_\theta \frac{\partial}{\partial x} \left( \alpha_\theta \frac{\partial v_\theta}{\partial x} \right) + \frac{\partial^2 v_\theta}{\partial y^2} + k^2 v_\theta = 0 \quad \text{in } \Omega \\ \partial_n v_\theta = -\partial_n v_i \quad \text{on } \partial\Omega. \end{array} \right.$$

►  $v_\theta$  solves

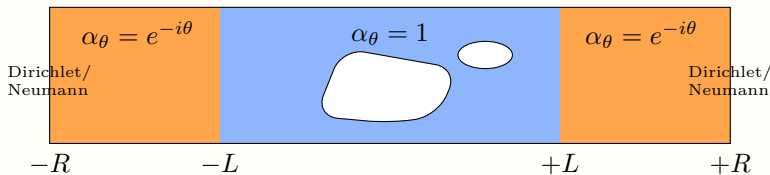
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$$\alpha_\theta(x) = 1 \text{ for } |x| < L \quad \alpha_\theta(x) = e^{-i\theta} \text{ for } |x| \geq L$$

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$$\left. \begin{aligned} \alpha_\theta \frac{\partial}{\partial x} \left( \alpha_\theta \frac{\partial v_\theta}{\partial x} \right) + \frac{\partial^2 v_\theta}{\partial y^2} + k^2 v_\theta &= 0 && \text{in } \Omega \\ \partial_n v_\theta &= -\partial_n v_i && \text{on } \partial\Omega. \end{aligned} \right\}$$

$$\alpha_\theta(x) = 1 \text{ for } |x| < L \qquad \alpha_\theta(x) = e^{-i\theta} \text{ for } |x| \geq L$$

- Numerically we solve (\*) in the truncated domain



⇒ We obtain a good approximation of  $v_s$  for  $|x| < L$ .

- This is the method of **Perfectly Matched Layers** (PMLs).

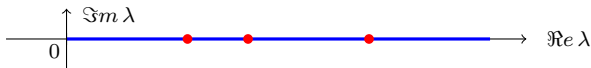
# Spectral analysis

- Define the operators  $A$ ,  $A_\theta$  of  $L^2(\Omega)$  such that

$$Av = -\Delta v, \quad A_\theta v = -\left(\alpha_\theta \frac{\partial}{\partial x} \left(\alpha_\theta \frac{\partial v}{\partial x}\right) + \frac{\partial^2 v}{\partial y^2}\right) + \partial_n v = 0 \text{ on } \partial\Omega.$$

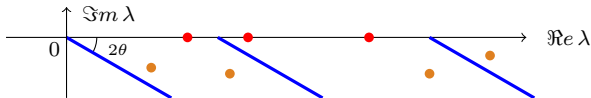
- $A$  is selfadjoint and positive.
- $\sigma(A) = \sigma_{\text{ess}}(A) = [0; +\infty)$ .
- $\sigma(A)$  may contain **embedded eigenvalues** in the essential spectrum.

- ess. spectrum
- trapped modes



- $A_\theta$  is not selfadjoint.  $\sigma(A_\theta) \subset \{\rho e^{i\gamma}, \rho \geq 0, \gamma \in [-2\theta; 0]\}$ .
- $\sigma_{\text{ess}}(A_\theta) = \cup_{n \in \mathbb{N}} \{n^2 \pi^2 + t e^{-2i\theta}, t \geq 0\}$ .
- **real eigenvalues** of  $A_\theta =$  **real eigenvalues** of  $A$ .

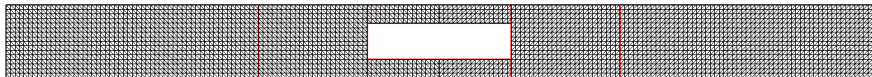
- ess. spectrum
- trapped modes
- leaky modes



# Numerical results

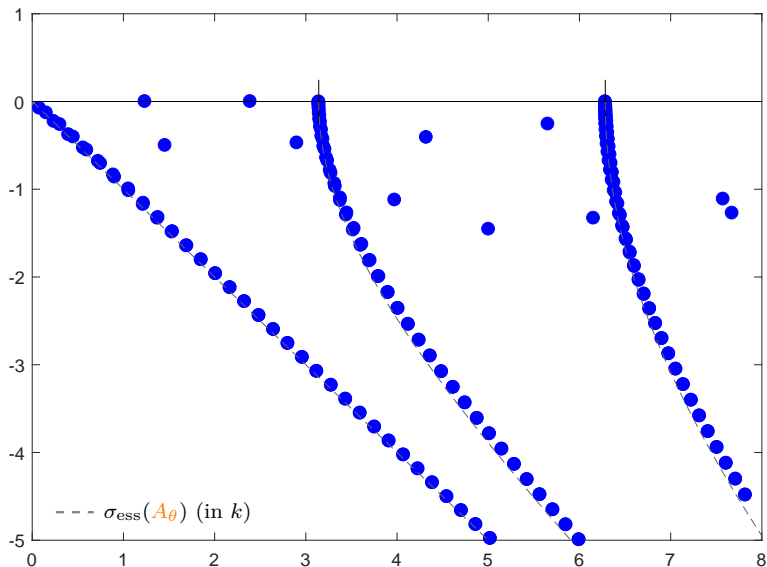
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- ▶ We work in the geometry



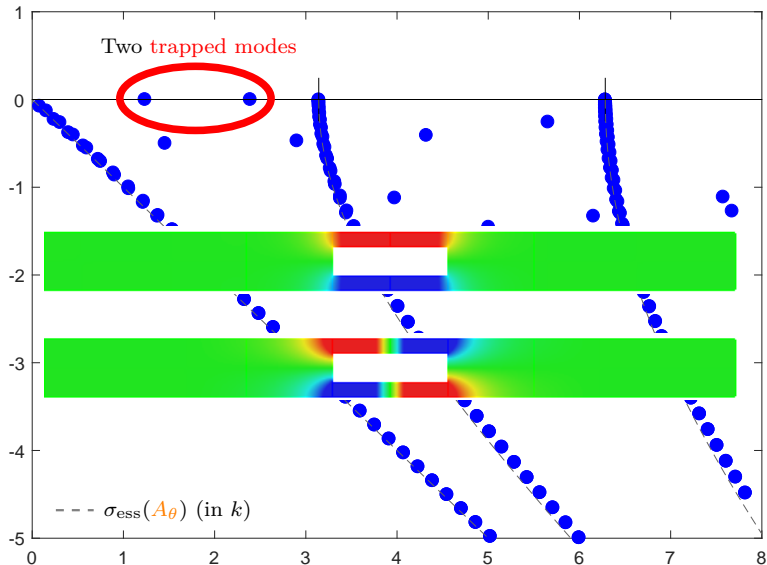
# Numerical results

- ▶ **Discretized** spectrum of  $A_\theta$  in  $k$  (not in  $k^2$ ). We take  $\theta = \pi/4$ .



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# A new complex spectrum for non reflecting $v$

- ▶ Usual complex scaling selects scattered fields which are

**outgoing** at  $-\infty$  and **outgoing** at  $+\infty$ .

IMPORTANT REMARK: **general**  $v$  decompose as

$$v = v_i + \sum_{n=0}^{N-1} \gamma_n^- w_n^- + \sum_{n=N}^{+\infty} \gamma_n^- w_n^- \quad x \leq -L, \quad v = \sum_{n=0}^{+\infty} \gamma_n^+ w_n^+ \quad x \geq L.$$

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- ▶ In other words, **non reflecting**  $v$  are

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Let us **change the sign** of the complex scaling at  $-\infty$ !

# A new complex spectrum for non reflecting $v$

---

- For  $\theta \in (0; \pi/2)$ , consider the **complex change of variables**

$$\mathcal{J}_\theta(x) = \begin{cases} -L + (x + L) e^{-i\theta} & \text{for } x \leq -L \\ x & \text{for } |x| < L \\ +L + (x - L) e^{+i\theta} & \text{for } x \geq L. \end{cases}$$

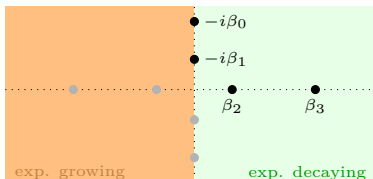
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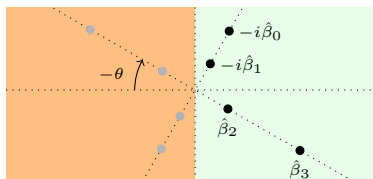
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- Set  $u_\theta := v \circ (\mathcal{J}_\theta(x), y)$ .

- 1)  $u_\theta = v$  for  $|x| < L$ .
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Modal exponents for  $v$  ( $x \leq -L$ )



Modal exponents for  $u_\theta$  ( $x \leq -L$ )

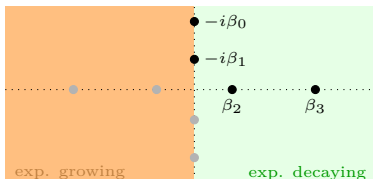
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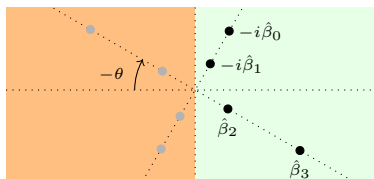
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Modal exponents for  $v$  ( $x \leq -L$ )



Modal exponents for  $u_\theta$  ( $x \leq -L$ )

- $u_\theta$  solves

$$(*) \quad \begin{cases} \beta_\theta \frac{\partial}{\partial x} \left( \beta_\theta \frac{\partial u_\theta}{\partial x} \right) + \frac{\partial^2 u_\theta}{\partial y^2} + k^2 u_\theta = 0 & \text{in } \Omega \\ \partial_n u_\theta = 0 & \text{on } \partial\Omega. \end{cases}$$

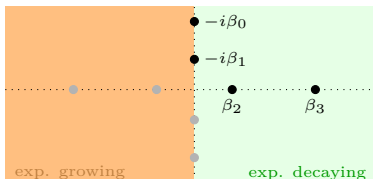
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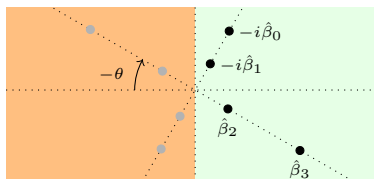
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- $u_\theta$  solves  $(*) \left| \begin{aligned} \beta_\theta \frac{\partial}{\partial x} \left( \beta_\theta \frac{\partial u_\theta}{\partial x} \right) + \frac{\partial^2 u_\theta}{\partial y^2} + k^2 u_\theta &= 0 & \text{in } \Omega \\ \partial_n u_\theta &= 0 & \text{on } \partial\Omega. \end{aligned} \right.$

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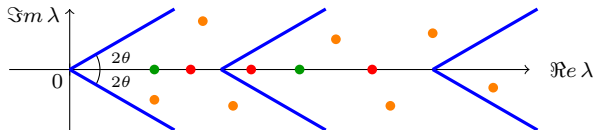
# Spectral analysis

- Define the operator  $B_\theta$  of  $L^2(\Omega)$  such that

$$B_\theta v = -\left(\beta_\theta \frac{\partial}{\partial x} \left(\beta_\theta \frac{\partial v}{\partial x}\right) + \frac{\partial^2 v}{\partial y^2}\right) + \partial_n v = 0 \text{ on } \partial\Omega.$$

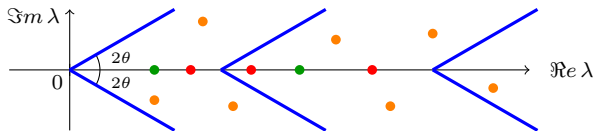
- $B_\theta$  is not selfadjoint.  $\sigma(B_\theta) \subset \{\rho e^{i\gamma}, \rho \geq 0, \gamma \in [-2\theta; 2\theta]\}$ .
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- **real eigenvalues** of  $B_\theta =$  **real eigenvalues** of  $A$  + **non reflecting**  $k^2$ .

- essential spectrum
- trapped modes
- non reflecting modes
- ? modes



# Remarks

- essential spectrum
- trapped modes
- non reflecting modes
- ? modes



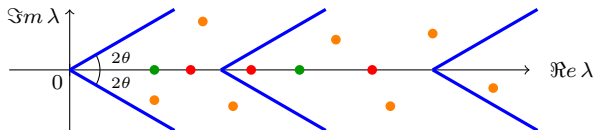
1) ● ? **modes** correspond to solutions of the Helmholtz equation which are **exp. growing** at one side of  $\Omega$ , **exp. decaying** at the other.

Different from **leaky modes** which are **exp. growing** both at  $\pm\infty$  ...

2) It is not simple to prove that  $\sigma(B_\theta) \setminus \sigma_{\text{ess}}(B_\theta)$  is **discrete**.

# Remarks

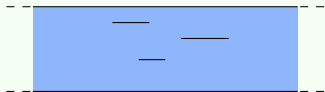
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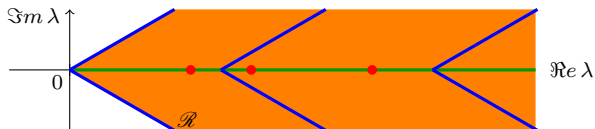


→ **Not true in general!**

$e^{ikx} \circ \mathcal{J}_\theta$  is an eigenfunction for all  $k \in \mathcal{R}$ .

# Remarks

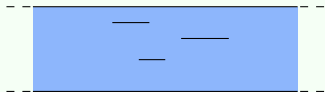
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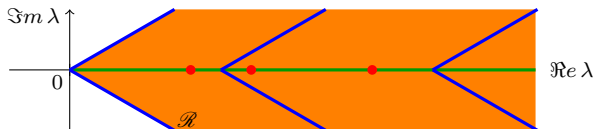


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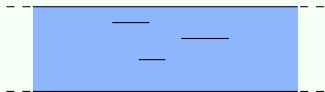
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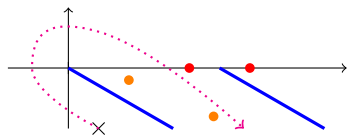
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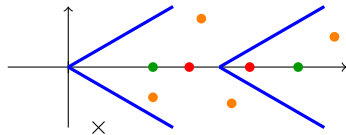
→  $\mathbb{C} \setminus \sigma_{\text{ess}}(B_\theta)$  is **not connected**  $\Rightarrow$  we cannot apply simply the analytic Fredholm thm.

# Remarks



$A_\theta - z\text{Id}$  invertible

Usual PMLs



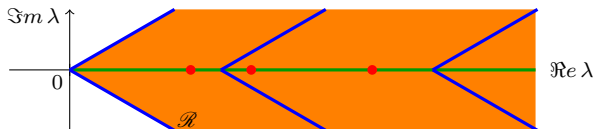
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Conjugated PMLs

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# Remarks

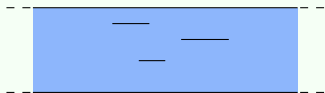
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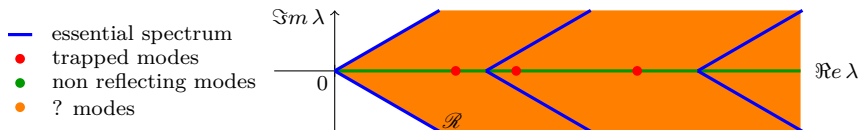
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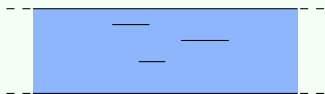
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→ A compact perturbation can change drastically the spectrum ( $B_\theta$  is **not selfadjoint**).

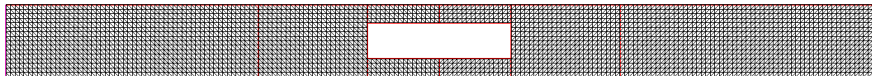
**Numerical consequences?**



# Numerical results

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- ▶ Again we work in the geometry



- ▶ Define the operators  $\mathcal{P}$  (Parity),  $\mathcal{T}$  (Time reversal) such that

$$\mathcal{P}v(x, y) = v(-x, y) \quad \text{and} \quad \mathcal{T}v(x, y) = \overline{v(x, y)}.$$

PROP.: For **symmetric**  $\Omega = \{(-x, y) \mid (x, y) \in \Omega\}$ ,  $B_\theta$  is  $\mathcal{PT}$  symmetric:

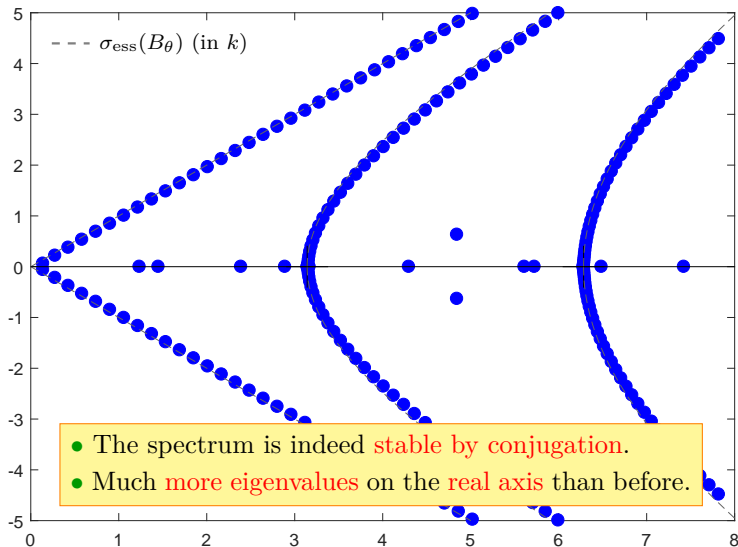
$$\mathcal{PT}B_\theta\mathcal{PT} = B_\theta.$$

As a consequence,  $\sigma(B_\theta) = \overline{\sigma(B_\theta)}$ .

$\Rightarrow$  If  $\lambda$  is an “**isolated**” eigenvalue located **close to the real axis**, then  $\lambda \in \mathbb{R}$ !

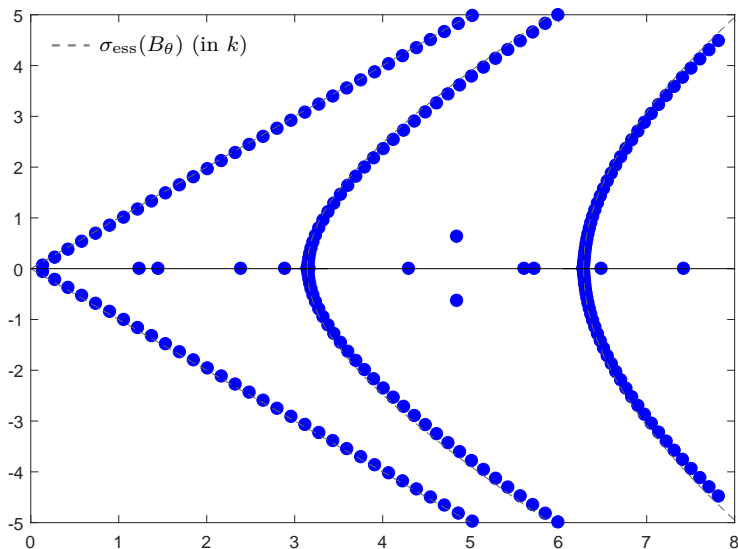
# Numerical results

- **Discretized** spectrum in  $k$  (not in  $k^2$ ). We take  $\theta = \pi/4$ .



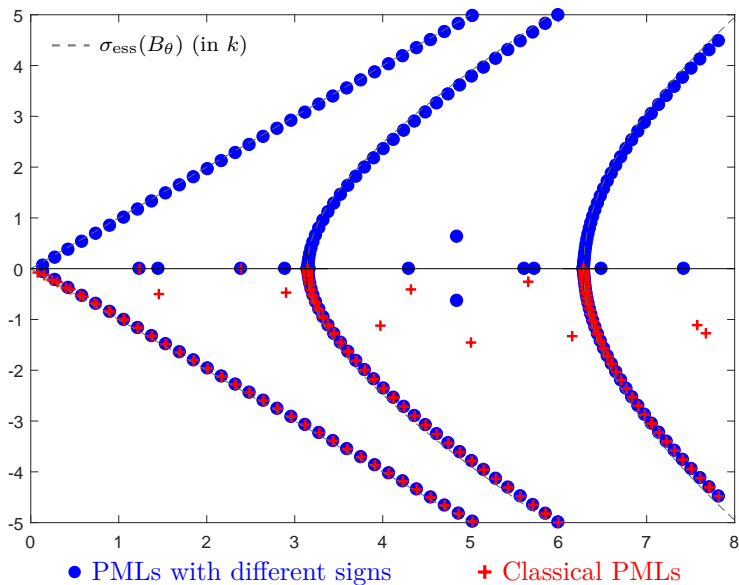
# Numerical results

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# Numerical results

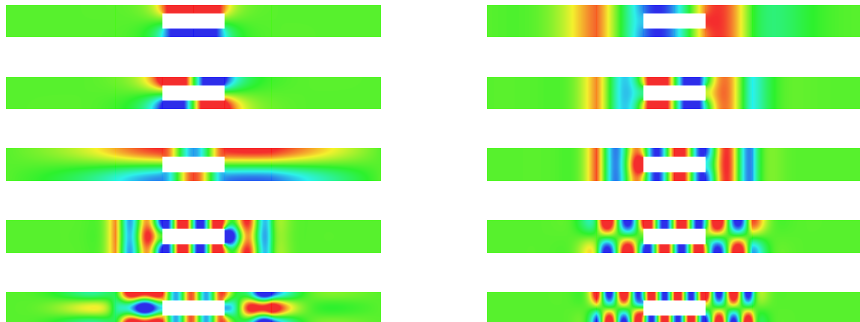
- **Discretized** spectrum in  $k$  (not in  $k^2$ ). We take  $\theta = \pi/4$ .



# Numerical results

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- ▶ We display the eigenmodes for the **ten first real eigenvalues** in the whole computational domain (including PMLs).



# Numerical results

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- ▶ Let us focus on the eigenmodes such that  $0 < k < \pi$ .



First trapped mode

$$k = 1.2355\dots$$



Second trapped mode

$$k = 2.3897\dots$$



First non reflecting mode

$$k = 1.4513\dots$$

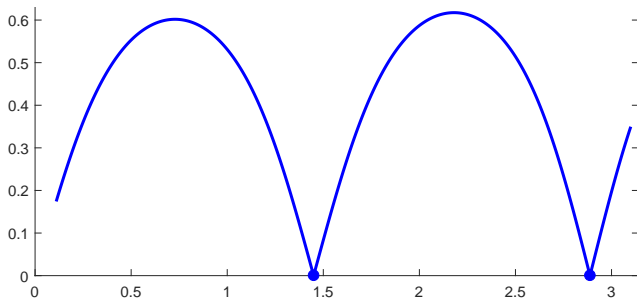


Second non reflecting mode

$$k = 2.8896\dots$$

# Numerical results

- ▶ To check our results, we compute  $k \mapsto |R(k)|$  for  $0 < k < \pi$ .



First non reflecting mode

$$k = 1.4513\dots$$

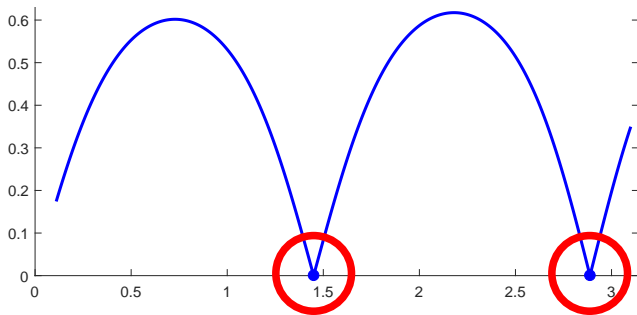


Second non reflecting mode

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# Numerical results

- To check our results, we compute  $k \mapsto |R(k)|$  for  $0 < k < \pi$ .



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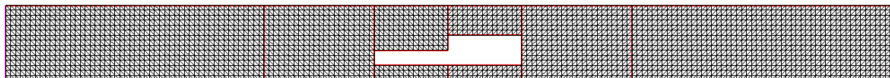
There is perfect agreement!



# Numerical results

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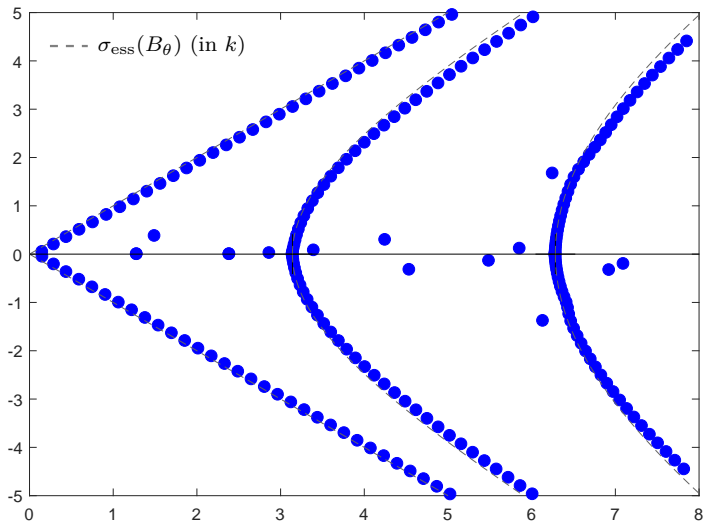
- ▶ Now the geometry is **not symmetric** in  $x$  nor in  $y$ :



- ▶ The operator  $B_\theta$  is **no longer  $\mathcal{PT}$ -symmetric** and we expect:
  - No trapped modes
  - No invariance of the spectrum by complex conjugation.

# Numerical results

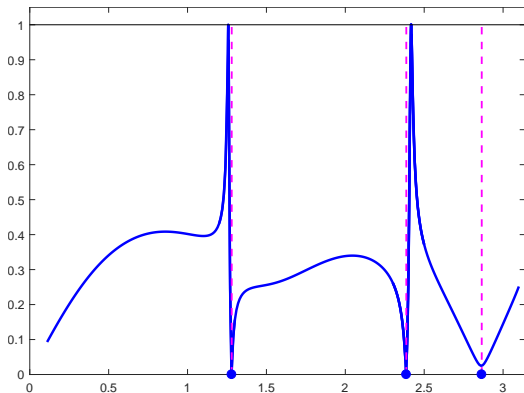
- **Discretized** spectrum of  $B_\theta$  in  $k$  (not in  $k^2$ ). We take  $\theta = \pi/4$ .



- Indeed, the spectrum is **not symmetric** w.r.t. the real axis.

# Numerical results

- We compute  $k \mapsto |R(k)|$  for  $0 < k < \pi$ .



$$k = 1.28 + 0.0003i$$



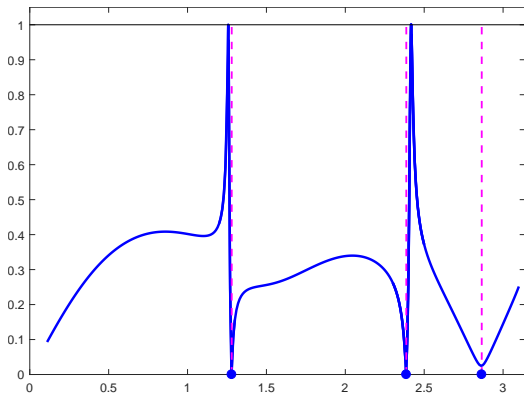
$$k = 2.3866 + 0.0005i$$



$$k = 2.8647 + 0.0243i$$

# Numerical results

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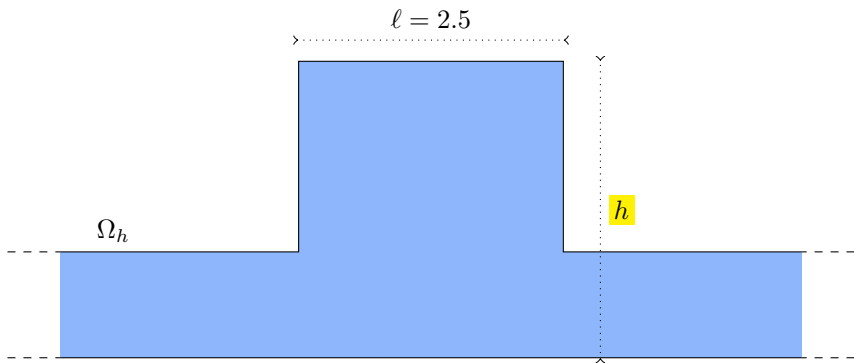
$$k = 2.8647 + 0.0243i$$



**Complex eigenvalues** also contain information on **almost no reflection**.

# Spectra for a changing geometry

- ▶ Two series of computations: one with PMLs with different sign, one with classical PMLs. We compute the spectra for  $h \in (1.3; 8)$ .



- ▶ The magenta marks on the real axis correspond to  $k = \pi/\ell$  &  $k = 2\pi/\ell$ . For  $k = 2\pi/\ell$ , trapped modes and  $T = 1$  should occur for certain  $h$ .
- ▶ We zoom at the region  $0 < \Re k < \pi$ .

\* PMLs with different signs

+ Classical PMLs

# Outline of the talk

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## 1 First constructive method

$k$  is given, we use perturbative techniques to construct geometries such that  $R = 0$  or  $T = 1$ .

## 2 Second constructive method

$k$  is given, we use an approach based on symmetries to construct geometries such that  $R = 0$ ,  $T = 1$  or  $T = 0$  and even a bit more...

## 3 A spectral approach to determine non reflecting wavenumbers

For a given geometry, we explain how to find non reflecting  $k$  solving a spectral problem.

## Conclusion

### What we did

- ♠ We presented two methods to **construct geometries** such that  $R = 0$ ,  $T = 0$ ,  $T = 1$  at a **given frequency**  $k \in (0; \pi)$ .
- ♠ We proposed a **spectral approach** to compute **non reflecting**  $k$  ( $R = 0$ ) for a **given geometry**.

### Future work

- 1) How to construct **invisible** or **completely reflecting** defects for a **given**  $k > \pi$  (**several propagating modes**)?
- 2) Can we find a **spectral approach** to compute **completely reflecting** or **completely invisible**  $k$  for a given geometry?
- 3) Can we prove **existence** of **non reflecting**  $k$  for the  $\mathcal{PT}$ -symmetric pb?
- 4) Can we work in free space with a **finite number of directions**? on other equations (electromagnetism, elasticity, ...)?



$v$

$v_i$

**Thank you for your attention!**