

# Invisibility and complete reflectivity in waveguides with finite length branches

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Coll. with S.A. Nazarov<sup>2</sup> and V. Pagneux<sup>3</sup>.

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<sup>2</sup>FMM, St. Petersburg State University, Russia

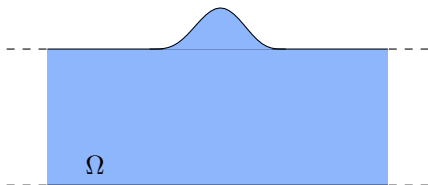
<sup>3</sup>LAUM, Université du Maine, France

The Inria logo is written in a stylized, cursive font with a color gradient from red to orange.

# Waveguide problem

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- Scattering in **time-harmonic** regime of a **plane wave** in the **acoustic** waveguide  $\Omega$  coinciding with  $\{(x, y) \in \mathbb{R} \times (0; 1)\}$  outside a compact region.



Find  $v = v_i + v_s$  s. t.

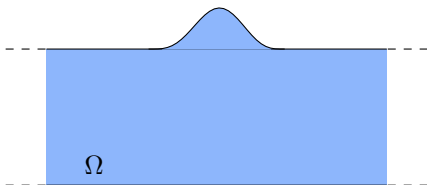
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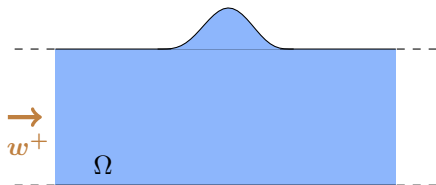


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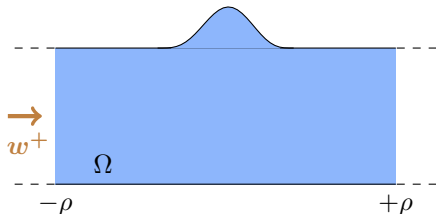
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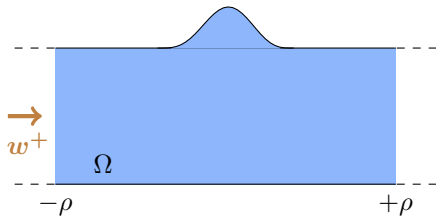
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DEFINITION:  $v_i =$  incident field  
 $v =$  total field  
 $v_s =$  scattered field.

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We explain how to construct waveguides such that

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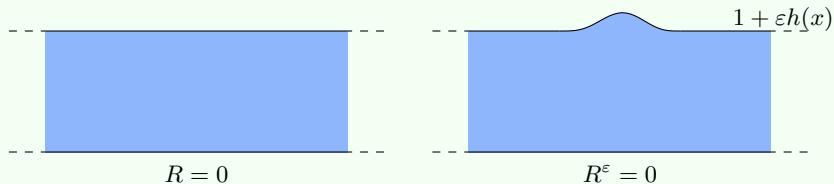
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- ▶ We assume that  $k$  is given ( $\neq$  A.-S. Bonnet-Ben Dhia's talk last Mond.).

# First idea

► **Perturbative** technique: we can construct small non reflecting defects using the **implicit functions theorem**.

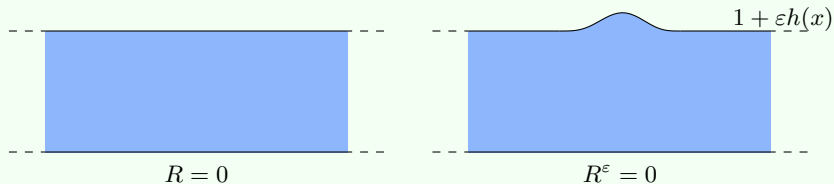


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**TALK**

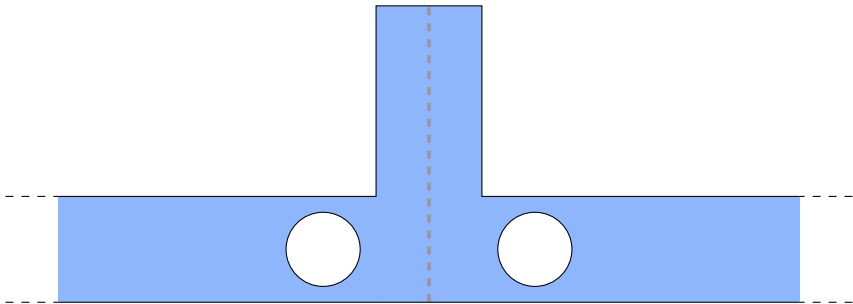
We propose another mechanism to get **large defects** s. t.

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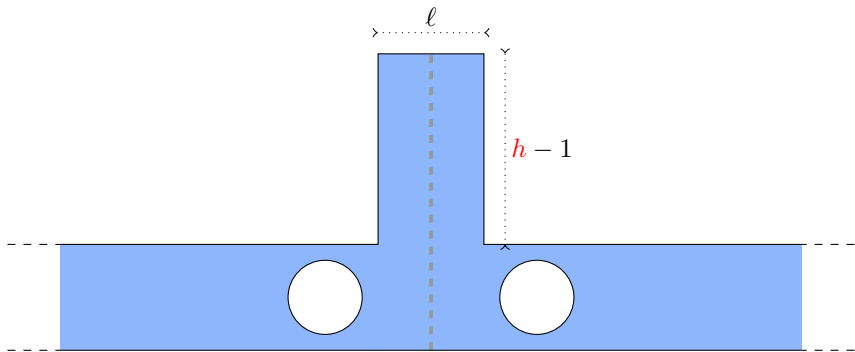
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- ▶ We work in waveguides which are **symmetric** with respect to  $(Oy)$  and which contain a **branch of finite height**.



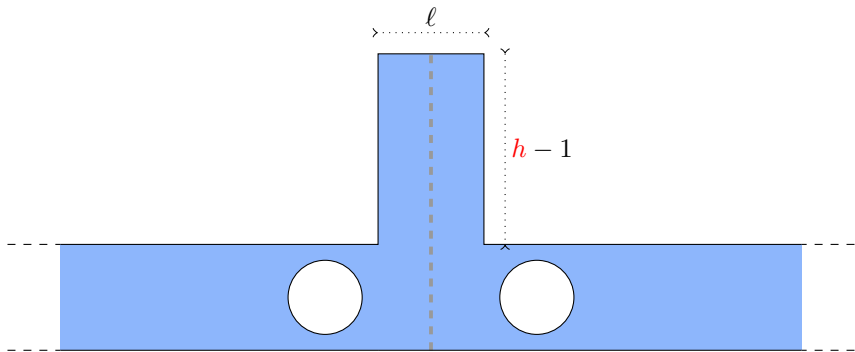
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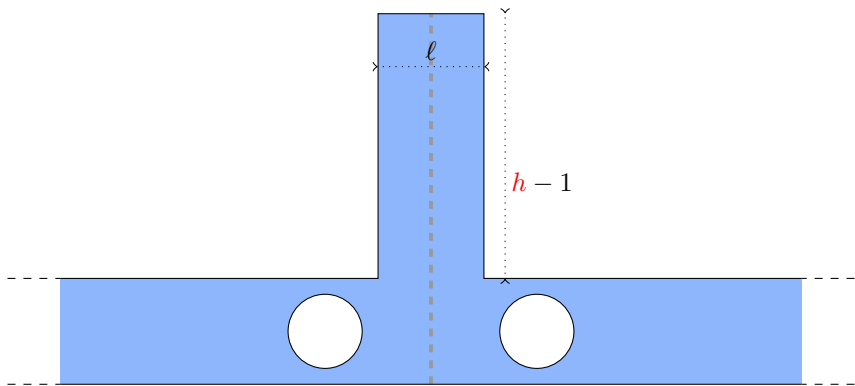


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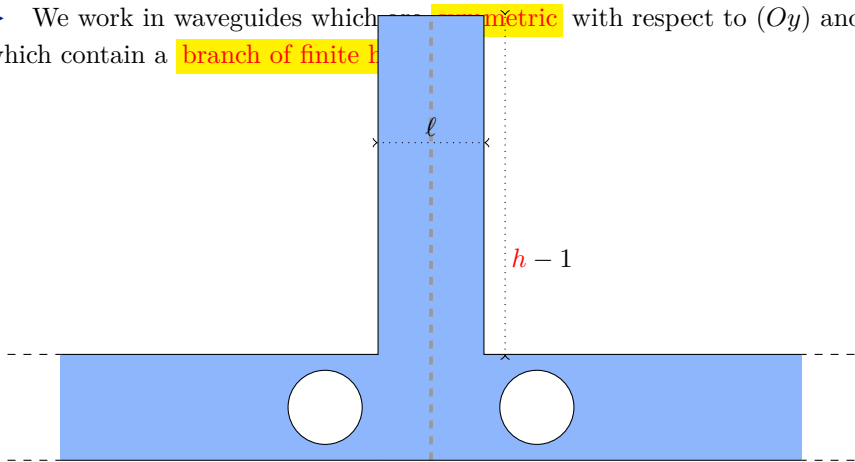
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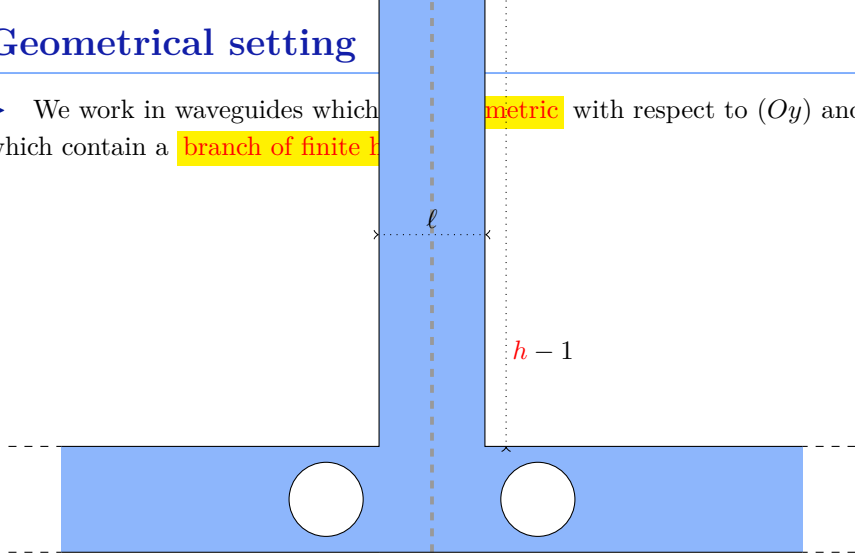
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# Outline of the talk

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- 1 Main analysis
- 2 Numerical results
- 3 Variants and extensions

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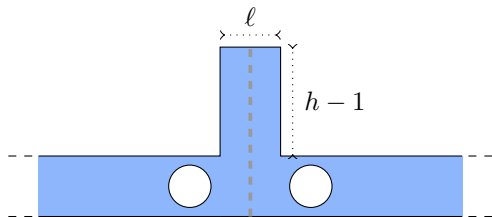
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# Half-waveguide problems

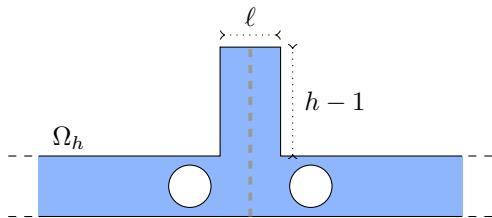
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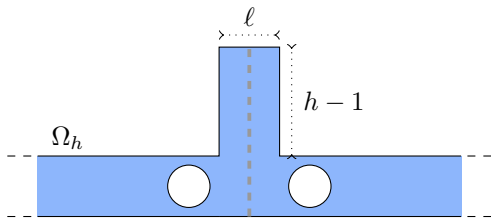
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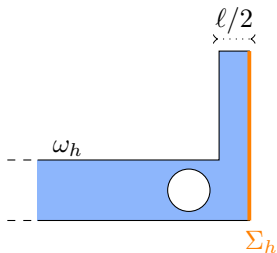
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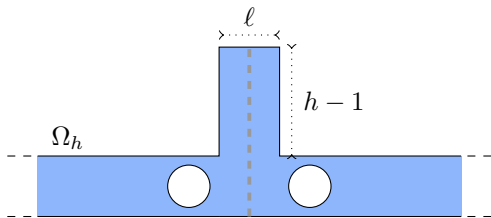
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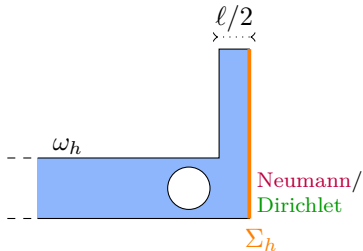
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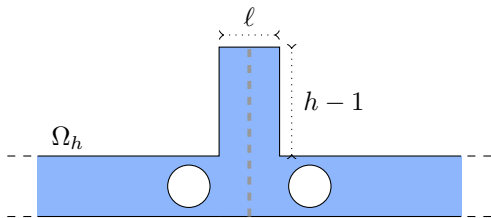


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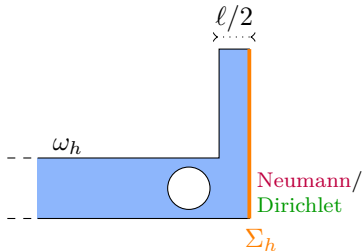
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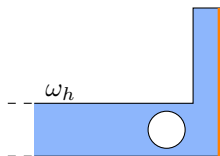
$$\left\{ \begin{array}{ll} -\Delta u = k^2 u & \text{in } \omega_h \\ \partial_n u = 0 & \text{on } \partial\omega_h \end{array} \right. \text{Neumann B.C.}$$

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# Relations for the scattering coefficients

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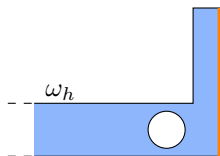
$$u = w^+ + R^N w^- + \tilde{u}, \quad \text{with } \tilde{u} \in H^1(\omega_h)$$
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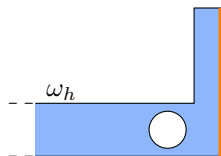
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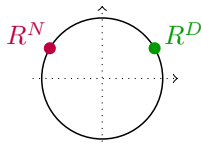
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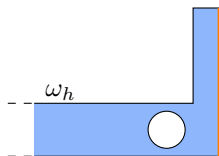
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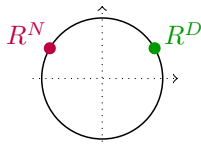
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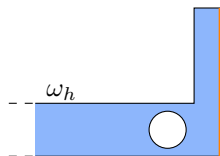
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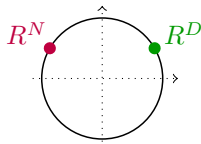
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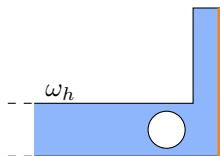
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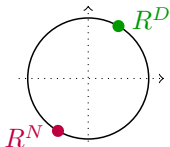
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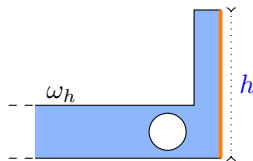
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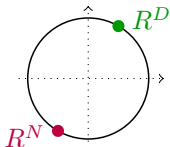
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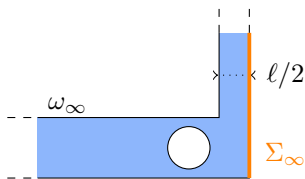
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→ Now, we study the behaviour of  $R^N = R^N(h)$ ,  $R^D = R^D(h)$  as  $h \rightarrow +\infty$ .



Depend on the nb. of propagating modes in the vertical branch of  $\omega_\infty$

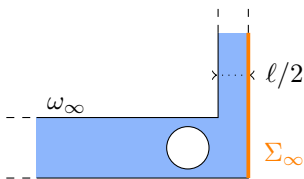


$$(\mathcal{P}^N) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \end{cases}$$

$$(\mathcal{P}^D) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \setminus \Sigma_\infty \\ \varphi = 0 & \text{on } \Sigma_\infty. \end{cases}$$



Depend on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$



$$(\mathcal{P}^N) \quad \left| \begin{array}{ll} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \end{array} \right.$$

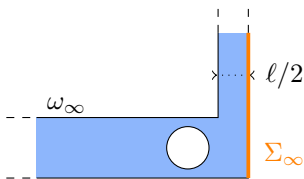
$$(\mathcal{P}^D) \quad \left| \begin{array}{ll} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \setminus \Sigma_\infty \\ \varphi = 0 & \text{on } \Sigma_\infty. \end{array} \right.$$

► Analysis for  $R^D$

- For  $\ell \in (0; \pi/k)$ , **no prop. modes** in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^D)$ .



Depend on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$



$$(\mathcal{P}^N) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \end{cases}$$

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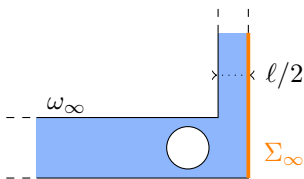
► Analysis for  $R^D$

- For  $\ell \in (0; \pi/k)$ , **no prop. modes** in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^D)$ .
- $(\mathcal{P}^D)$  admits the solution

$$U_\infty = w_1^- + R_\infty^D w_1^+ + \tilde{U}_\infty, \quad \text{with } \tilde{U}_\infty \in H^1(\omega_\infty), |R_\infty^D| = 1.$$



Depend on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$



$$(\mathcal{P}^N) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \end{cases}$$

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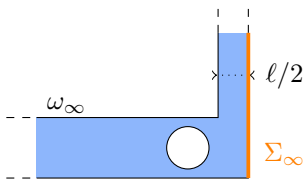
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$(w_1^\pm = \chi_l w^\mp$  where  $\chi_l$  is a cut-off function s.t.  $\chi_l = 1$  for  $x \leq -2\ell$ ,  $\chi_l = 0$  for  $x \geq -\ell$ )



Depend on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$



$$(\mathcal{P}^N) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \end{cases}$$

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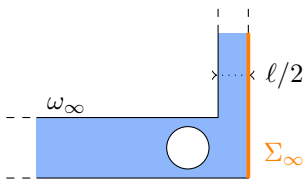
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$$(\mathcal{P}^D) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \setminus \Sigma_\infty \\ \varphi = 0 & \text{on } \Sigma_\infty. \end{cases}$$

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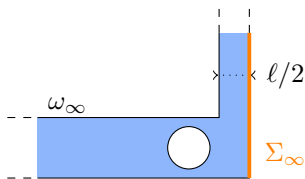
- For  $\ell \in (0; \pi/k)$ , **no prop. modes** in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^D)$ .
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- As  $h \rightarrow +\infty$ , we have  $U = U_\infty + \dots$  which implies  $|R^D - R_\infty^D| \leq C e^{-\beta h}$ .



Depend on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$



$$(\mathcal{P}^N) \quad \begin{cases} -\Delta\varphi = k^2\varphi & \text{in } \omega_\infty \\ \partial_n\varphi = 0 & \text{on } \partial\omega_\infty \end{cases}$$

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► Analysis for  $R^D$

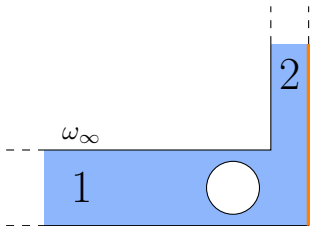
For  $\ell \in (0; \pi/k)$ ,  $h \mapsto R^D(h)$  tends to a **constant** on  $\mathcal{C} := \{z \in \mathbb{C}, |z| = 1\}$ .



► Analysis for  $R^N$ 

- For  $\ell \in (0; 2\pi/k)$ , 2 prop. modes in the vertical branch of  $\omega_\infty$  for  $(\mathcal{P}^N)$

$$w_2^\pm = \chi_t e^{\pm iky} / \sqrt{k\ell}$$

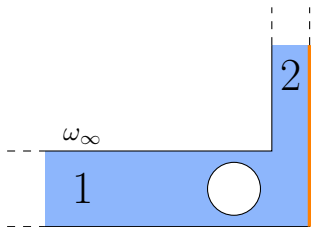


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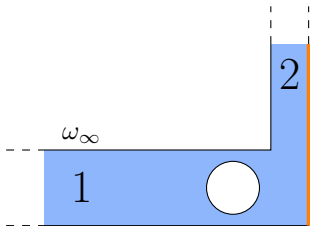
( $\chi_t$  is a cut-off function such that  $\chi_t = 1$  for  $y \geq 2$ ,  $\chi_t = 0$  for  $y \leq 1$ )



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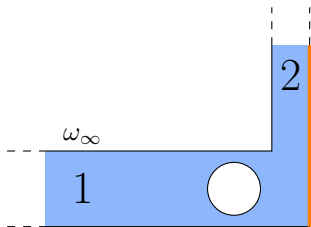
► Analysis for  $R^N$

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$$\begin{aligned} u_\infty^1 &= w_1^- + s_{11} w_1^+ + s_{12} w_2^+ + \tilde{u}_\infty^1, & \text{with } \tilde{u}_\infty^1 &\in H^1(\omega_\infty) \\ u_\infty^2 &= w_2^- + s_{21} w_1^+ + s_{22} w_2^+ + \tilde{u}_\infty^2, & \text{with } \tilde{u}_\infty^2 &\in H^1(\omega_\infty). \end{aligned}$$



The scattering matrix

$$\begin{pmatrix} s_{11} & s_{12} \\ s_{21} & s_{22} \end{pmatrix} \text{ is unitary.}$$

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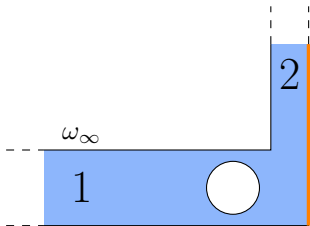
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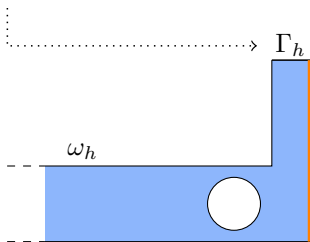
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On  $\Gamma_h$   $0 = \partial_n u = C (s_{12} e^{ikh} + a(h) (-e^{-ikh} + s_{22} e^{ikh})) + \dots$



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- This gives  $a(h)$  and implies, as  $h \rightarrow +\infty$ ,

$$|R^N - R_{\text{asy}}^N(h)| \leq C e^{-\beta h} \quad \text{with} \quad R_{\text{asy}}^N(h) = s_{11} + \frac{s_{12} s_{21}}{e^{-2ikh} - s_{22}}.$$



► Analysis for  $R^N$

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- Unitarity of  $\begin{pmatrix} s_{11} & s_{12} \\ s_{21} & s_{22} \end{pmatrix} \Rightarrow h \mapsto R_{\text{asy}}^N(h)$  runs **periodically** on  $\mathcal{C}$ .

- ▶ Analysis for  $R^N$

For  $\ell \in (0; 2\pi/k)$ ,  $h \mapsto R^N(h)$  runs continuously and almost period. on  $\mathcal{C}$ .

## Conclusions for $\ell \in (0; \pi/k)$ , $s_{12} \neq 0$

---

► Reminder:  $R = \frac{R^N + R^D}{2}$  and  $T = \frac{R^N - R^D}{2}$ .

PROPOSITION: Asympt. as  $h \rightarrow +\infty$ ,  $R$  and  $T$  run on circles of radius  $1/2$ .

## Conclusions for $\ell \in (0; \pi/k)$ , $s_{12} \neq 0$

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PROPOSITION: There is an unbounded sequence  $(h_n)$  such that for  $h = h_n$ ,  $R^N = -R^D$  and so  $R = 0$  (non reflectivity).

## Conclusions for $\ell \in (0; \pi/k)$ , $s_{12} \neq 0$

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PROPOSITION: There is an unbounded sequence  $(\mathcal{H}_n)$  such that for  $h = \mathcal{H}_n$ ,  $R^N = R^D$  and so  $T = 0$  (**complete reflectivity**).

► Sequences  $(h_n)$  and  $(\mathcal{H}_n)$  are **almost periodic**. As  $n \rightarrow +\infty$ , we have

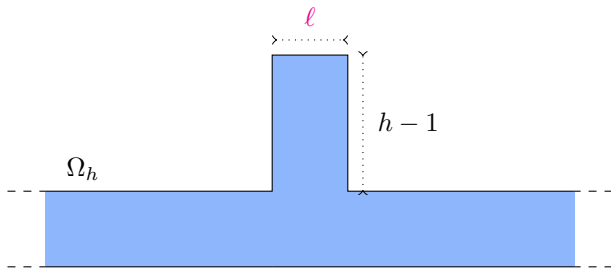
$$h_{n+1} - h_n = \pi/k + \dots \quad \text{and} \quad \mathcal{H}_{n+1} - \mathcal{H}_n = \pi/k + \dots$$

- 1 Main analysis
- 2 Numerical results**
- 3 Variants and extensions

# Setting

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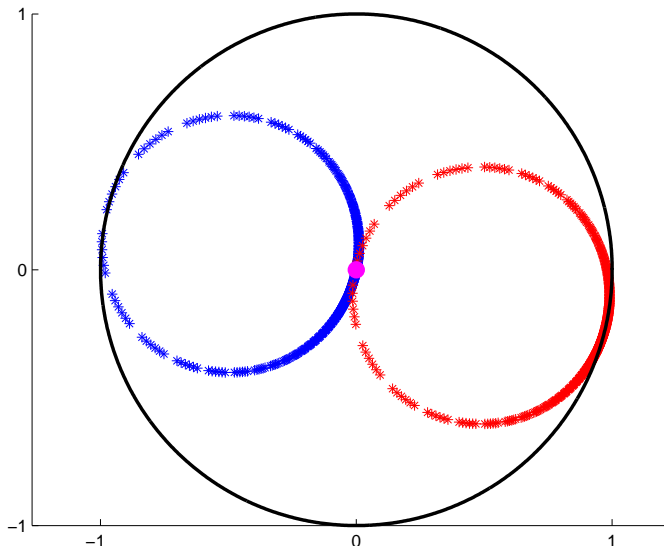
- ▶ We compute numerically  $R$ ,  $T$  for  $h \in (2; 10)$  in the geometry  $\Omega_h$



- ▶ We use a **P2 finite element method** with Dirichlet-to-Neumann maps.
- ▶ We set  $k = 0.8\pi$  and  $l = 1 \in (0; \pi/k)$ .

# Numerical results

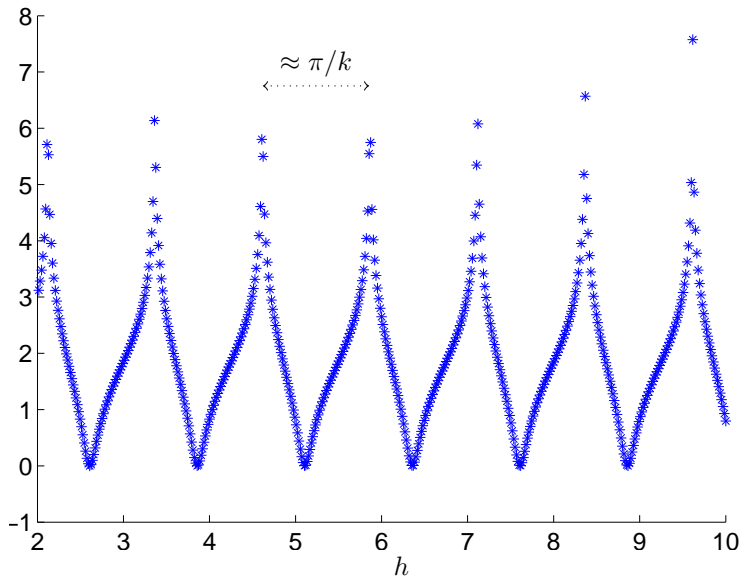
- ▶ Reflection coefficient  $R$  and transmission coefficient  $T$  for  $h \in (2; 10)$ .





# Non reflectivity

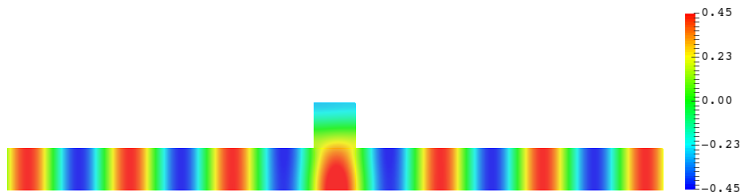
- Curve  $h \mapsto -\ln |R|$ . Peaks correspond to non reflectivity.



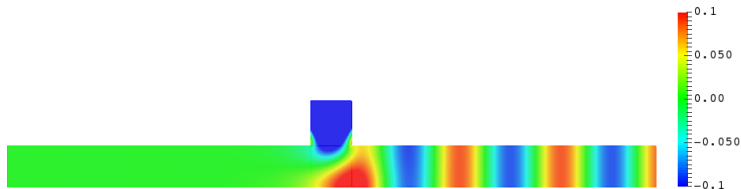
# Non reflectivity

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- ▶ Total field  $v$  for  $h$  such that  $R = 0$ .



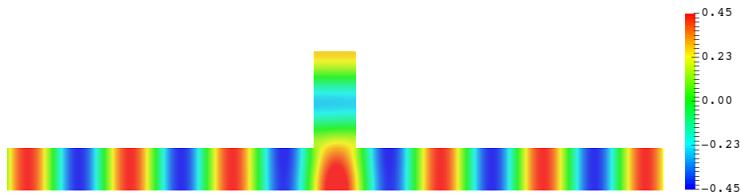
- ▶ Scattered field  $v_s$ .



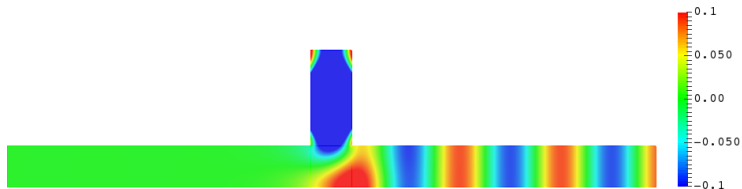
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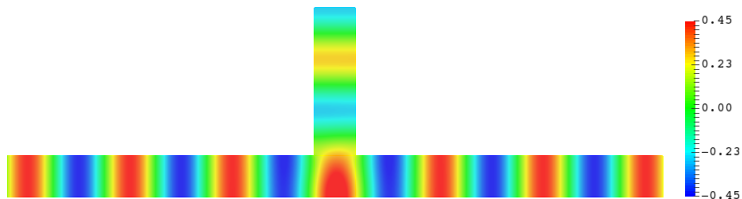
- ▶ Scattered field  $v_s$ .



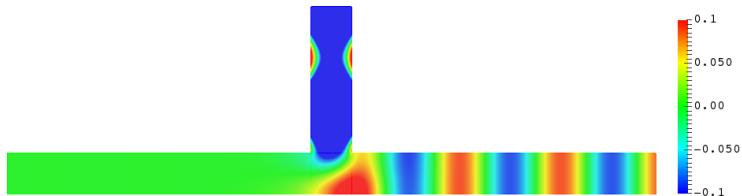
# Non reflectivity

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- ▶ Total field  $v$  for  $h$  such that  $R = 0$ .



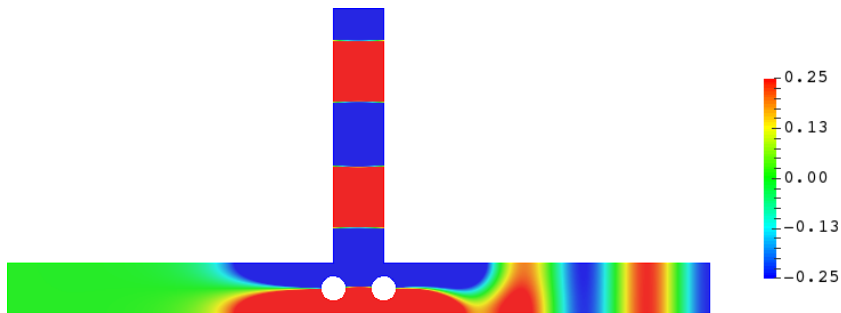
- ▶ Scattered field  $v_s$ .



# Other non reflecting geometry

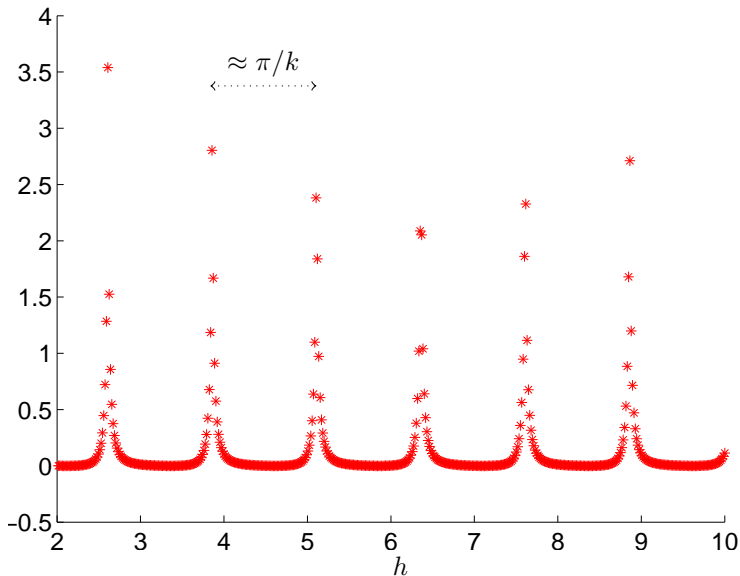
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- ▶ Scattered field  $v_s$



# Complete reflectivity

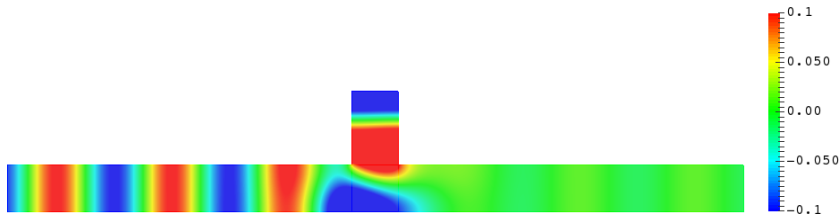
- Curve  $h \mapsto -\ln|T|$ . Peaks correspond to complete reflectivity.



# Complete reflectivity

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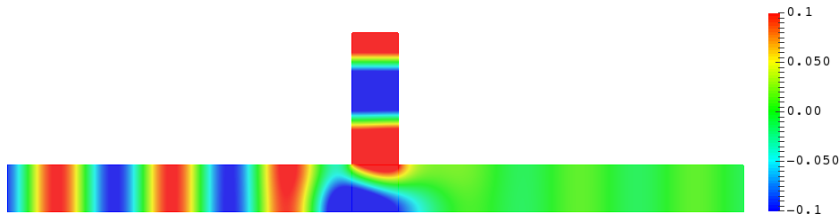
- ▶ Total field  $v$  for  $h$  such that  $T = 0$ .



# Complete reflectivity

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- ▶ Total field  $v$  for  $h$  such that  $T = 0$ .

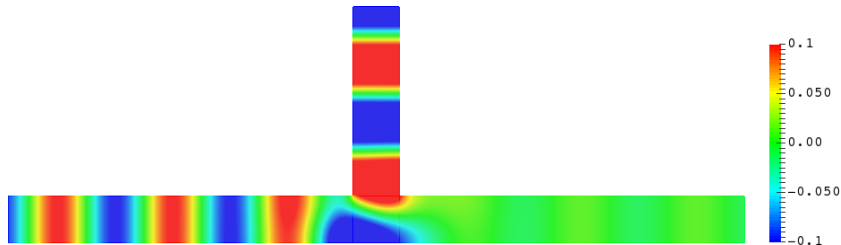




# Complete reflectivity

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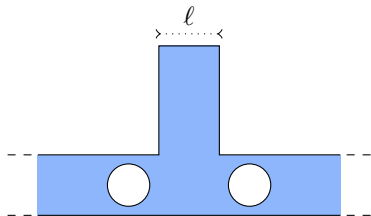
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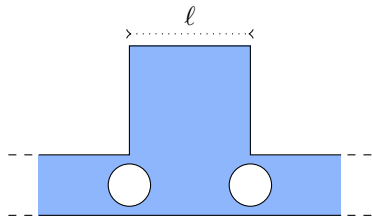
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## Analysis for $\ell \in (\pi/k; 2\pi/k)$

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We did  $\ell \in (0; \pi/k)$



Now  $\ell \in (\pi/k; 2\pi/k)$

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$h \mapsto R_{\text{asy}}^N(h)$ ,  $h \mapsto R_{\text{asy}}^D(h)$  run **period.** on  $\mathcal{C}$  with periods  $\pi/k$ ,  $\pi/\alpha$ .

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$h \mapsto R_{\text{asy}}^N(h)$ ,  $h \mapsto R_{\text{asy}}^D(h)$  run **period.** on  $\mathcal{C}$  with periods  $\pi/k$ ,  $\pi/\alpha$ .

★ The curves  $h \mapsto R(h)$ ,  $T(h)$  still **pass through zero** an infinite nb. of times.

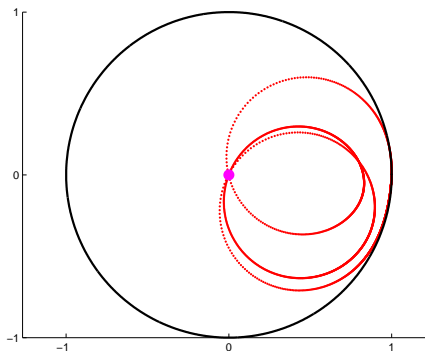
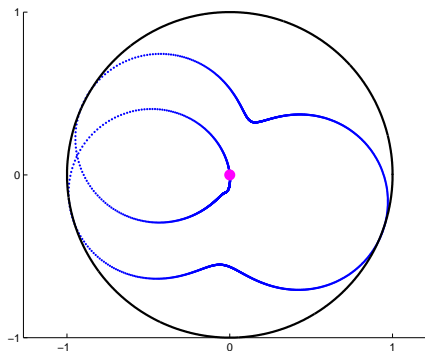
★ Behaviours of  $h \mapsto R(h)$ ,  $T(h)$  can be **much more complex** than before...

## Numerical results for $\ell \in (\pi/k; 2\pi/k)$

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- Asympt. curves of  $h \mapsto R(h), T(h)$  for  $h \in (0; +\infty)$  and  $\ell$  such that

$$\frac{\pi/\alpha}{\pi/k} = \frac{k}{\sqrt{k^2 - (\pi/\ell)^2}} = 2.$$

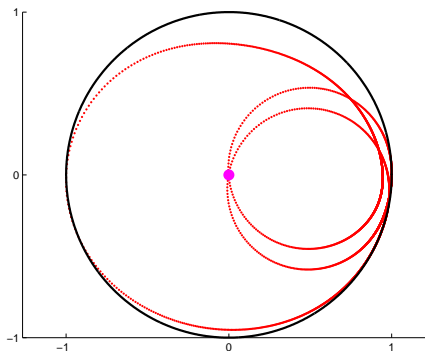
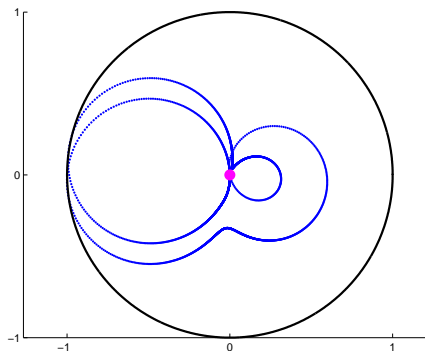




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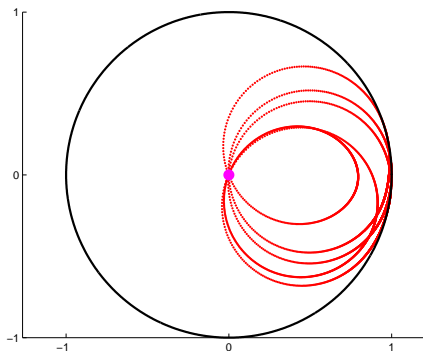
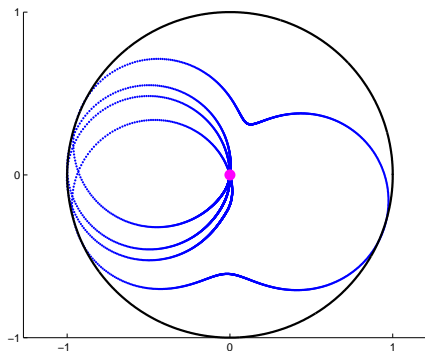


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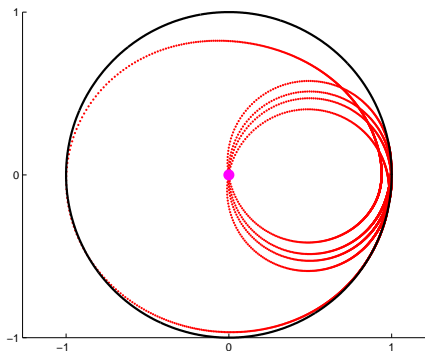
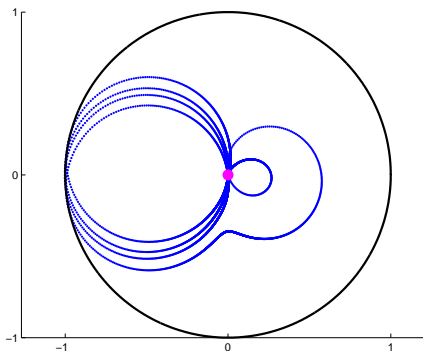


## Numerical results for $\ell \in (\pi/k; 2\pi/k)$

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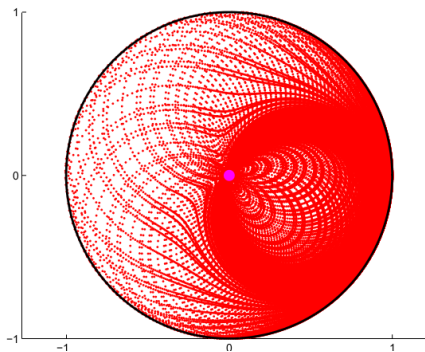
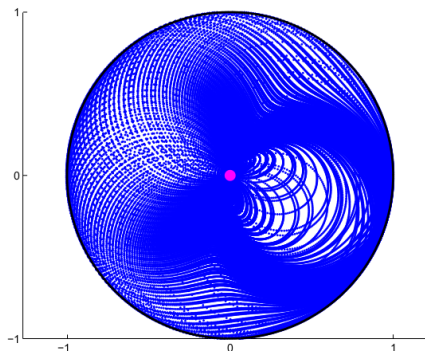
$$\frac{\pi/\alpha}{\pi/k} = \frac{k}{\sqrt{k^2 - (\pi/\ell)^2}} = 5.$$



# Numerical results for $\ell \in (\pi/k; 2\pi/k)$

- Asympt. curves of  $h \mapsto R(h), T(h)$  for  $h \in (0; 100)$  and  $\ell$  such that

$$\frac{\pi/\alpha}{\pi/k} = \frac{k}{\sqrt{k^2 - (\pi/\ell)^2}} \notin \mathbb{Q}.$$

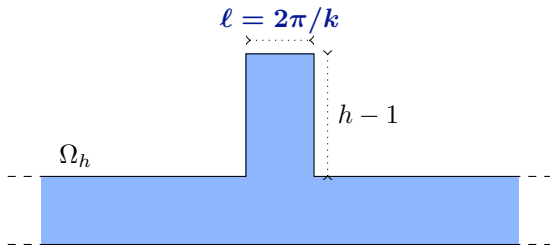




## The special case $\ell = 2\pi/k$

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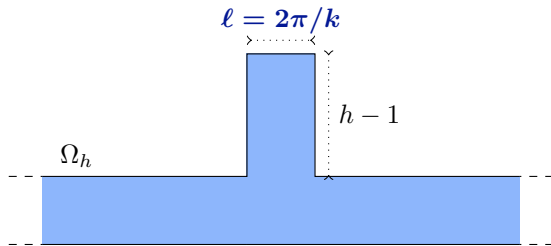
- Now set  $\ell = 2\pi/k$  in the geometry



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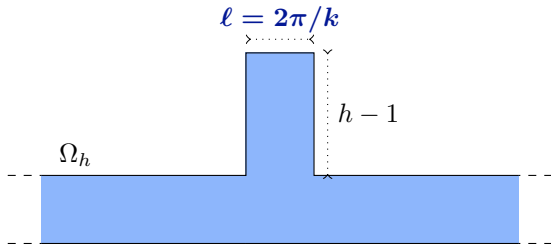


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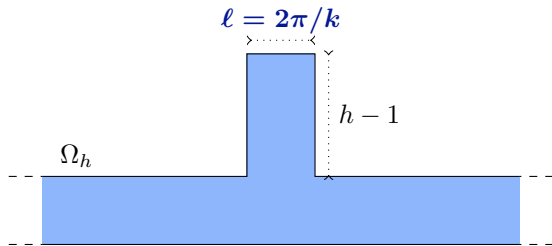
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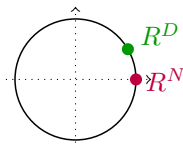


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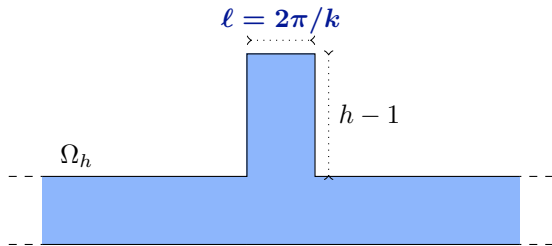
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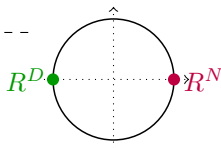
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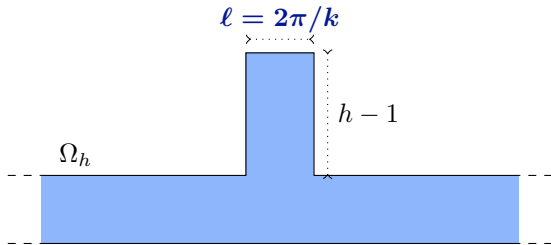
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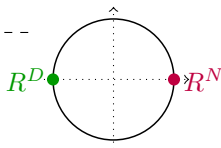
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There is a sequence  $(h_n)$  such that  $T = 1$  (perfect invisibility)

## The special case $\ell = 2\pi/k$ - perfect invisibility

---

- ▶ Works also in the geometry below ( $h$  is the height of the **central branch**).
- ▶ **Perfectly invisible** defect ( $t \mapsto \Re e (v(x, y)e^{-i\omega t})$ ).
  
  
  
  
  
  
  
  
  
  
- ▶ Reference waveguide ( $t \mapsto \Re e (v(x, y)e^{-i\omega t})$ ).

## The special case $\ell = 2\pi/k$ - trapped modes

---

► Set  $\gamma = \sqrt{\pi^2 - k^2}$ ,  $w_1^\pm = \frac{e^{\mp ikx}}{\sqrt{2k}}$  and  $w_2^\pm = \frac{e^{-\gamma x} \mp ie^{\gamma x}}{\sqrt{2\gamma}} \cos(\pi y)$ .

► The Neumann problem in  $\omega_h$  admits the solutions

$$u_1 = w_1^- + \mathfrak{s}_{11} w_1^+ + \mathfrak{s}_{12} w_2^+ + \tilde{u}_1, \quad \text{with } \tilde{u}_1 \text{ fastly expo. decaying}$$

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LEMMA: If  $\mathfrak{s}_{22} = -1$ , the Neumann problems in  $\omega_h$  admits trapped modes.

*Proof:*  $\mathfrak{s}_{22} = -1 \Rightarrow \mathfrak{s}_{21} = 0$  ( $\mathbb{S}$  is unitary) and  $u_2 \in H^1(\omega_h)$  is a trapped mode.

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★ As previously,  $h \mapsto \mathfrak{s}_{22}(h)$  runs on the unit circle and goes through  $-1$ .

## The special case $\ell = 2\pi/k$ - trapped modes

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There is a sequence  $(h_n)$  such that trapped modes exist in  $\omega_{h_n}$ .

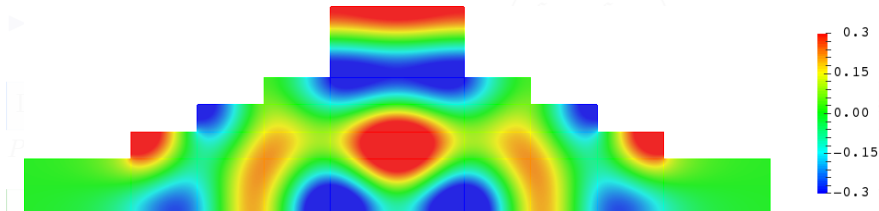
# The special case $\ell = 2\pi/k$ - trapped modes

▶ Set  $\gamma = \sqrt{\pi^2 - k^2}$ ,  $w_1^\pm = \frac{e^{\mp i k x}}{\sqrt{2k}}$  and  $w_2^\pm = \frac{e^{-\gamma x} \mp i e^{\gamma x}}{\sqrt{2\gamma}} \cos(\pi y)$ .

▶ Symmetry argument w.r.t.  $(Oy)$   $\Rightarrow$  existence of **trapped modes** in  $\Omega_h$ . It works also in the geometry below ( $h$  is the height of the **central branch**).

$u_1 = w_1^- + s_{11} w_1^+ + s_{12} w_2^+ + \tilde{u}_1$ , with  $\tilde{u}_1$  fastly expo. decaying

$u_2 = w_2^- + s_{21} w_1^+ + s_{22} w_2^+ + \tilde{u}_2$ , with  $\tilde{u}_2$  fastly expo. decaying.



\*  $u = w_1^- + u_1$  solves the Neum. pb. in  $\Omega_h$  as in the previous slide

Non zero  $v \in H^1(\Omega_h)$  satisfying  $\Delta v + k^2 v = 0$  in  $\Omega_h$ ,  $\partial_n v = 0$  on  $\partial\Omega_h$ .

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There is a sequence  $(h_n)$  such that trapped modes exist in  $\omega_{h_n}$ .

- 1 Main analysis
- 2 Numerical results
- 3 Variants and extensions

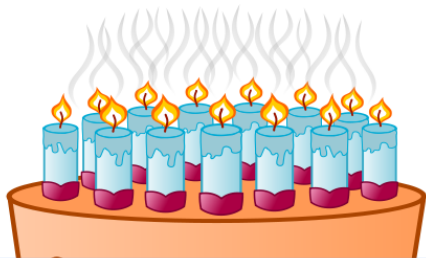
## Conclusion

### What we did

- ♠ We explained how to construct waveguides such that  $R = 0$ ,  $T = 0$  (the method works also for the **Dirichlet** problem) or  $T = 1$ .
- ♠ We showed how to construct waveguides supporting **trapped modes**.

### Future work

- 1) When the **symmetry is broken**, we can still do things...
- 2) Can we work at **higher frequencies** (several propagating modes)?
- 3) Can we deal with **multi-channel waveguides**?
- 4) For **a given perturbation**, can we study the **frequencies** such that invisibility holds?  $\Rightarrow$  **A.-S. Bonnet-Ben Dhia**'s talk last Monday.



Thank you for your attention and  
happy birthday to you Patrick!!!

