

Transmission eigenvalue problems with sign-changing coefficients

PICOF 2012

A.-S. Bonnet-Ben Dhia[†], L. Chesnel[†], P. Ciarlet[†], H. Haddar[‡]

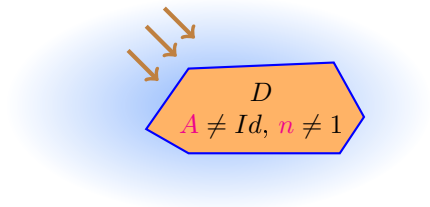
[†]POems team, Ensta, Paris, France

[‡]DeFI team, École Polytechnique, Palaiseau, France



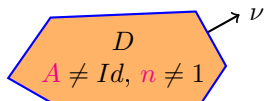
Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.



Presentation of the ITEP

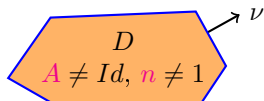
- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):



Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):
 - ☛ u is the **total field** in D

$$\left| \begin{array}{l} \operatorname{div}(A\nabla u) + k^2 n u = 0 \quad \text{in } D \end{array} \right.$$



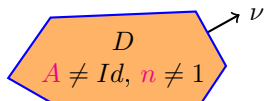
Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):

☛ u is the **total field** in D

☛ w is the **incident field** in D

$$\begin{cases} \operatorname{div}(A\nabla u) + k^2 n u & = 0 & \text{in } D \\ \Delta w + k^2 w & = 0 & \text{in } D \end{cases}$$



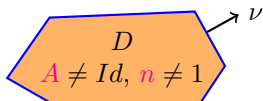
Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):

☛ u is the **total field** in D

☛ w is the **incident field** in D

$$\begin{aligned} \operatorname{div}(A\nabla u) + k^2 n u &= 0 && \text{in } D \\ \Delta w + k^2 w &= 0 && \text{in } D \\ u - w &= 0 && \text{on } \partial D \\ \nu \cdot A\nabla u - \nu \cdot \nabla w &= 0 && \text{on } \partial D. \end{aligned}$$



TRANSMISSION CONDITIONS ON ∂D

Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):

☛ u is the **total field** in D

☛ w is the **incident field** in D

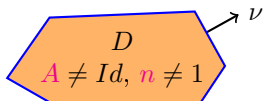
Find $(u, w) \in H^1(D) \times H^1(D)$ such that:

$$\operatorname{div}(A \nabla u) + k^2 n u = 0 \quad \text{in } D$$

$$\Delta w + k^2 w = 0 \quad \text{in } D$$

$$u - w = 0 \quad \text{on } \partial D$$

$$\nu \cdot A \nabla u - \nu \cdot \nabla w = 0 \quad \text{on } \partial D.$$



TRANSMISSION CONDITIONS ON ∂D

Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):

☛ u is the **total field** in D

☛ w is the **incident field** in D

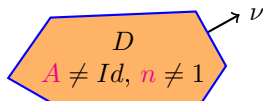
Find $(u, w) \in H^1(D) \times H^1(D)$ such that:

$$\operatorname{div}(A \nabla u) + k^2 n u = 0 \quad \text{in } D$$

$$\Delta w + k^2 w = 0 \quad \text{in } D$$

$$u - w = 0 \quad \text{on } \partial D$$

$$\nu \cdot A \nabla u - \nu \cdot \nabla w = 0 \quad \text{on } \partial D.$$



TRANSMISSION CONDITIONS ON ∂D

DEFINITION. Values of $k \in \mathbb{C}$ for which this problem has a nontrivial solution (u, w) are called **transmission eigenvalues**.

Presentation of the ITEP

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
- ▶ This leads to study the **Interior Transmission Eigenvalue Problem** (cf. F. Cakoni's talk):

☛ u is the **total field** in D

☛ w is the **incident field** in D

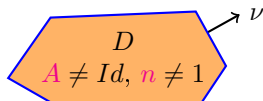
Find $(u, w) \in H^1(D) \times H^1(D)$ such that:

$$\operatorname{div}(A \nabla u) + k^2 n u = 0 \quad \text{in } D$$

$$\Delta w + k^2 w = 0 \quad \text{in } D$$

$$u - w = 0 \quad \text{on } \partial D$$

$$\nu \cdot A \nabla u - \nu \cdot \nabla w = 0 \quad \text{on } \partial D.$$



TRANSMISSION CONDITIONS ON ∂D

DEFINITION. Values of $k \in \mathbb{C}$ for which this problem has a nontrivial solution (u, w) are called **transmission eigenvalues**.

- ▶ The goal in this talk is to prove that the set of transmission eigenvalues is at most **discrete**.

Variational formulation for the ITEP

- k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (n u \overline{u'} - w \overline{w'}),$$

Variational formulation for the ITEP

► k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (n u \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

Variational formulation for the ITEP

- ▶ k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (n u \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

- ▶ This is a **non standard** eigenvalue problem.

Variational formulation for the ITEP

- ▶ k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (n u \overline{u'} - w \overline{w'}),$$

not coercive on X

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

- ▶ This is a **non standard** eigenvalue problem.

Variational formulation for the ITEP

- ▶ k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (n u \overline{u'} - w \overline{w'}),$$

not coercive on X **not an inner product** on X

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

- ▶ This is a **non standard** eigenvalue problem.

Variational formulation for the ITEP

- ▶ k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (nu \overline{u'} - w \overline{w'}),$$

not coercive on X **not an inner product** on X

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

- ▶ This is a **non standard** eigenvalue problem.
- ▶ This problem has been widely studied since 1986-1988 (Bellis, Cakoni, Colton, Gintides, Guzina, Haddar, Kirsch, Kress, Monk, Sylvester, Päivärinta, Rynne, Sleeman...)

Variational formulation for the ITEP

- ▶ k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (nu \overline{u'} - w \overline{w'}),$$

not coercive on X **not an inner product** on X

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

- ▶ This is a **non standard** eigenvalue problem.
- ▶ This problem has been widely studied since 1986-1988 (Bellis, Cakoni, Colton, Gintides, Guzina, Haddar, Kirsch, Kress, Monk, Sylvester, Päivärinta, Rynne, Sleeman...)
- ▶ In this talk, we want to highlight an

Variational formulation for the ITEP

- ▶ k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

$$\int_D A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} = k^2 \int_D (nu \overline{u'} - w \overline{w'}),$$

not coercive on X **not an inner product** on X

with $X = \{(u, w) \in H^1(D) \times H^1(D) \mid u - w \in H_0^1(D)\}$.

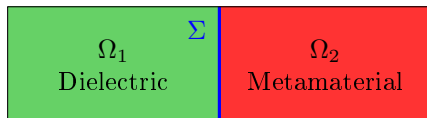
- ▶ This is a **non standard** eigenvalue problem.
- ▶ This problem has been widely studied since 1986-1988 (Bellis, Cakoni, Colton, Gintides, Guzina, Haddar, Kirsch, Kress, Monk, Sylvester, Päivärinta, Rynne, Sleeman...)
- ▶ In this talk, we want to highlight an



Idea 1: **Analogy** with another non standard transmission problem ...

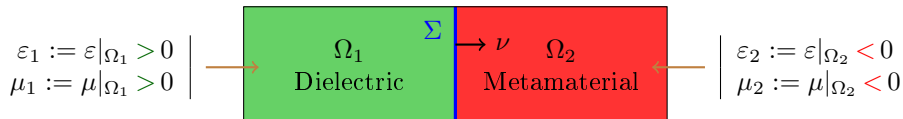
Dielectric/Metamaterial Transmission Eigenvalue Problem (DMTEP)

- ▶ **Time-harmonic** problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain Ω of \mathbb{R}^2 :



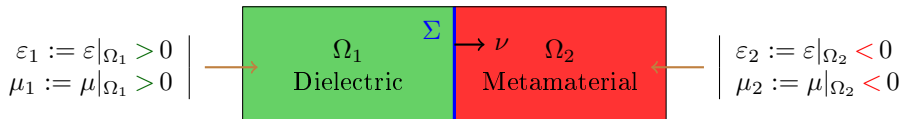
Dielectric/Metamaterial Transmission Eigenvalue Problem (DMTEP)

► **Time-harmonic** problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain Ω of \mathbb{R}^2 :



Dielectric/Metamaterial Transmission Eigenvalue Problem (DMTEP)

- ▶ **Time-harmonic** problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain Ω of \mathbb{R}^2 :

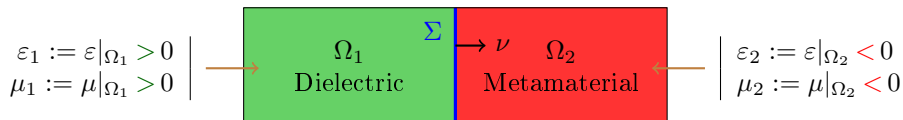


- ▶ Eigenvalue problem for E_z in 2D:

$$\left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \operatorname{div}(\mu^{-1} \nabla v) + k^2 \varepsilon v = 0 \quad \text{in } \Omega. \end{array} \right.$$

Dielectric/Metamaterial Transmission Eigenvalue Problem (DMTEP)

- ▶ **Time-harmonic** problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain Ω of \mathbb{R}^2 :



- ▶ Eigenvalue problem for E_z in 2D:

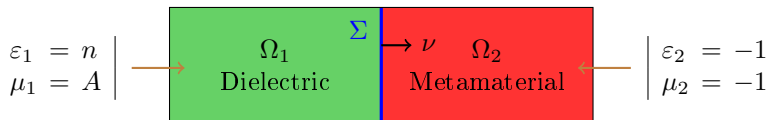
$$\left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \operatorname{div}(\mu^{-1} \nabla v) + k^2 \varepsilon v = 0 \quad \text{in } \Omega. \end{array} \right.$$

- ▶ k is a **transmission eigenvalue** if and only if there exists $v \in H_0^1(\Omega) \setminus \{0\}$ such that, for all $v' \in H_0^1(\Omega)$,

$$\int_{\Omega_1} \mu_1^{-1} \nabla v \cdot \overline{\nabla v'} - \int_{\Omega_2} |\mu_2|^{-1} \nabla v \cdot \overline{\nabla v'} = k^2 \left(\int_{\Omega_1} \varepsilon_1 v \overline{v'} - \int_{\Omega_2} |\varepsilon_2| v \overline{v'} \right).$$

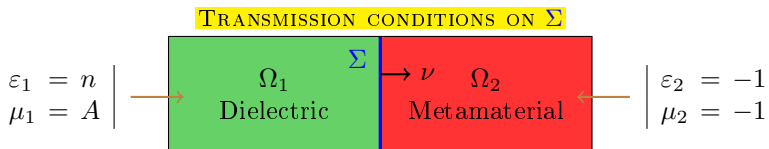
Equivalence DMTEP / ITEP

- ▶ **DMTEP** in the domain Ω :



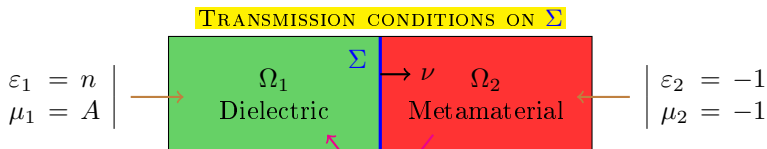
Equivalence DMTEP / ITEP

- **DMTEP** in the domain Ω :



Equivalence DMTEP / ITEP

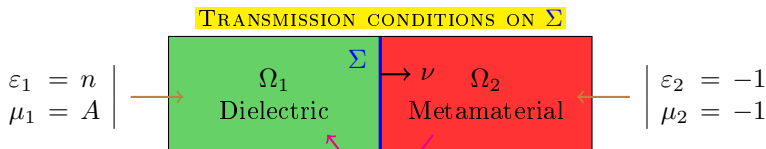
- ▶ **DMTEP** in the domain Ω :



Symmetry with respect to the interface Σ

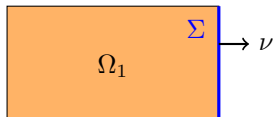
Equivalence DMTEP / ITEP

- ▶ **DMTEP** in the domain Ω :



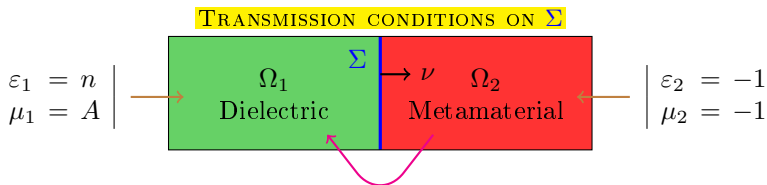
Symmetry with respect to the interface Σ

- ▶ We obtain a problem analogous to the **ITEP** in Ω_1 :



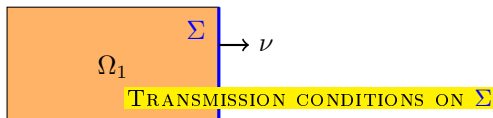
Equivalence DMTEP / ITEP

- ▶ **DMTEP** in the domain Ω :



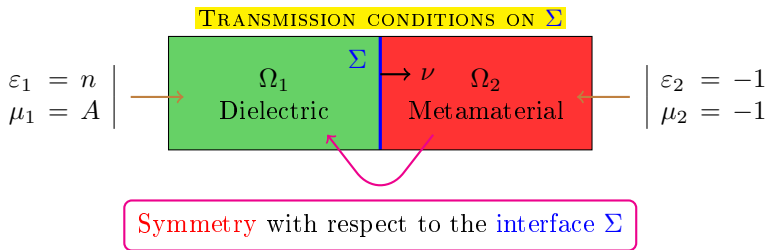
Symmetry with respect to the interface Σ

- ▶ We obtain a problem analogous to the **ITEP** in Ω_1 :

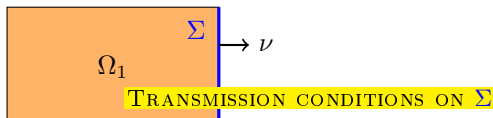


Equivalence DMTEP / ITEP

- ▶ **DMTEP** in the domain Ω :



- ▶ We obtain a problem analogous to the **ITEP** in Ω_1 :



- ▶ The **interface** Σ in the **DMTEP** plays the role of the **boundary** ∂D in the **ITEP**.

Outline of the talk: three steps

- 1 An analogy between two transmission problems
- 2 The T-coercivity method for the Dielectric/Metamaterial Transmission Problem
- 3 The T-coercivity method for the Interior Transmission Problem

- 1 An analogy between two transmission problems
- 2 The T-coercivity method for the Dielectric/Metamaterial Transmission Problem
- 3 The T-coercivity method for the Interior Transmission Problem

Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:



Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:

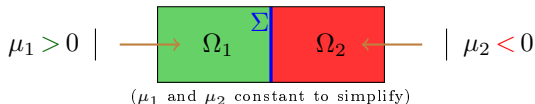


- ▶ We focus on the **principal part**:

$$(\mathcal{P}_V) \quad \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\mu^{-1} \nabla v \cdot \nabla v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:

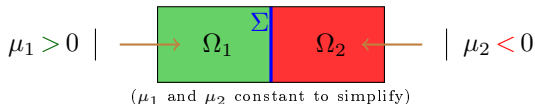


- ▶ We focus on the **principal part**:

$$(\mathcal{P}_V) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\mu^{-1} \nabla v \cdot \nabla v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:



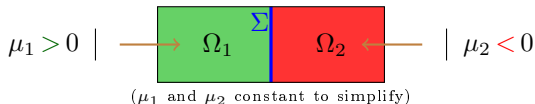
- ▶ We focus on the **principal part**:

$$(\mathcal{P}_V) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\mu^{-1} \nabla v \cdot \nabla v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^1(\Omega). \end{array} \right.$$

DEFINITION. We will say that the problem (\mathcal{P}_V) is **well-posed** if the operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$.

Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:



- ▶ We focus on the **principal part**:

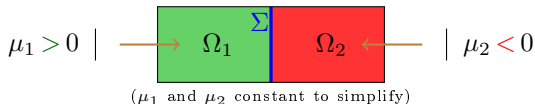
$$(\mathcal{P}_V) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\mu^{-1} \nabla v \cdot \nabla v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^1(\Omega). \end{array} \right.$$

DEFINITION. We will say that the problem (\mathcal{P}_V) is **well-posed** if the operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$.

- The form a is **not coercive**.

Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:



- ▶ We focus on the **principal part**:

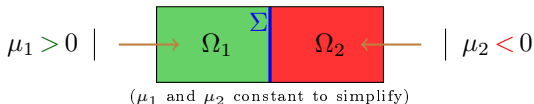
$$(\mathcal{P}_V) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\mu^{-1} \nabla v \cdot \nabla v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^1(\Omega). \end{array} \right.$$

DEFINITION. We will say that the problem (\mathcal{P}_V) is **well-posed** if the operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$.

- The form a is **not coercive**.
- For $\mu_2 = -\mu_1$, we can build a kernel of **infinite dimension** to (\mathcal{P}_V) .

Study of the DMTP

- ▶ Problem for E_z in a **symmetric** 2D domain:



- ▶ We focus on the **principal part**:

$$(\mathcal{P}_V) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\mu^{-1} \nabla v \cdot \nabla v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^1(\Omega). \end{array} \right.$$

DEFINITION. We will say that the problem (\mathcal{P}_V) is **well-posed** if the operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$.

- The form a is **not coercive**.
- For $\mu_2 = -\mu_1$, we can build a kernel of **infinite dimension** to (\mathcal{P}_V) .



Idea 2: Use the **T-coercivity** approach to deal with problem (\mathcal{P}_V) .

Idea of the T-coercivity 1/2

Let T be an **isomorphism** of $H_0^1(\Omega)$.

$(\mathcal{P}_V) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ a(v, v') = l(v'), \forall v' \in H_0^1(\Omega). \end{array} \right.$

Idea of the \mathbf{T} -coercivity 1/2

Let \mathbf{T} be an **isomorphism** of $H_0^1(\Omega)$.

$$(\mathcal{P}_V) \Leftrightarrow (\mathcal{P}_V^{\mathbf{T}}) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ a(v, \mathbf{T}v') = l(\mathbf{T}v'), \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Idea of the T-coercivity 1/2

Let \mathbf{T} be an **isomorphism** of $H_0^1(\Omega)$.

$$(\mathcal{P}_V) \Leftrightarrow (\mathcal{P}_V^{\mathbf{T}}) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ a(v, \mathbf{T}v') = l(\mathbf{T}v'), \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Goal: Find \mathbf{T} such that a is \mathbf{T} -coercive: $\int_{\Omega} \mu^{-1} \nabla v \cdot \nabla(\mathbf{T}v) \geq C \|v\|_{H_0^1(\Omega)}^2$.

In this case, Lax-Milgram $\Rightarrow (\mathcal{P}_V^{\mathbf{T}})$ (and so (\mathcal{P}_V)) is well-posed.

Idea of the T-coercivity 1/2

Let \mathbf{T} be an **isomorphism** of $H_0^1(\Omega)$.

$$(\mathcal{P}_V) \Leftrightarrow (\mathcal{P}_V^{\mathbf{T}}) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ a(v, \mathbf{T}v') = l(\mathbf{T}v'), \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Goal: Find \mathbf{T} such that a is \mathbf{T} -coercive: $\int_{\Omega} \mu^{-1} \nabla v \cdot \nabla(\mathbf{T}v) \geq C \|v\|_{H_0^1(\Omega)}^2$.

In this case, Lax-Milgram $\Rightarrow (\mathcal{P}_V^{\mathbf{T}})$ (and so (\mathcal{P}_V)) is well-posed.

1 Define $\mathbf{T}_1 v = \begin{cases} v_1 & \text{in } \Omega_1 \\ -v_2 + \dots & \text{in } \Omega_2 \end{cases}$

Idea of the T-coercivity 1/2

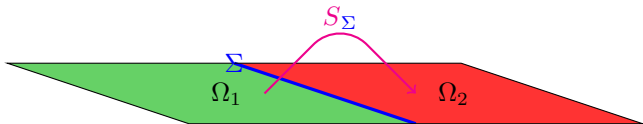
Let \mathbf{T} be an **isomorphism** of $H_0^1(\Omega)$.

$$(\mathcal{P}_V) \Leftrightarrow (\mathcal{P}_V^{\mathbf{T}}) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ a(v, \mathbf{T}v') = l(\mathbf{T}v'), \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Goal: Find \mathbf{T} such that a is \mathbf{T} -coercive: $\int_{\Omega} \mu^{-1} \nabla v \cdot \nabla(\mathbf{T}v) \geq C \|v\|_{H_0^1(\Omega)}^2$.

In this case, Lax-Milgram $\Rightarrow (\mathcal{P}_V^{\mathbf{T}})$ (and so (\mathcal{P}_V)) is well-posed.

1 Define $\mathbf{T}_1 v = \begin{cases} v_1 & \text{in } \Omega_1 \\ -v_2 + 2S_{\Sigma}v_1 & \text{in } \Omega_2 \end{cases}$, where S_{Σ} is the **symmetry**.



Idea of the T-coercivity 1/2

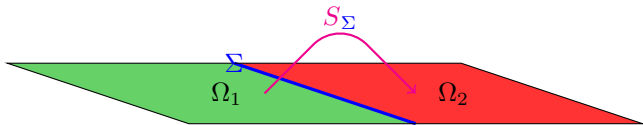
Let \mathbf{T} be an **isomorphism** of $H_0^1(\Omega)$.

$$(\mathcal{P}_V) \Leftrightarrow (\mathcal{P}_V^{\mathbf{T}}) \left| \begin{array}{l} \text{Find } v \in H_0^1(\Omega) \text{ such that:} \\ a(v, \mathbf{T}v') = l(\mathbf{T}v'), \forall v' \in H_0^1(\Omega). \end{array} \right.$$

Goal: Find \mathbf{T} such that a is \mathbf{T} -coercive: $\int_{\Omega} \mu^{-1} \nabla v \cdot \nabla(\mathbf{T}v) \geq C \|v\|_{H_0^1(\Omega)}^2$.

In this case, Lax-Milgram $\Rightarrow (\mathcal{P}_V^{\mathbf{T}})$ (and so (\mathcal{P}_V)) is well-posed.

- 1 Define $\mathbf{T}_1 v = \begin{cases} v_1 & \text{in } \Omega_1 \\ -v_2 + 2S_{\Sigma}v_1 & \text{in } \Omega_2 \end{cases}$, where S_{Σ} is the **symmetry**.



- 2 $\mathbf{T}_1 \circ \mathbf{T}_1 = Id$ so \mathbf{T}_1 is an **isomorphism** of $H_0^1(\Omega)$

Idea of the T-coercivity 2/2

3 One has $a(v, \mathbb{T}_1 v) = \int_{\Omega} |\mu|^{-1} |\nabla v|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla v \cdot \nabla (S_{\Sigma} v_1)$

Idea of the T-coercivity 2/2

3 One has
$$a(v, \mathbb{T}_1 v) = \int_{\Omega} |\mu|^{-1} |\nabla v|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla v \cdot \nabla (S_{\Sigma} v_1)$$

Young's inequality + $\|S_{\Sigma}\| = 1 \Rightarrow a$ is **T-coercive** when $|\mu_2| > \mu_1$.

Idea of the T-coercivity 2/2

3 One has $a(v, \mathbb{T}_1 v) = \int_{\Omega} |\mu|^{-1} |\nabla v|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla v \cdot \nabla (\mathcal{S}_{\Sigma} v_1)$

Young's inequality + $\|\mathcal{S}_{\Sigma}\| = 1 \Rightarrow a$ is **T-coercive** when $|\mu_2| > \mu_1$.

4 With $\mathbb{T}_2 v = \begin{cases} v_1 - 2\mathcal{S}_{\Sigma} v_2 & \text{in } \Omega_1 \\ -v_2 & \text{in } \Omega_2 \end{cases}$, a is **T-coercive** when $\mu_1 > |\mu_2|$.

Idea of the T-coercivity 2/2

3 One has $a(v, \mathbb{T}_1 v) = \int_{\Omega} |\mu|^{-1} |\nabla v|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla v \cdot \nabla (\mathcal{S}_{\Sigma} v_1)$

Young's inequality + $\|\mathcal{S}_{\Sigma}\| = 1 \Rightarrow a$ is **T-coercive** when $|\mu_2| > \mu_1$.

4 With $\mathbb{T}_2 v = \begin{cases} v_1 - 2\mathcal{S}_{\Sigma} v_2 & \text{in } \Omega_1 \\ -v_2 & \text{in } \Omega_2 \end{cases}$, a is **T-coercive** when $\mu_1 > |\mu_2|$.

5 Conclusion:

THEOREM. The operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$ if and only if the **contrast** $\kappa_{\mu} = \mu_1/\mu_2$ satisfies $\kappa_{\mu} \neq -1$.

Idea of the T-coercivity 2/2

3 One has $a(v, \mathbf{T}_1 v) = \int_{\Omega} |\mu|^{-1} |\nabla v|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla v \cdot \nabla (\mathbf{S}_{\Sigma} v_1)$

Young's inequality + $\|\mathbf{S}_{\Sigma}\| = 1 \Rightarrow a$ is **T-coercive** when $|\mu_2| > \mu_1$.

4 With $\mathbf{T}_2 v = \begin{cases} v_1 - 2\mathbf{S}_{\Sigma} v_2 & \text{in } \Omega_1 \\ -v_2 & \text{in } \Omega_2 \end{cases}$, a is **T-coercive** when $\mu_1 > |\mu_2|$.

5 Conclusion:

THEOREM. The operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$ if and only if the **contrast** $\kappa_{\mu} = \mu_1/\mu_2$ satisfies $\kappa_{\mu} \neq -1$.

► By a **localization** process, when μ_1 and μ_2 are **not constant**, we can prove that $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is of Fredholm type when

$$\inf_{\Omega_1 \cap \mathcal{V}} \mu_1 / \inf_{\Omega_2 \cap \mathcal{V}} \mu_2 < -1 \quad \text{or} \quad \sup_{\Omega_1 \cap \mathcal{V}} \mu_1 / \sup_{\Omega_2 \cap \mathcal{V}} \mu_2 > -1$$

where \mathcal{V} is a **neighbourhood** of Σ .

Idea of the T-coercivity 2/2

3 One has $a(v, \mathbf{T}_1 v) = \int_{\Omega} |\mu|^{-1} |\nabla v|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla v \cdot \nabla (\mathbf{S}_{\Sigma} v_1)$

Young's inequality + $\|\mathbf{S}_{\Sigma}\| = 1 \Rightarrow a$ is **T-coercive** when $|\mu_2| > \mu_1$.

4 With $\mathbf{T}_2 v = \begin{cases} v_1 - 2\mathbf{S}_{\Sigma} v_2 & \text{in } \Omega_1 \\ -v_2 & \text{in } \Omega_2 \end{cases}$, a is **T-coercive** when $\mu_1 > |\mu_2|$.

5 Conclusion:

THEOREM. The operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$ if and only if the **contrast** $\kappa_{\mu} = \mu_1/\mu_2$ satisfies $\kappa_{\mu} \neq -1$.

► By a **localization** process, when μ_1 and μ_2 are **not constant**, we can prove that $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is of Fredholm type when

$$\inf_{\Omega_1 \cap \mathcal{V}} \mu_1 / \inf_{\Omega_2 \cap \mathcal{V}} \mu_2 < -1 \quad \text{or} \quad \sup_{\Omega_1 \cap \mathcal{V}} \mu_1 / \sup_{\Omega_2 \cap \mathcal{V}} \mu_2 > -1$$

where \mathcal{V} is a **neighbourhood** of Σ .

► This technique also allows to deal with **non symmetric configurations**.

- 1 An analogy between two transmission problems
- 2 The T-coercivity method for the Dielectric/Metamaterial Transmission Problem
- 3 The T-coercivity method for the Interior Transmission Problem

Study of the ITEP

- ▶ Define on $X \times X$ the sesquilinear form

$$a((u, w), (u', w')) = \int_{\Omega} A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} - k^2 (nu \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(\Omega) \times H^1(\Omega) \mid u - w \in H_0^1(\Omega)\}$.

Study of the ITEP

- ▶ Define on $X \times X$ the sesquilinear form

$$a((u, w), (u', w')) = \int_{\Omega} A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} - k^2 (nu \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(\Omega) \times H^1(\Omega) \mid u - w \in H_0^1(\Omega)\}$.

- ▶ Introduce the **isomorphism** $\mathbf{T}(u, w) = (u - 2w, -w)$.

Study of the ITEP

- ▶ Define on $X \times X$ the sesquilinear form

$$a((u, w), (u', w')) = \int_{\Omega} A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} - k^2 (n u \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(\Omega) \times H^1(\Omega) \mid u - w \in H_0^1(\Omega)\}$.

- ▶ Introduce the **isomorphism** $\mathbf{T}(u, w) = (u - 2w, -w)$.
- ▶ For $k \in \mathbb{R} \setminus \{0\}$, $A > Id$ and $n > 1$, one finds

$$\Re a((u, w), \mathbf{T}(u, w)) \geq C (\|u\|_{H^1(\Omega)}^2 + \|w\|_{H^1(\Omega)}^2), \quad \forall (u, w) \in X.$$

Study of the ITEP

- ▶ Define on $X \times X$ the sesquilinear form

$$a((u, w), (u', w')) = \int_{\Omega} A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} - k^2 (n u \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(\Omega) \times H^1(\Omega) \mid u - w \in H_0^1(\Omega)\}$.

- ▶ Introduce the **isomorphism** $\mathbf{T}(u, w) = (u - 2w, -w)$.
- ▶ For $k \in \mathbb{R}i \setminus \{0\}$, $A > Id$ and $n > 1$, one finds

$$\Re a((u, w), \mathbf{T}(u, w)) \geq C (\|u\|_{H^1(\Omega)}^2 + \|w\|_{H^1(\Omega)}^2), \quad \forall (u, w) \in X.$$

- ▶ Using the **analytic Fredholm theorem**, one deduces the

PROPOSITION. Suppose that $A > Id$ and $n > 1$. Then the set of transmission eigenvalues is **discrete** and **countable**.

Study of the ITEP

- ▶ Define on $X \times X$ the sesquilinear form

$$a((u, w), (u', w')) = \int_{\Omega} A \nabla u \cdot \overline{\nabla u'} - \nabla w \cdot \overline{\nabla w'} - k^2 (n u \overline{u'} - w \overline{w'}),$$

with $X = \{(u, w) \in H^1(\Omega) \times H^1(\Omega) \mid u - w \in H_0^1(\Omega)\}$.

- ▶ Introduce the **isomorphism** $\mathbf{T}(u, w) = (u - 2w, -w)$.
- ▶ For $k \in \mathbb{R}i \setminus \{0\}$, $A > Id$ and $n > 1$, one finds

$$\Re a((u, w), \mathbf{T}(u, w)) \geq C (\|u\|_{H^1(\Omega)}^2 + \|w\|_{H^1(\Omega)}^2), \quad \forall (u, w) \in X.$$

- ▶ Using the **analytic Fredholm theorem**, one deduces the

PROPOSITION. Suppose that $A > Id$ and $n > 1$. Then the set of transmission eigenvalues is **discrete** and **countable**.

- ▶ This result can be extended to situations where $A - Id$ and $n - 1$ **change sign** in Ω working with $\mathbf{T}(u, w) = (u - 2\chi w, w)$.

ITEP when $A = Id$

- ▶ When $A = Id$, the ITP is **not of Fredholm type** in X likewise the DMTP is not of Fredholm type in $H_0^1(\Omega)$ when $\mu_1 = -\mu_2$.

ITEP when $A = Id$

- We change the functional framework working on the difference $v := u - w \in H_0^2(D)$: k is a transmission eigenvalue if and only if there exists $v \in H_0^2(D) \setminus \{0\}$ such that, for all $v' \in H_0^2(D)$,

$$\int_D \frac{1}{1-n} (\Delta v + k^2 n v) (\Delta v' + k^2 v') = 0.$$

- We focus on the principal part:

(\mathcal{F}_V)	Find $v \in H_0^2(D)$ such that:
	$\underbrace{\int_D \frac{1}{1-n} \Delta v \Delta v'}_{a(v,v')} = \underbrace{\langle f, v' \rangle_D}_{l(v')}, \quad \forall v' \in H_0^2(D).$

ITEP when $A = Id$

- ▶ We change the functional framework working on the difference $v := u - w \in H_0^2(D)$: k is a transmission eigenvalue if and only if there exists $v \in H_0^2(D) \setminus \{0\}$ such that, for all $v' \in H_0^2(D)$,

$$\int_D \frac{1}{1-n} (\Delta v + k^2 n v) (\Delta v' + k^2 v') = 0.$$

- ▶ We focus on the principal part:

(\mathcal{F}_V)	Find $v \in H_0^2(D)$ such that:
	$\underbrace{\int_D \frac{1}{1-n} \Delta v \Delta v'}_{a(v,v')} = \underbrace{\langle f, v' \rangle_D}_{l(v')}, \quad \forall v' \in H_0^2(D).$

TRANSMISSION PROBLEM WITH A POSSIBLE SIGN-CHANGING COEFFICIENT

ITEP when $A = Id$

- ▶ We **change the functional framework** working on the difference $v := u - w \in H_0^2(D)$: k is a **transmission eigenvalue** if and only if there exists $v \in H_0^2(D) \setminus \{0\}$ such that, for all $v' \in H_0^2(D)$,

$$\int_D \frac{1}{1-n} (\Delta v + k^2 n v) (\Delta v' + k^2 v') = 0.$$

- ▶ We focus on the **principal part**:

(\mathcal{F}_V)	Find $v \in H_0^2(D)$ such that:
	$\int_D \underbrace{\frac{1}{1-n} \Delta v \Delta v'}_{a(v,v')} = \underbrace{\langle f, v' \rangle_D}_{l(v')}, \quad \forall v' \in H_0^2(D).$

TRANSMISSION PROBLEM WITH A POSSIBLE SIGN-CHANGING COEFFICIENT



Idea 3: This transmission problem is **very different** from DMTP.

ITEP when $A = Id$

- ▶ We **change the functional framework** working on the difference $v := u - w \in H_0^2(D)$: k is a **transmission eigenvalue** if and only if there exists $v \in H_0^2(D) \setminus \{0\}$ such that, for all $v' \in H_0^2(D)$,

$$\int_D \frac{1}{1-n} (\Delta v + k^2 n v) (\Delta v' + k^2 v') = 0.$$

- ▶ We focus on the **principal part**:

$$(\mathcal{F}_V) \quad \left| \begin{array}{l} \text{Find } v \in H_0^2(D) \text{ such that:} \\ \underbrace{\int_D \frac{1}{1-n} \Delta v \Delta v'}_{a(v,v')} = \underbrace{\langle f, v' \rangle_D}_{l(v')}, \quad \forall v' \in H_0^2(D). \end{array} \right.$$

TRANSMISSION PROBLEM WITH A POSSIBLE SIGN-CHANGING COEFFICIENT



Idea 3: This transmission problem is **very different** from DMTP.






THEOREM. The problem (\mathcal{F}_V) is **well-posed** in the Fredholm sense as soon as $1 - n$ does not change sign in a neighbourhood of ∂D .

- ▶ Proof: T-coercivity or see J. Sylvester's work for a more precise study.

Generalizations

- ✓ T-coercivity approach can be used for **non-constant coefficients** (L^∞) and other problems (**Maxwell's equations, elasticity, ...**).
- ✓ It allows to justify the convergence of standard **finite element** methods.
- ♠ What happens when **$A - Id$ change sign** in a neighbourhood of the boundary?
 - ☞ For the equivalent DMTP, **strong singularities** appear at the interface and H^1 is no longer the appropriate functional framework. We observe a **black hole** phenomenon (**joint work with X. Claeys**).
- ♠ We are not able to use the T-coercivity technique to prove **existence** of transmission eigenvalues.
 - ⇒ T-coercivity gives **positivity** but operators are no longer **symmetric**.

Thank you for your attention.

-  A.-S. Bonnet-Ben Dhia, L. Chesnel, P. Ciarlet Jr., *T-coercivity for scalar interface problems between dielectrics and metamaterials*, M2AN, to appear, 2012.
-  A.-S. Bonnet-Ben Dhia, L. Chesnel, H. Haddar, *On the use of T-coercivity to study the interior transmission eigenvalue problem*, C. R. Acad. Sci. Paris, Ser. I, 349:647–651, 2011.
-  A.-S. Bonnet-Ben Dhia, P. Ciarlet Jr., C.M. Zwölf, *Time harmonic wave diffraction problems in materials with sign-shifting coefficients*, J. Comput. Appl. Math, 234:1912–1919, 2010, Corrigendum *J. Comput. Appl. Math.*, 234:2616, 2010.
-  F. Cakoni, H. Haddar, *Transmission eigenvalues in inverse scattering theory*, submitted, 2012.
-  L. Chesnel, *Interior transmission eigenvalue problem for Maxwell's equations: the T-coercivity as an alternative approach*, *Inverse problems*, to appear, 2012.