Radiation condition for a non smooth interface between a dielectric and a metamaterial

# Waves 2011

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Simon Fraser University, Vancouver, Canada, July 25, 2011

Time-harmonic problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain:



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Negative metamaterial

 $\begin{array}{l} \mbox{Structure with } {\bf negative } \mbox{ permittivity} \\ {\pmb \varepsilon} \mbox{ and permeability } {\pmb \mu} \end{array}$ 

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Combination Dielectric + Metamaterial  $\Rightarrow$  interesting applications Example: the "superlens"

Time-harmonic problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain:



Unusual transmission problem because the sign of the coefficients  $\varepsilon$  and  $\mu$  changes.

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- $\bullet \ H^1_0(\Omega) = \{ v \in L^2(\Omega) \, | \, \nabla v \in L^2(\Omega); \, v|_{\partial\Omega} = 0 \}$
- f is the source term in  $H^{-1}(\Omega)$



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$$(\mathscr{P}) \Leftrightarrow \quad (\mathscr{P}_V) \ \left| \begin{array}{c} \operatorname{Find} \ u \in H^1_0(\Omega) \ \text{such that}:\\ a(u,v) = l(v), \ \forall v \in H^1_0(\Omega). \end{array} \right.$$



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$$\begin{array}{c} \Sigma\\ \Omega_1\\ \sigma_1 = \sigma|_{\Omega_1} > 0\\ \sigma_2 = \sigma|_{\Omega_2} < 0\\ (\text{constant}) \end{array}$$

with 
$$a(u, v) = \int_{\Omega} \sigma \nabla u \cdot \nabla v$$
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with 
$$a(u, v) = \int_{\Omega} \sigma \nabla u \cdot \nabla v$$
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DEFINITION. We will say that the problem  $(\mathscr{P})$  is well-posed if the operator  $A = \operatorname{div}(\sigma \nabla \cdot)$  is an isomorphism from  $H_0^1(\Omega)$  to  $H^{-1}(\Omega)$ .

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• The case  $\sigma$  changes sign :

$$a(u, u) = \int_{\Omega} \sigma |\nabla u|^2 \ge C ||u||_{H_0^1(\Omega)}^2 \text{ loss of coercivity}$$

For a symmetric domain (w.r.t.  $\Sigma$ ) with  $\sigma_2 = -\sigma_1$ , we can build a kernel of infinite dimension.

#### Outline of the talk

1) A presentation of the T-coercivity method to find a criterion on  $\sigma$  to ensure that problem  $(\mathscr{P})$  is well-posed in  $H_0^1(\Omega)$ .

#### 1 A variational technique: the T-coercivity approach

## Outline of the talk

1) A presentation of the T-coercivity method to find a criterion on  $\sigma$  to ensure that problem  $(\mathscr{P})$  is well-posed in  $H_0^1(\Omega)$ .

2) A definition of a new functional framework when the problem  $(\mathscr{P})$  is not wellposed in  $H_0^1(\Omega)$ .

#### **1** A variational technique: the **T**-coercivity approach

- 2 A new functional framework in the critical interval
  - Analogy with a waveguide problem
  - Statement of the result

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2) A definition of a new functional framework when the problem  $(\mathscr{P})$  is not wellposed in  $H_0^1(\Omega)$ .

3) An approximation of the solution in the new functional framework using PML in the neighbourhood of the corner.

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#### 1 A variational technique: the T-coercivity approach

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Let T be an isomorphism of  $H_0^1(\Omega)$ .

$$(\mathscr{P}) \Leftrightarrow (\mathscr{P}_V) \middle| \begin{array}{c} \operatorname{Find} u \in H^1_0(\Omega) \text{ such that:} \\ a(u,v) = l(v), \, \forall v \in H^1_0(\Omega). \end{array}$$

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Goal: Find **T** such that *a* is **T**-coercive:  $\int_{\Omega} \sigma \nabla u \cdot \nabla(\mathbf{T}u) \ge C \|u\|_{H_0^1(\Omega)}^2.$ In this case, Lax-Milgram  $\Rightarrow (\mathscr{P}_V)$  (and so  $(\mathscr{P}_V)$ ) is well-posed.

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**1** Define 
$$T_1 u = \begin{vmatrix} u_1 & \text{in } \Omega_1 \\ -u_2 + \dots & \text{in } \Omega_2 \end{vmatrix}$$

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2  $T_1 \circ T_1 = Id$  so  $T_1$  is an isomorphism of  $H_0^1(\Omega)$ 

3 One has 
$$a(u, \mathtt{T}_1 u) = \int_{\Omega} |\sigma| |\nabla u|^2 - 2 \int_{\Omega_2} \sigma_2 \, \nabla u \cdot \nabla (R_1 \, u_1)$$

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, where  $R_2 : \Omega_2 \to \Omega_1$ , one proves that  $a$  is **T-coercive** when  $|\sigma_2| > ||R_2||^2 \sigma_1$ .

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THEOREM. If the contrast  $\kappa_{\sigma} = \sigma_2/\sigma_1 \notin [-\|R_2\|^2; -1/\|R_1\|^2]$  (critical interval) then div  $(\sigma \nabla \cdot)$  is an isomorphism from  $H_0^1(\Omega)$  to  $H^{-1}(\Omega)$ .

# Choice of $R_1, R_2$ ?

► A simple case: symmetric domain



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► A simple case: symmetric domain




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▶ Our model geometry: corner domain



► A simple case: symmetric domain



symmetry w.r.t. 
$$\Sigma$$
  
 $R_1 = S_{\Sigma}$  and  $R_2 = S_{\Sigma}$   
 $(\mathscr{P})$  well-posed  $\Leftrightarrow \kappa_{\sigma} \neq -1$ 

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Action of  $R_1$ :

► A simple case: symmetric domain



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Action of 
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: symmetry w.r.t  $\theta$ 

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Action of  $R_1$ : symmetry + dilatation w.r.t  $\theta$ 

► A simple case: symmetric domain



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 $R_1$ : symmetry + dilatation w.r.t  $\theta$  $R_2$ : symmetry w.r.t  $\theta$  + extension by 0

A simple case: symmetric domain



symmetry w.r.t. 
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 $\frac{R_1: \text{ symmetry } + \text{ dilatation w.r.t } \theta}{R_2: \text{ symmetry w.r.t } \theta + \text{ extension by } 0}$ 

PROPOSITION. If the contrast  $\kappa_{\sigma} = \sigma_2/\sigma_1 \notin [-1, -1/3]$  (critical interval) then the problem  $(\mathscr{P})$  is well-posed.

A simple case: symmetric domain



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PROPOSITION. If the contrast  $\kappa_{\sigma} = \sigma_2/\sigma_1 \notin [-1, -1/3]$  (critical interval) then the problem  $(\mathscr{P})$  is well-posed.

KEY REMARK. For a general curvilinear polygonal interface, the critical interval reduces to  $\{-1\}$  if and only if there is no corner in  $\Sigma$ .

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# Transition: from variational methods to Fourier/Mellin techniques

What happens in the critical interval, i.e. for  $\kappa_{\sigma} \in [-1, -1/3]$ ???

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 $\Rightarrow$  Fourier/Mellin tool (Dauge-Texier 97, Nazarov)

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- Analogy with a waveguide problem
- Statement of the result



• Bounded sector  $\Omega$ 



• Half-strip  ${\cal B}$ 



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• Helmholtz equation:  $\underbrace{-\operatorname{div}(\sigma \nabla u)}_{-r^{-2}(\sigma(r\partial_r)^2 + \partial_{\theta}\sigma \partial_{\theta})u} = f$  • Helmholtz equation:  $\underbrace{-\operatorname{div}(\sigma \nabla u)}_{-(\sigma \partial_z^2 + \partial_\theta \sigma \partial_\theta)u} = e^{-2z} f$ 

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- Modes in the strip  $m(z,\theta) = e^{-\lambda z} \varphi(\theta)$

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- Modes in the strip  $m(z,\theta) = e^{-\lambda z} \varphi(\theta)$
- $s \in H^1(\Omega)$   $\Re e \lambda > 0$  m is evanescent

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- Singularities in the sector  $s(r,\theta) = r^{\lambda}\varphi(\theta) = e^{-\lambda z}\varphi(\theta)$   $= p^{\alpha} (\cos b \ln r + i \sin b \ln r)\varphi(\theta) = e^{-\lambda z}\varphi(\theta)$   $(\Re e^{\lambda} = a, |\Im m^{\lambda} = b)$   $s \in H^{1}(\Omega) \qquad \Re e^{\lambda} > 0 \qquad m \text{ is evanescent}$   $s \notin H^{1}(\Omega) \qquad \Re e^{\lambda} = 0 \qquad m \text{ is propagative}$



This encourages us to use modal decomposition in the half-strip.

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$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	<ul> <li>Outside the critical interval. All the modes are exponentially growing or decaying.</li> <li>         → the decomposition on the outgoing modes leads to look for an exponentially decaying solution.     </li> </ul>









Consider  $0 < \beta < 2$ ,  $\zeta$  a cut-off function (equal to 1 in  $+\infty$ ) and define

 $W_{-\beta} = \{ v \, | \, e^{\beta z} v \in H_0^1(\mathcal{B}) \}$  space of exponentially decaying functions

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 $W_{\beta} = \{ v | e^{-\beta z} v \in H^1_0(\mathcal{B}) \}$  space of exponentially growing functions

Consider  $0 < \beta < 2$ ,  $\zeta$  a cut-off function (equal to 1 in  $+\infty$ ) and define

 $\begin{aligned} W_{-\beta} &= \{ v \,|\, e^{\beta z} v \in H_0^1(\mathcal{B}) \} \\ W^+ &= \operatorname{span}(\zeta \varphi_1 \, e^{\lambda_1 z}) \oplus W_{-\beta} \\ W_\beta &= \{ v \,|\, e^{-\beta z} v \in H_0^1(\mathcal{B}) \} \end{aligned}$ 

space of exponentially decaying functions propagative part + evanescent part space of exponentially growing functions

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space of exponentially decaying functions
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THEOREM. Let  $\kappa_{\sigma} \in (-1, -1/3)$  and  $0 < \beta < 2$ . The operator  $A^+$ :  $\operatorname{div}(\sigma \nabla \cdot)$  from  $W^+$  to  $W_{\beta}^*$  is an isomorphism.

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THEOREM. Let  $\kappa_{\sigma} \in (-1, -1/3)$  and  $0 < \beta < 2$ . The operator  $A^+$ :  $\operatorname{div}(\sigma \nabla \cdot)$  from  $W^+$  to  $W_{\beta}^*$  is an isomorphism.

IDEAS OF THE PROOF:

•  $A_{-\beta}$ : div $(\sigma \nabla \cdot)$  from  $W_{-\beta}$  to  $W_{\beta}^*$  is injective but not surjective.

Consider  $0 < \beta < 2$ ,  $\zeta$  a cut-off function (equal to 1 in  $+\infty$ ) and define

 $\begin{array}{ll} W_{-\beta} &= \{v \mid e^{\beta z} v \in H_0^1(\mathcal{B})\} \\ W^+ &= \operatorname{span}(\zeta \varphi_1 e^{\lambda_1 z}) \oplus W_{-\beta} \\ \bigcap \\ W_\beta &= \{v \mid e^{-\beta z} v \in H_0^1(\mathcal{B})\} \end{array}$ 

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- **3** The intermediate operator  $A^+$  is injective (energy integral) and surjective ( $\blacklozenge$  residual theorem).
- **(**Limiting absorption principle to select the outgoing mode.

#### 1 A variational technique: the T-coercivity approach

#### 2 A new functional framework in the critical interval

- Analogy with a waveguide problem
- Statement of the result



# A funny use of PMLs

• We use PMLs (*Perfectly Matched Layers*) to bound the domain  $\mathcal{B}$  + finite elements in the truncated strip



 $\kappa_{\sigma} = 1/1.05$ 

#### A black hole phenomenon in the critical interval

$$\kappa_{\sigma} = -1/1.3 \in (-1, -1/3)$$

 $(\dots)$ 

► Analogous phenomena occur in cuspidal domains in the theory of water-waves and in elasticity.
















Besilve For  $\kappa_{\sigma} \in \mathbb{C} \setminus \mathbb{R}_{-}$ , ( $\mathscr{P}$ ) well-posed in  $H_0^1(\Omega)$  (Lax-Milgram)

For  $\kappa_{\sigma} \in \mathbb{R}^*_{-} \setminus [-1, -1/3], (\mathscr{P})$  wellposed in  $H^1_0(\Omega)$  (**T-coercivity**)







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$$\kappa_{\sigma} = -1$$
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#### Generalizations

✓ T-coercivity approach can be used for non-constant  $\sigma$  (L<sup>∞</sup>) and other problems (Maxwell's equations (joint work with A.-S. Bonnet-Ben Dhia and P. Ciarlet Jr.), the ITEP (joint work with A.-S. Bonnet-Ben Dhia and H. Haddar) ...).

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Open problems

♦ The case κ<sub>σ</sub> = -1 (the most interesting for applications) is not understood yet: there appear singularities all over the interface.
⇒ Is there a functional framework in which (𝒫) is well-posed?

 ♠ More generally, can we reconsider the homogenization process to take into account interfacial phenomena?
⇒ METAMATH project (ANR) directed by S. Fliss.

# Thank you for your attention.

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